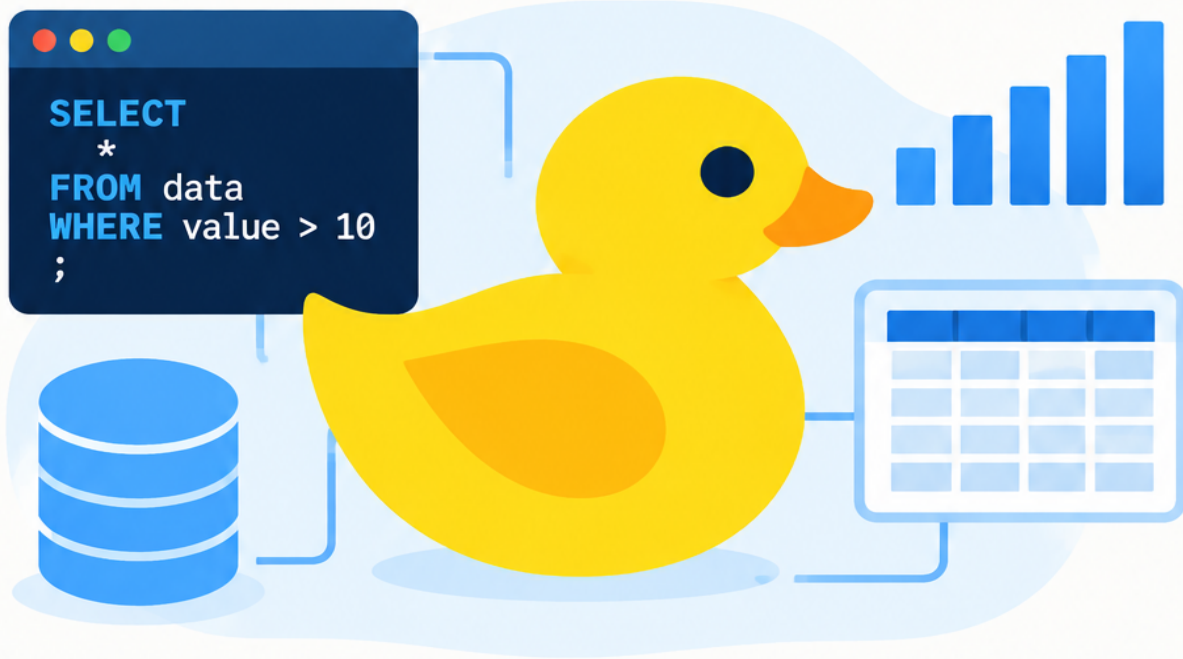


DuckDB

and the Rise of Embedded Analytical Databases

A Comprehensive Guide to
High-Performance Local Analytics



ANDREW LIN

DuckDB and the Rise of Embedded Analytical Databases

A Comprehensive Guide to High-Performance Local Analytics

Steve Publications

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A Comprehensive Guide to High-Performance Local Analytics

This book is for software developers, data engineers, data scientists, analytics engineers, database professionals and systems architects who need to understand DuckDB deeply and build high-performance analytical applications around it. You will learn the evolution of analytical databases, DuckDB's architecture from first principles, advanced SQL capabilities, performance engineering techniques, cloud and object storage integration and production deployment patterns. By the end, you will know when and how to use DuckDB as an embedded analytical engine and how to design systems that leverage its unique strengths. All examples target DuckDB 1.4.x LTS with Python 3.11+ and are complete, runnable and production-oriented.

Introduction: Analytics at the Edge of Your Process

In the spring of 2025, a data engineering team at a mid-sized company found itself staring at a familiar problem. Their warehouse queries were growing slower as data volumes expanded. Their analysts spent more time waiting for results than thinking about them. The monthly cloud warehouse bill was climbing faster than anyone had expected. The solution seemed obvious: add more compute credits, right-size the clusters, optimize the transformation layer. They did all of this and noticed marginal improvement.

A few weeks later, a data scientist on the same team shared a notebook. She had been analyzing customer behavior locally using CSV exports and pandas, frustrated by the wait times she experienced when querying the warehouse through SQL clients. To speed things up, she had tried something unusual: loading the exported data into a local DuckDB database and running SQL queries from her Jupyter notebook. The results astonished her. A query that took ninety seconds through the warehouse interface completed in two seconds locally.

This was not an isolated incident. Across industries, engineers and analysts were discovering that the most powerful analytical engine they could use was often the one running in the same process as their application, not the one sitting behind a firewall in a remote data center. DuckDB, an embedded analytical database that had been quietly accumulating momentum since its 2019 debut, was becoming the engine behind this shift.

