

## Curriculum Vitae

### Christopher Hawk

Small Business & Retirement Plans  
269 S. Beverly Dr. #848  
Beverly Hills, CA 90212

Tel.: (424) 410-3120

10am – 5pm PST  
Mon - Friday

ChrisHawkBiz@Gmail.com

Web: [www.ChristopherHawk.Expert](http://www.ChristopherHawk.Expert)



### Summary of Expertise

**30 years** as a *licensed* life, health & retirement plans agent.

Specialist, in Tax-Deferred & Tax-Exempt Income

Military veteran and former Los Angeles County Probation Department employee.

15 years of *annually* assisting third party brokers and custodians, whom contract with corporate business owners for *group sponsored* employee payroll deduction services; during their *open enrollment* seasons. Among the HIPPA compliant services provided as an agent and *benefit counselor* include:

Pre-Tax Health Insurance	Disability Insurance	Long Term Care
Life insurance	Supplemental health plans	Social Security options
Health Savings Accounts	Prepaid Legal services	<b>Research &amp; Reports</b>

Servicing the *general consumer* markets since 1989, for those seeking the purchase of individually or family owned life, disability, long term care and health insurance plans.

As a retirement planner, consultant or seminar lecturer in the areas of:

- **Pre-tax** Qualified Retirement plans (i.e. 401Ks, IRAs, SEPs, Roth IRAs Defined Benefit Pensions, Safe-Harbor & Profit Sharing, Secure ACT 2019)
- **After-Tax** Non-Qualified Retirement Plans (i.e. Roth's, Annuities, Money Purchase plans, NQ Deferred Compensation Plans).
- Rollover & Exchange Retirement Plan options
- Self-Directed IRAs and Private Placements (retirement & estate plans).
- Pre-59 ½ Retirement options & *In-Service* transfer options

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This document is not a *retention agreement*; A retention agreement is always required for services.

Personally, have worked with over *twenty-five* fortune 500 companies, including county and municipal government workers; including meeting with over 5,000 employees and union members 1 on 1 (*face to face*) & HIPPA compliant *call-centers*, during their *open enrollment* benefit seasons — helping employees to *better understand* their benefit options.

### **Certificate & Certifications**

Licensed in *over 20 states*; and was previously a California Notary Public (2006-2010), California Real Estate Agent (1991-1993) and Certified Financial Charitable Advisor (2002).

### **Current Licenses**

AZ, CA, CO, CT, FL, GA, MA, NJ, NV, OH, TX

In 2006, founded the Small Business & Retirement Plans Foundation, a 501 (c) 3 nonprofit corporation; to facilitate *financial literacy* and *business development* work-shops for disadvantaged youths, young adults and small business owners (ages 16-55); to close *financial educational gaps* that trouble many people, rank & file employees and small business owners.

### **Court Services & Appearances**

Los Angeles Superior Court: *Civil Suit*: People vs. Ray Fox (May – July 2018) – Financial Tort  
San Bernardino Superior Court: People vs. Larry Carroll-TV anchor (Nov 1999) Financial Fraud  
Los Angeles Superior Court: Escobar vs. Payless (Feb 1997) served *jury foreman* – Injury Comp  
Los Angeles Criminal Courts: Murder Trial (1994) – 30 days of juror service

### **Publications**

Authored and *self-published* eight self-help financial books; such as, Secrets of Immigration, The Secrets of Retirement Planning, Secrets of Tax-Deferred Income, Secrets of the Insurance Industry, and Women’s Retirement & Insurance Planning — just to name a few.

### **Employment**

2009 – 2020 Direct Path LLC, AL (formerly- Enrollment Advisors)- *benefits counselor*.  
2010 – 2012 Farmington Company, CT - *Benefit counselor & enrollments*  
2004 – 2006 Hodges & Mace Benefit Enrollers, GA – *Benefits counselor*  
1988 – 2000 Los Angeles County Probation Department – *Group Supervisor & Ward Mgmt.*  
1985 – 1987 Dept. of Navy U.S. Embassy, Singapore

### **Education and Training**

B.A, in Business Administration 1992 West Coast University (Los Angeles, CA)  
Real Estate principles 1989 West Los Angeles College  
Business Communications 1988 American College Applied Arts (Westwood, CA)