



VOLUME 4, ISSUE 6

# CAA COMPLIANCE MONTHLY

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### EDUCATIONAL COLUMN- - OCTOBER 21, 2008 -- -FINANCIAL AID TO MIDYEAR ENROLLEE WHO HAS BEEN AWARDED ATHLETICALLY RELATED FINANCIAL AID FOR FOLLOWING ACADEMIC YEAR

NCAA Division I institutions should note that awarding athletics aid to an individual for any term in the regular academic year preceding the academic year for which the prospective student-athlete has been awarded athletically related financial aid would constitute an impermissible award of an athletics grant-in-aid for a period in excess of one academic year. For example, if an individual signs an National Letter of Intent (NLI) and/or institutional financial aid agreement in November 2008 for the following academic year (2009-10) and then graduates from high school at midyear and enrolls at the institution for the 2009 spring term, the institution may not award athletics aid to that prospective student-athlete for the 2009 spring term.

The following scenarios and corresponding questions and answers may assist member institutions in identifying potential options available to an institution considering providing athletics aid to midyear enrollees, including individuals who have signed an NLI during an early NLI signing period, individuals who participate in a sport that does not have an early NLI signing period and individuals who have not signed an NLI.

**Scenario 1:** A prospective student-athlete signs the NLI and accompanying financial aid agreement during the early signing period, graduates from high school midyear and enrolls in the institution's spring term.

Q: May the student-athlete receive athletics aid for the spring term?

A: No, this would constitute an impermissible award of an athletics grant-in-aid for a period in excess of one academic year.

Q: May the student-athlete receive nonathletics aid for the spring term?

A: The student-athlete may receive permissible nonathletics aid in accordance with normal institutional financial aid policies and procedures and applicable NCAA legislation.

Q: May the student-athlete receive athletics aid for the summer term?

A: No. A continuing student-athlete may only receive athletics aid in the summer term in proportion to the athletics aid received during the term(s) in which the student-athlete was enrolled on a full-time basis during the previous academic year. Athletics aid may not be awarded to this student-athlete in the spring term; therefore, athletics aid may not be awarded in the summer term. Institutions should note that the NCAA Student-Athlete Opportunity Fund may be used to provide summer school aid for a student-athlete in this situation, pursuant to conference office approval.

Q: May the student-athlete receive athletics aid for the next academic year?

A: Yes, in accordance with the agreement signed during the early signing period.

Q: If the prospective student-athlete wishes to receive athletics aid for spring 2009 what options exist?

A: The prospective student-athlete may initiate a request for a complete release from the NLI prior to receipt of any athletics aid. If a complete release is granted, the prospective student-athlete may receive athletics aid for the spring 2009 term. However, athletics aid for the 2009-10 academic year would be subject to the renewal/nonrenewal process in accordance with NCAA legislation.

Q: What is the penalty or violation if the student-athlete receives athletics aid for the spring 2009 term and does not receive a complete release from the NLI?

A: A violation of NCAA Bylaw 15.3.3.1 would occur and the student-athlete would be subject to the reinstatement process. The NLI would still be valid and the student-athlete would still be bound by its provisions.

**Scenario 2:** A prospective student-athlete does not sign an NLI during the early signing period (or is a participant in a sport that does not have an early signing period), graduates from high school at midyear and enrolls in the institution's spring term.

## EDUCATIONAL COLUMN- - OCTOBER 21, 2008 -- -CONTINUED

**Q:** May the student-athlete receive athletics aid for the spring term?

**A:** Yes, using the midyear enrollment exception to the one-year period legislation, the student-athlete may receive athletics aid for the spring term. A prospective student-athlete may sign an institutional or conference letter of intent or financial aid agreement for the spring term at any time during or after the signing period applicable to the academic year of enrollment. For example, the regular signing period for football expired April 1, 2008, for the 2008-09 academic year. Therefore, a prospective student-athlete who will enroll spring 2009 of the 2008-09 academic year (and did not sign a 2008-09 NLI or 2009-10 NLI) may sign an institutional or conference letter of intent or financial aid agreement for the 2009 spring term any time during the signing period or after April 1, 2008. Similarly, the regular signing period for volleyball expired August 1, 2008, for the 2008-09 academic year. Therefore, a prospective student-athlete who will enroll spring 2009 of the 2008-09 academic year (and did not sign a 2008-09 NLI or a 2009-2010 NLI) may sign an institutional or conference letter of intent or financial aid agreement for the 2009 spring term any time during the signing period or after August 1, 2008.