DuckDB represents a fundamental rethinking of where analytical workloads should execute. Instead of treating the data warehouse as the universal endpoint for every analytical query, DuckDB brings warehouse-grade SQL and columnar processing into the environments where data work actually happens: inside notebooks, desktop applications, CI pipelines, serverless functions, edge devices, and local development environments. The database runs as a library linked directly into your process, eliminating network latency, serialization overhead, and infrastructure complexity while delivering performance that often exceeds remote warehouses for single-machine workloads.

The Book's Promise

This book will give you a deep, practical understanding of DuckDB and the broader category of embedded analytical databases it represents. You will learn:

- How DuckDB's architecture differs from traditional client-server databases and why this matters for performance and deployment.
- The internal mechanisms that make DuckDB fast: vectorized execution, columnar storage, morsel-driven parallelism and cost-based optimization.
- How to write efficient analytical queries using DuckDB's SQL dialect and advanced features including window functions, approximate aggregations, pivoting, JSON operations and recursive queries.
- How to integrate DuckDB with the modern Python data stack: pandas, Polars, NumPy, PyArrow and data engineering tools like dbt.
- How to query data directly from cloud object storage, Parquet datasets, CSV files, JSON and Arrow without loading everything into a warehouse first.
- How to embed DuckDB inside applications, notebooks and services as a first-class analytical engine.
- How to tune and profile DuckDB for production workloads, diagnose performance problems, and avoid common anti-patterns.
- Where DuckDB fits in modern data architectures and how it compares to SQLite, PostgreSQL, ClickHouse, Spark, Trino and cloud warehouses.

By the end, you will be able to make informed decisions about when to use DuckDB, how to architect systems around it, and how to squeeze maximum performance out of it for your specific workloads.

Who This Book Is For

This book assumes you are technically proficient with some experience in programming and data work. You should be comfortable with Python, understand basic SQL concepts, and have worked with data in some form, whether through data science workflows, analytics engineering, application development, or database administration. You do not need deep knowledge

of database internals. In fact, one of this book's goals is to give you that knowledge, starting from clear foundations and building progressively.

If you are a software developer exploring options for adding analytical capabilities to your application, this book will show you how DuckDB can be embedded as a library without the complexity of managing a separate database server. If you are a data engineer evaluating tools for ELT pipelines and local data processing, you will learn practical patterns for using DuckDB in transformation workflows. If you are a data scientist tired of waiting for warehouse queries or struggling with pandas memory limits, you will discover how DuckDB can become your daily analytical workhorse. If you are a database professional or systems architect assessing where DuckDB fits in your technology stack, the comparisons and architectural discussions will help you understand its tradeoffs.

How to Use This Book

The book is structured to take you from foundational concepts through advanced engineering. The early chapters establish context by explaining the evolution of analytical databases and introducing DuckDB's architecture and basic usage. The middle chapters dive deep into SQL capabilities, query execution internals, performance engineering, DataFrame and Arrow integration and cloud storage access. The later chapters cover embedded application patterns, extensions, production deployment, security, and comparisons with other systems.

You can read the book sequentially from start to finish if you want a thorough understanding of everything DuckDB has to offer. Alternatively, if you are already familiar with analytical databases and just want to learn DuckDB, you can start with Chapter 3 and jump directly to the chapters relevant to your immediate needs: Chapter 6 for SQL capabilities, Chapter 12 for performance tuning, Chapter 13 for Python integration, Chapter 14 for cloud storage, or Chapter 18 for comparisons with other systems.

Versions and Conventions

All code examples in this book target DuckDB version 1.4.2 LTS. This version was chosen because it represents a stable, well-supported release that includes most of the features discussed here. Some chapters reference features

introduced in later versions or features that were experimental at the time of writing. Those features are clearly marked as such, and you should verify their status against the latest DuckDB documentation before relying on them in production systems.

The Python examples use Python 3.11+ with the following core dependencies:

- duckdb 1.4.2
- pandas 2.2.3
- polars 1.27.0
- pyarrow 19.0.1
- numpy 2.2.4

Every code example is designed to be complete and runnable. You should be able to copy the code, run the setup commands, and reproduce the results. Some examples require downloading sample datasets; those are clearly noted with download instructions. Performance claims and benchmarks include their methodology and environment details so you can interpret them appropriately for your own context.

A Note on Embedded Analytics

Embedded analytical databases like DuckDB do not replace traditional data warehouses or distributed query engines. They occupy a different niche. Warehouses excel at coordinating data across organizations, enforcing governance, and providing centralized access to curated datasets. Distributed engines like Spark and Trino scale across clusters for workloads that exceed single-machine capacity. DuckDB excels at single-node analytical processing with minimal infrastructure, maximum developer convenience, and excellent performance for datasets that fit within one machine's memory and storage.

The most successful data organizations tend to use a combination of these tools rather than choosing one as the universal solution. Understanding DuckDB's strengths, limitations, and architectural patterns helps you decide when it is the right choice and how to combine it with other systems for maximum effectiveness.

