

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 23, 2021



Unit Corporation

(Exact name of registrant as specified in its charter)

Delaware  
(State or other jurisdiction  
of incorporation)

1-9260  
(Commission File Number)

73-1283193  
(I.R.S. Employer  
Identification No.)

8200 South Unit Drive, Tulsa, Oklahoma  
(Address of principal executive offices)

74132  
(Zip Code)

US  
(Country)

Registrant's telephone number, including area code: (918) 493-7700

Not Applicable  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)  
☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)  
☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))  
☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Securities registered pursuant to Section 12(b) of the Act:

Title of each class  
N/A

Trading Symbol(s)  
N/A

Name of each exchange on which registered  
N/A\*

\* The registrant's common stock is currently quoted on the OTC Pink under the ticker symbol "UNTC".

**Item 7.01 Regulation FD Disclosure.**

On March 26, 2021, Unit Corporation (Company) issued a press release announcing that, on March 23, 2021, the Financial Industry Regulatory Authority had authorized a broker dealer to initiate a priced quotation of the Company’s common stock on the OTC Pink under the symbol "UNTC". A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

In accordance with General Instruction B.2 of Form 8-K, the information furnished under Item 7.01 of this Current Report on Form 8-K and the exhibit attached hereto are deemed to be "furnished" and shall not be deemed "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934, as amended (Exchange Act), or otherwise subject to the liabilities of that section, nor shall such information and exhibit be deemed incorporated by reference into any filing under the Securities Act of 1933, as amended, or the Exchange Act.

**Item 9.01 Financial Statements and Exhibits**

(d) Exhibits.

Exhibit Number	Description
99.1	<a href="#">Press Release</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document).

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Unit Corporation

Date: March 26, 2021

By: /s/ Drew Harding  
Drew Harding  
Vice President, Secretary & General Counsel

Contact: Linda Baugher  
(918) 493-7700  
[www.unitcorp.com](http://www.unitcorp.com)

*For Immediate Release...*  
*March 26, 2021*

### **UNIT CORPORATION ANNOUNCES COMMENCEMENT OF TRADING ON OTC MARKET**

Tulsa, Oklahoma . . . Unit Corporation (OTC Pink: UNTC) (Company) today announced that a broker dealer was approved by the Financial Industry Regulatory Authority (FINRA) to initiate a priced quotation of the Company's common stock on the OTC Pink under the ticker symbol "UNTC." Investors can find quotes for the Company's common stock on [www.otcm Markets.com](http://www.otcm Markets.com).

Phil Smith, Chief Executive Officer of the Company, stated, "We are pleased that our stock is now trading on the OTC Pink with the support of a market maker, as we believe it has the potential to increase the liquidity of our common stock on the OTC Pink providing our current and future shareholders a platform on which they can conveniently trade our common stock."

#### **About Unit Corporation**

Unit Corporation is a Tulsa-based, publicly held energy company engaged through its subsidiaries in oil and gas exploration, production, contract drilling and natural gas gathering and processing. For more information about Unit Corporation, visit its website at <http://www.unitcorp.com>.

#### **Forward-Looking Statements**

This press release has forward-looking statements within the meaning of the Private Securities Litigation Reform Act. All statements, other than statements of historical facts, included in this release that address activities, events, or developments that the Company expects, believes, or anticipates will or may occur are forward-looking statements. Several risks and uncertainties could cause actual results to differ materially from these statements, including not having enough broker dealers making a market in the Company's stock, limited liquidity in the Company's stock and factors described occasionally in the Company's publicly available SEC reports. The Company assumes no obligation to update publicly such forward-looking statements, whether because of new information, future events, or otherwise.