**Q:** May the student-athlete receive nonathletics aid for the spring term?

**A:** The student-athlete may receive permissible nonathletics aid in accordance with normal institutional financial aid policies and procedures and applicable NCAA legislation.

**Q:** May the student-athlete receive athletics aid for the summer term?

**A:** Yes, the student-athlete may receive athletics aid for the summer term in proportion to the athletics aid received during the spring term.

**Q:** May the student-athlete receive athletics aid for the next academic year?

**A:** Yes, the student-athlete's athletics aid may be renewed for the next academic year, pursuant to applicable NCAA legislation.

## CONTRIBUTIONS TO AN ORGANIZATION CONSISTING OF PROSPECTS AND NONPROSPECTS -- SPORTS OTHER THAN MEN'S BASKETBALL

### Staff Interpretation

**Published:** December 18, 2009

The academic and membership affairs staff confirmed that, in sports other than men's basketball, it is permissible for a member institution's coach or a representative of its athletics interests to contribute to an organization that may consist in part of prospective student-athletes (e.g., YMCA, Boys and Girls Club), provided the assistance is not earmarked for a particular prospective student-athlete, is not earmarked for the purpose of contributing to a boys' basketball program and is offered in conjunction with the organization's regular fundraising efforts.

## PRE-RECORDED MESSAGE SERVICES

### Official Interpretation

**Published:** December 17, 2009

The committee determined prerecorded telephone or electronic mail messages from institutional staff members sent to individuals through services that allow such messages to be sent to any member of the general public are not considered to be initiated by the institution and therefore, are not subject to NCAA recruiting legislation, provided:

- (a) The message is sent by an individual other than an institutional staff member or a representative of an institution's athletics interests;
- (b) The institution does not direct the individual to initiate the message; and
- (c) The message does not include athletically related information or other information related to the institution.

## RECRUITING CALENDAR

### Cross Country/ Track & Field

Jan 1 - Jan 2 ~ Quiet Period  
Jan 3 - Jan 31 ~ Contact Period

### Men's Basketball

Jan 1 - Jan 31 ~ Evaluation Period

### Women's Basketball

Jan 1 - Jan 31 ~ Evaluation Period

### Baseball

Jan 1 - Jan 6 ~ Quiet Period  
**Jan 7 - Jan 11 ~ Dead Period**  
Jan 12 - Jan 31 ~ Quiet Period

### Softball

Jan 1 ~ Quiet Period  
Jan 2 - Jan 31 ~ Contact Period

### Women's Volleyball

Jan 1 - Jan 15 ~ Quiet Period  
Jan 16 - Jan 31 ~ Contact Period

### Men's Lacrosse

**Jan 1 - Jan 3 ~ Dead Period**  
Jan 4 - Jan 18 ~ Contact Period  
(No Evaluations)  
Jan 19 - Jan 31 ~ Quiet Period

### Women's Lacrosse

Jan 1 ~ Quiet Period  
Jan 2 - Jan 31 ~ Contact Period

### Football, Championship Subdivision

Jan 1 ~ Dead Period  
Jan 2 - Jan 3 ~ Quiet Period  
Jan 4 - Jan 9 ~ Quiet Period  
(all Jr. college prospective SA's who intend to enroll midyear)  
Jan 10 ~ Quiet Period  
**\*Jan 11 - Jan 14 ~ Dead Period /**  
Contact Period

\*Institutional staff members may have contact with a prospective student-athlete who has been admitted for midyear enrollment, provided the prospect has signed a National Letter of Intent or other offer of admission and/or financial aid to attend the institution and is required to be on campus to attend institutional orientation sessions for all students.

Jan 15 - Jan 16 ~ Quiet Period  
Jan 17 - Jan 30 ~ Contact Period  
Jan 31 ~ Quiet Period