This book will give you the technical depth to make those decisions with confidence.

Chapter 1: The Analytical Database Problem

The Rise of Analytical Workloads

Every organization that collects data eventually develops a need to analyze it. This is such an obvious statement that it barely bears saying, yet the way we have built systems to support that need tells a revealing story about technology evolution and the challenges that lie ahead.

In the early days of computing, analysis happened directly on operational databases. If you wanted to understand sales trends, you ran queries against the same database that processed customer orders. This worked reasonably well when data volumes were small and the number of analytical queries was modest. The relational database model, pioneered by Edgar F. Codd in 1970 and commercialized in the 1980s, provided a solid foundation for both transaction processing and simple analytics.

As data grew and analytical needs became more sophisticated, organizations realized that running complex analytical queries against operational databases was a bad idea. Aggregating millions of rows, performing joins across multiple tables, and computing complex statistics locked up tables that applications needed for everyday transactions. Users complained about slow response times. Database administrators complained about resource contention. The analytical workload and the transactional workload were fundamentally incompatible on the same system.

This incompatibility gave rise to the data warehouse. The concept, articulated prominently by Bill Inmon in the late 1980s and popularized by Ralph Kimball in the 1990s, involved creating a separate database optimized for analytical queries. Data would be extracted from operational systems, transformed into an analytical schema, and loaded into the warehouse, where analysts could run queries without affecting production applications. This pattern, known as ETL for Extract, Transform, Load, became the backbone of enterprise analytics for decades.

The rise of data warehouses was accompanied by the formalization of OLAP, short for Online Analytical Processing. The term itself was coined by Edgar F. Codd in 1993, and OLAP systems became specialized tools for multi-dimensional analysis, supporting operations like drill-down, roll-up, and slice-and-dice across business dimensions such as time, geography, product lines, and customer segments. Early OLAP systems relied on pre-computed multidimensional cubes that stored aggregated values in advance. This approach, known as MOLAP for Multidimensional OLAP, offered excellent query performance for anticipated queries but struggled with ad-hoc analysis.

The modern data landscape has only intensified the demand for analytical processing. Organizations now collect orders of magnitude more data than they did in the warehouse era, spanning traditional structured records, logs, events, JSON documents, images, and sensor readings. Data teams have multiplied in size. Analysts are no longer a small group of specialists; every product manager, marketing lead, and executive wants direct access to data. The volume, variety, and velocity of analytical workloads have grown beyond what traditional architectures were designed to handle.

Yet beneath all this growth lies a persistent problem: analytical queries are inherently expensive, and the distance between where data lives and where it is analyzed has only been getting longer.

From Data Warehouses to Everywhere

The traditional data warehouse solved the immediate problem of separating analytical queries from operational workloads, but it introduced new challenges. Warehouses are centralized systems. All data flows into one place, and all queries execute against that central repository. This centralization creates dependencies and bottlenecks that compound as organizations grow.

The first issue is latency. When analysts query a warehouse, every query must travel over the network to the warehouse cluster, wait in queues alongside other queries, execute on shared hardware, and return results. Even on a well-tuned system, this round-trip adds overhead. Interactive exploration, where analysts run dozens of queries to understand a dataset, becomes slow because each query incurs this penalty independently.

The second issue is resource contention. In a centralized warehouse, all users share the same compute resources. When one team runs a heavy

aggregation query during a critical reporting window, everyone else feels the impact. Query prioritization, resource isolation, and capacity planning become ongoing operational concerns. The more users a warehouse serves, the harder it is to guarantee consistent performance.

The third issue is cost. Modern cloud warehouses like Snowflake, BigQuery, and Redshift charge by compute usage. As data volumes grow and query frequency increases, bills grow accordingly. Organizations that started with a modest monthly warehouse bill can find themselves paying hundreds of thousands or millions of dollars annually as usage scales. This cost pressure is not merely theoretical. Companies across industries have reported warehouse bills that exceed their initial expectations by multiples, sometimes requiring expensive capacity reviews and optimization projects to bring under control.

The fourth issue is architectural rigidity. A centralized warehouse assumes that all analytical work happens against the same curated dataset, through the same access patterns. But modern data work is more heterogeneous than that. Data scientists analyze datasets that never made it into the warehouse. Engineers debug issues using raw logs that live in object storage. Local development environments need analytical capabilities without waiting for warehouse access. Edge devices and IoT applications need to process data locally without round-trips to central infrastructure. A single centralized warehouse cannot serve all these use cases efficiently.

These limitations prompted a rethinking of where analytical workloads should execute. The cloud warehouse era, which began around 2010 and matured in the late 2010s, solved many problems of the legacy on-premises warehouse: elastic scaling, pay-as-you-go pricing, managed operations, and integration with cloud storage. But it also reinforced the centralized pattern that created latency, contention, and cost issues in the first place.

The response to these challenges has not been a single new technology but rather a shift in philosophy: instead of assuming that all analytics must happen in one central place, we should bring analytical capability closer to where the data and the users actually are. This philosophy has manifested in several ways. Data lakes and lakehouse architectures store data in cloud object storage and allow queries to run directly against it, bypassing the need to load everything into a warehouse. Distributed query engines like Trino and Presto let users run SQL across disparate data sources without moving data. Notebook-based analytics tools like Jupyter and Databricks integrate analytical capabilities into the environments where data scientists work. And embedded

analytical databases like DuckDB run inside the process of the application that needs them, eliminating all intermediate layers.

None of these approaches is a universal solution. Each has tradeoffs in complexity, scalability, performance, and operational overhead. But together they represent a broader movement away from the one-size-fits-all data warehouse toward a more distributed and composable model of analytics.

The Latency and Friction Tax

Every layer of infrastructure between a user and their data imposes a tax in the form of latency, friction, and cognitive overhead. Understanding this tax is crucial to understanding why embedded analytical databases have become attractive.

Consider the typical journey of an analytical query in a traditional stack. An analyst sits at their desk and opens a SQL client. They write a query against a warehouse and click run. The query is serialized and sent over the network to the warehouse. It enters a query queue, where it waits alongside other queries. The warehouse scheduler assigns it to a compute cluster, which may need to scale up from idle. The query executes, scanning petabytes of data across multiple nodes, performing joins, aggregations, and window functions. Results are serialized and sent back over the network. The analyst sees the results and decides they want to refine the query, so they repeat the process.

This journey includes many sources of latency and friction. Network round-trips add hundreds of milliseconds or seconds. Query queuing adds wait time, especially during peak hours. Cluster scaling can take minutes if the warehouse is configured to conserve resources. The serialization of large result sets consumes bandwidth and time. And every iteration requires the full journey to repeat.

Beyond measurable latency, there is friction in the form of operational overhead. To query the warehouse, the analyst needs credentials, permissions, a client tool, and possibly VPN access. They need to know the schema and naming conventions of the warehouse tables. They may need to request access to certain datasets, which involves filing tickets and waiting for approval. This friction discourages experimentation and exploratory analysis, pushing analysts toward pre-built reports and dashboards instead of direct data access.

The friction is even worse for data scientists working in notebooks and for engineers working in development environments. If their data lives in the warehouse, they must either query the warehouse from their notebook (which requires configuring credentials and connections) or export data from the warehouse into local files (which takes time and may lose freshness). Neither option is ideal. Direct querying couples their workflow to the warehouse infrastructure. Exporting creates stale copies that diverge from the source of truth.

Embedded analytics addresses both latency and friction by moving the analytical engine into the user's process. When DuckDB runs inside your Python notebook, your desktop application, or your CI pipeline, queries execute locally with no network round-trip, no queuing, and no credential management. You open your notebook, connect to a local DuckDB database or directly query Parquet files on your filesystem, and get results immediately. The workflow is as simple and direct as running a Python function call.

This does not mean embedded analytics eliminates the need for centralized warehouses. Warehouses still play an essential role as the system of record for curated organizational data. But for many common analytical workflows, especially those involving exploratory analysis, data science, local development, and application-level analytics, the latency and friction tax of a centralized warehouse is simply not worth paying.

Local and Embedded Analytics Demands

The demand for local and embedded analytics comes from several distinct user groups, each with specific needs and constraints.

Data scientists working in Jupyter notebooks represent one major user group. Their workflow is iterative and exploratory. They load datasets, explore distributions, test hypotheses, train models, and refine their analysis based on what they discover. This process involves running dozens or hundreds of queries and analyses, many of which are dead ends or refinements of earlier attempts. When every query requires a round-trip to a remote warehouse, this process becomes painfully slow. When they must export data from the warehouse into local files first, they lose freshness and add manual steps to their workflow. A local analytical engine that can run SQL queries directly in the notebook, working with local files or in-memory dataframes, dramatically accelerates this workflow.

Application developers represent another major user group. Many applications need analytical capabilities: computing metrics, generating reports, performing aggregations over user data, analyzing logs and events. Traditionally, developers have implemented this functionality using application code with loops and in-memory data structures, which is slow and error-prone, or by querying an external database, which introduces latency and dependency complexity. An embedded analytical database like DuckDB lets developers express these analytical operations as SQL queries that execute within the same process as the application, combining the expressiveness and performance of SQL with the simplicity of embedded deployment.

Data engineers working on ELT pipelines need to transform and process data efficiently. Modern ELT pipelines often extract data into cloud object storage and then transform it using SQL within a warehouse. But this model has drawbacks for development and testing. Engineers must deploy their transformations to the warehouse to test them, which requires credentials, permissions, and potentially expensive compute credits. A local DuckDB instance lets engineers develop and test their ELT logic locally using local copies of their data, then deploy the same SQL to the warehouse for production execution. This separation of development and production execution improves iteration speed and reduces costs.

Desktop applications and local-first tools represent a growing use case. Products like spreadsheet applications, desktop analytics tools, and local BI clients need analytical capabilities that work offline and respect user privacy by processing data locally rather than sending it to external servers. An embedded analytical database that runs inside the desktop application provides this capability without requiring external infrastructure.

Edge computing and IoT devices also create demand for embedded analytics. Sensors, routers, and edge servers collect data that must be processed locally to meet latency requirements and bandwidth constraints. A lightweight analytical database that can run on edge hardware, perform aggregations and filtering locally, and only transmit summarized results back to central systems fits this use case well.

Each of these use cases shares common requirements: low latency, minimal infrastructure, easy integration with existing code, and sufficient performance for the workload size. Traditional client-server databases and centralized warehouses are not designed for these requirements. They assume network communication, multi-user access, and centralized management as default.

Embedded analytical databases assume the opposite: single-process execution, direct memory access, and minimal operational overhead.

Why Existing Tools Fell Short

Before DuckDB gained prominence, engineers who needed embedded analytical capabilities had to choose between tools that were not ideal for the job. Understanding these alternatives illuminates why DuckDB's emergence was significant.

SQLite is the most widely deployed database in the world. It is embedded, lightweight, and requires no server. It is the default storage for mobile applications, browsers, desktop applications, and countless other systems. But SQLite is designed for OLTP workloads, not OLAP. It stores data in row-oriented format, which is efficient for inserting and retrieving individual rows but poor for analytical queries that scan and aggregate large numbers of rows. Running an aggregation like `SELECT COUNT(*) FROM orders WHERE date > '2024-01-01' GROUP BY product_id` against a million-row table in SQLite can take seconds or minutes, while an OLAP-optimized database completes the same query in milliseconds. SQLite's design simply does not match the patterns of analytical workloads.

PostgreSQL and other traditional relational databases offer excellent analytical capabilities, including window functions, complex joins, and extensive SQL features. But they are client-server databases that require a running server process, network connections, and operational management. Running PostgreSQL inside a Docker container for local analytics adds overhead and complexity that many users find undesirable. And PostgreSQL's row-oriented storage, while improved over the years with columnar extensions, is still not optimized for analytical scanning in the way purpose-built OLAP systems are.

Data science libraries like pandas fill the gap between traditional databases and application code. pandas provides rich data manipulation capabilities for in-memory DataFrames and has become the de facto standard for data analysis in Python. But pandas has limitations. It operates in process memory, which means datasets are bounded by available RAM. Operations that do not fit in memory cause crashes or require manual chunking. pandas is primarily single-threaded for most operations, which means it does not utilize multi-core CPUs effectively. And its API, while powerful, is not as expressive or declarative

as SQL for many analytical operations. Users often find themselves writing complex pandas code that could be expressed more simply as SQL.

Apache Spark provides distributed processing at scale. It can handle datasets that exceed the capacity of a single machine, parallelize across clusters, and integrate with many data formats and storage systems. But Spark is heavyweight. Setting up a Spark environment requires significant configuration. Running Spark locally adds overhead from serialization and JVM startup. For datasets that fit on a single machine, Spark's distributed execution model introduces unnecessary complexity. And Spark SQL, while improving, has historically lagged behind traditional databases in SQL feature completeness and optimization sophistication.

Cloud warehouses like Snowflake and BigQuery offer managed, scalable analytical processing with excellent SQL support. But they are inherently remote systems. Querying them requires network access, credentials, and acceptance of the latency and cost patterns discussed earlier. They are also not available in offline or air-gapped environments, nor are they suitable for embedding inside applications where users do not want to send data to external infrastructure.

Before DuckDB, there was no tool that combined embedded simplicity, OLAP-optimized performance, full SQL support, and seamless integration with the Python data stack. SQLite was embedded but not analytical. PostgreSQL was analytical but not embedded. pandas was analytical and embedded but limited by memory and single-threaded execution. Spark was analytical and scalable but heavyweight and remote. Cloud warehouses were analytical and powerful but remote and expensive. DuckDB filled this gap by combining the best qualities of each category: embedded like SQLite, analytical like PostgreSQL and Spark, and integratable like pandas, all while running as a single-process library with no external dependencies.

This gap was not merely academic. Engineers and data scientists had been working around the limitations of existing tools for years, using duct-tape solutions like exporting data to CSV, running pandas code with manual memory management, or querying local SQLite databases for tasks they were not designed for. DuckDB's emergence offered a purpose-built alternative that addressed these pain points directly, which is why its adoption has grown so rapidly across the data engineering, data science, and application development communities.

Chapter 2: A Brief History of Analytical Databases

The Birth of Data Warehousing

To understand why DuckDB matters, we need to understand how we got to the current state of analytical processing. The story begins in the 1970s with the invention of the relational database and proceeds through decades of innovation in storage formats, execution models, and system architectures.

The relational database model, formalized by Edgar F. Codd in his seminal 1970 paper “A Relational Model of Data for Large Shared Data Banks,” introduced the concept of representing data as tables with rows and columns, related through keys, and queried using declarative languages. This model unified data representation and access in a way that previous hierarchical and network models had not achieved. System R at IBM and Ingres at UC Berkeley implemented the first relational database systems, and the language they developed became known as SQL.

Commercial relational databases emerged in the late 1970s and early 1980s. Oracle, released in 1979, became the first commercially successful relational database management system. IBM released DB2 in 1983. MySQL was created in 1995. PostgreSQL began development in 1986 at the University of California, Berkeley. These systems were designed primarily for OLTP: online transaction processing, the work of recording individual business events like customer orders, account transfers, and inventory updates.

OLTP workloads have specific characteristics. They involve frequent, short-lived transactions that read or write a small number of rows. A typical OLTP query might look up a customer’s account by ID, insert a new order record, or update an inventory quantity. These operations require fast single-row access, strong consistency guarantees, and efficient handling of concurrent writes. Row-oriented storage is well-suited for these patterns because each row is stored contiguously on disk, so reading or writing a single row requires accessing only one region of storage.

As organizations deployed more relational databases for their operational systems, they began to realize that these databases were not ideal for analytical work. Managers and analysts wanted to ask questions like “What were total sales by product category last quarter compared to the previous quarter?” or “Which regions have the highest customer churn?” These queries required scanning and aggregating large numbers of rows, often across multiple tables. When run against the same databases that supported OLTP, these analytical queries consumed CPU, memory, and disk I/O that OLTP queries needed, causing contention and slowing down production applications.

The solution was to create a separate database for analytical workloads, populated with copies of data extracted from operational systems. This concept became known as the data warehouse. The term was popularized by Bill Inmon, who defined a data warehouse in his 1992 book as “a subject-oriented, integrated, non-volatile, and time-variant collection of data in support of management’s decisions.” The key ideas were:

- Subject-oriented: organized around key business subjects like sales, customers, or products rather than operational processes.
- Integrated: combining data from multiple operational sources into a consistent schema.
- Non-volatile: data is loaded and queried but not updated in the same way as operational data.
- Time-variant: preserving historical data to enable trend analysis.

The process of moving data from operational systems to the warehouse was formalized as ETL: Extract data from source systems, Transform it into a warehouse-friendly schema, and Load it into the warehouse. This pattern became the foundation of enterprise data architecture for decades.

Columnar Storage and Compression

The early data warehouses were built on top of the same relational database technology that supported OLTP. They used row-oriented storage, which was efficient for the transactional workloads they inherited but not optimal for analytical queries.

Analytical queries have very different access patterns than OLTP queries. Instead of accessing individual rows by key, analytical queries typically scan

large portions of a table and aggregate values from specific columns. A query like `SELECT AVG(sales_amount) FROM transactions WHERE region = 'West'` needs to read the `sales_amount` and `region` columns from potentially millions of rows. With row-oriented storage, the database must read every column of every row, even though most columns are not needed for this query. This wastes I/O and memory bandwidth.

Columnar storage solves this problem by storing each column's values contiguously rather than storing complete rows contiguously. In a columnar format, all values of the `sales_amount` column are stored together, all values of the `region` column are stored together, and so on. When a query needs only `sales_amount` and `region`, the database reads only those two columns, skipping all others entirely. For analytical queries that typically access a small fraction of a table's columns, this selective column access dramatically reduces I/O.

Columnar storage also enables better compression. Values in a single column tend to be similar or follow patterns. A column of dates might contain consecutive days. A column of product categories might contain a small set of repeated values. A column of prices might contain values within a narrow range. Compression algorithms exploit these patterns more effectively when similar values are stored together. Row-oriented storage mixes different data types and value ranges within the same storage block, reducing compression efficiency.

The first prominent columnar database systems emerged in the late 1990s and early 2000s. Vertica, founded in 2005, was one of the earliest commercially successful columnar databases. MonetDB, a research project at CWI and Utrecht University, demonstrated the performance advantages of columnar storage through rigorous academic evaluation. InfiniDB, founded in 2003 and later acquired by MySQL AB, also pioneered columnar processing.

MonetDB was particularly influential because it was developed in an academic setting and its architecture was thoroughly documented and evaluated. It introduced many techniques that became standard in modern analytical databases, including vectorized execution and optimized columnar compression. MonetDB's influence would later be felt directly in DuckDB, which was created by researchers who had worked on or studied MonetDB's architecture.

Cloud data warehouses embraced columnar storage as a foundational technology. Snowflake, launched in 2012, stores data in a columnar format. Google BigQuery, launched in 2010, uses columnar storage internally. Amazon

Redshift, launched in 2013, also employs columnar storage. By the time DuckDB emerged in 2019, columnar storage was an established best practice for analytical workloads.

Vectorization and Batch Execution

Storing data in columnar format is only part of the story. Equally important is how the database processes that data once it is loaded into memory. The execution model determines how efficiently the CPU can operate on the data.

Traditional relational databases use what is known as the Volcano iterator model, named after the paper by Goetz Graefe in 1993. In the Volcano model, operators are organized in a tree, and each operator provides a `next()` method that returns one row at a time. When the top-level operator calls `next()`, it triggers a chain of `next()` calls down the tree until a base table scan produces a row, which bubbles back up through filters, joins, and aggregations until it reaches the final result. This tuple-at-a-time model is conceptually simple and supports streaming execution with low memory usage. But it has significant overhead: for every row processed, the database makes function calls at every level of the operator tree. For a query that processes millions of rows, the function call overhead alone can consume a substantial fraction of CPU time.

Vectorized execution addresses this overhead by processing data in batches rather than one row at a time. Instead of calling `next()` to get a single row, operators process vectors of rows together, typically 1,024 to 4,096 rows per batch. When a filter operator applies a predicate like `region = 'West'`, it applies the predicate to the entire vector in a single loop, using CPU vector instructions when available. When a projection operator computes derived columns, it operates on entire vectors rather than individual rows.

The advantages of vectorized execution are substantial:

- Reduced function call overhead: a single function call processes many rows, so the overhead per row is amortized.
- Better cache utilization: operating on contiguous columns in memory patterns that match how CPU caches work.
- SIMD instructions: modern CPUs have Single Instruction Multiple Data registers that can apply the same operation to multiple values simultaneously. Vectorized execution maps naturally onto SIMD.

- Compiler optimization: batch-oriented code is easier for compilers to optimize than row-oriented code with complex control flow.

The first analytical database to prominently use vectorized execution was HyPer, a research database from the University of Marburg. HyPer’s paper, “HyPer: A Fast OLAP-Database System” by Thomas Neumann and Gerhard Weikum, published in VLDB 2010, demonstrated that vectorized execution could dramatically outperform the Volcano model on analytical queries.

MonetDB also employed vectorized execution techniques, and its influence on later systems was significant. By the late 2010s, most modern analytical databases had adopted vectorized execution as standard. ClickHouse, Dremio, Presto/Trino, Snowflake, and many others use vectorized execution engines. DuckDB follows this pattern, processing data in vectors of a fixed size, with `STANDARD_VECTOR_SIZE` set to 2,048 rows by default.

The MPP Revolution

Single-machine analytical databases, even with columnar storage and vectorized execution, eventually hit scaling limits. As datasets grew into the terabytes and petabytes, a single node’s CPU, memory, and disk could not process queries fast enough. The solution was to distribute data and computation across multiple machines, creating what became known as Massively Parallel Processing databases.

MPP databases partition data across multiple nodes. Each node stores a portion of the data and runs a copy of the database engine. When a query executes, the work is divided among nodes: each node processes its local data partition independently, and intermediate results are combined to produce the final answer. This horizontal scaling allows MPP systems to handle much larger datasets and higher query concurrency than single-machine systems.

Greenplum, an open-source MPP database derived from PostgreSQL, emerged in the early 2000s. Teradata was an early commercial MPP system dating back to the 1980s. Netezza, founded in 1999, combined MPP architecture with custom hardware. These systems were expensive and complex but became the standard for enterprise-scale analytics.

The cloud era brought managed MPP services. Amazon Redshift, based on Postgres but redesigned for MPP, launched in 2013. Google BigQuery

was launched in 2010 as a fully managed, serverless analytical service that abstracted away cluster management entirely. Snowflake, launched in 2012, separated storage from compute and allowed independent scaling of each, becoming one of the most popular cloud warehouses.

MPP systems are powerful but carry complexity. They require data distribution strategies, cross-node communication protocols, fault tolerance mechanisms, and cluster management infrastructure. For workloads that fit within a single machine, the overhead of MPP is unnecessary. For smaller organizations or individual users, the cost and operational burden of managing a cluster are prohibitive.

This is where embedded analytical databases like DuckDB fit into the landscape. DuckDB is not an MPP system. It runs on a single machine and scales with that machine's resources. It uses morsel-driven parallelism to utilize all CPU cores on the machine, but it does not distribute data or computation across multiple machines. For datasets that fit on a single machine, which covers a large and growing range of use cases thanks to cheaper storage and more powerful CPUs, DuckDB offers excellent performance without the complexity and cost of MPP.

For larger workloads, DuckDB can be combined with MPP systems or distributed query engines. DuckDB can query data stored in cloud warehouses or object storage, and it can serve as a local analytical engine while a warehouse serves as the centralized data repository. This hybrid approach lets organizations use the right tool for each workload.

Cloud Warehouses and Serverless Analytics

The shift to cloud infrastructure transformed data warehousing in fundamental ways. Traditional on-premises warehouses required organizations to purchase hardware, plan capacity, perform maintenance, and manage upgrades. Cloud warehouses abstracted away most of this operational complexity, offering fully managed services with elastic scaling and pay-as-you-go pricing.

Snowflake pioneered the separation of storage and compute in cloud warehouses. Data is stored in cloud object storage (Amazon S3 in Snowflake's case), while compute resources are allocated separately and can be scaled independently. Users can spin up compute clusters for heavy analytical workloads and shut them down when not in use, paying only for the compute time they

consume. This model reduced the cost of analytics for many organizations and made it easier to handle variable query workloads.

Google BigQuery took a different approach by offering a fully serverless model. Users submit queries and receive results without provisioning or managing any infrastructure. BigQuery automatically scales compute resources to match query demand and charges based on the amount of data scanned by queries. This simplicity made BigQuery attractive for organizations that wanted analytical capabilities without operational overhead.

Amazon Redshift started as a more traditional MPP service with provisioned clusters but later introduced serverless options and improved integration with AWS services. Microsoft Azure Synapse Analytics and Databricks followed similar patterns, combining warehouse capabilities with broader data platform features.

Cloud warehouses solved many problems of on-premises analytics: capacity planning, hardware maintenance, software upgrades, and high availability. But they also introduced new challenges. Queries must travel over the network to reach the warehouse, adding latency. Data must be uploaded to cloud storage, which can be slow for large datasets. Cost management becomes an ongoing concern as data volumes and query volumes grow. And cloud warehouses are not suitable for all environments: offline or air-gapped environments, embedded applications, and edge computing scenarios cannot rely on cloud infrastructure.

Embedded analytical databases like DuckDB complement cloud warehouses by providing analytical capabilities in environments where cloud access is impractical or undesirable. A data scientist can download a subset of data from the warehouse, load it into a local DuckDB database, and iterate on queries locally before running the final query against the warehouse. An application can embed DuckDB for local analytics while also integrating with a cloud warehouse for centralized reporting. A CI pipeline can run tests against local DuckDB databases without requiring warehouse access.

The coexistence of cloud warehouses and embedded analytical engines reflects a broader trend: instead of a single monolithic system serving all analytical needs, modern data architectures are becoming more heterogeneous and composable. Different tools handle different workloads: cloud warehouses for centralized curated data, distributed engines for cross-system queries, and embedded engines for local and application-level analytics. Understanding this

landscape helps you choose the right tool for each problem.

The Research Lineage Behind DuckDB

DuckDB did not emerge from thin air. It is the product of decades of database research and the accumulated knowledge of researchers and engineers who have worked on analytical database systems. Understanding DuckDB's lineage helps explain many of its design choices.

DuckDB was created by Mark Raasveldt and Hannes Mühleisen, researchers at CWI in Amsterdam, and the first version was released in 2019. Both authors had been involved in or influenced by earlier analytical database projects.

MonetDB is a direct predecessor. CWI was the home of the MonetDB project, and the research team there had spent years developing techniques for columnar storage, vectorized execution, and optimized query processing. Many of these techniques influenced DuckDB's architecture. DuckDB's columnar storage model, vectorized execution engine, and many internal optimizations trace their lineage back to work done on MonetDB.

HyPer, developed at the University of Marburg by Thomas Neumann and others, is another important influence. HyPer demonstrated the power of vectorized execution combined with in-memory processing. It introduced morsel-driven parallelism, which allows individual operators to be parallelized without complex data redistribution between nodes. DuckDB's parallel execution model follows this approach: work is divided into small chunks called morsels, and multiple threads process different morsels through copies of the execution plan. This design keeps parallelism localized and minimizes synchronization overhead.

The academic papers from these projects provide detailed technical foundations. MonetDB's papers on columnar storage, HyPer's papers on vectorized execution and morsel-driven parallelism, and DuckDB's own publications in venues like SIGMOD, VLDB, and ICDE document the technical innovations that make modern analytical databases fast. Reading these papers provides deep insight into the design decisions behind DuckDB.

DuckDB is not merely an academic exercise. It has been developed with practical deployment in mind from the beginning. The authors recognized that many users needed analytical capabilities that existing tools did not provide well: embedded deployment, Python integration, local file querying,

and simplicity. By combining research-grade performance techniques with user-friendly interfaces, DuckDB has become both a research platform and a practical tool used by thousands of organizations.

This research lineage is one reason DuckDB performs so well. It benefits from decades of accumulated knowledge about what makes analytical databases fast, distilled into a modern implementation that targets the specific use cases of contemporary data work.

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