

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KAGAN, ELENA	2. Court or Organization SUPREME COURT	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1 FIRST STREET NE WASHINGTON, D.C. 20543		
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. VISITING PROFESSOR (SEPTEMBER 2012)	HARVARD LAW SCHOOL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NATIONAL ASSOC. OF WOMEN JUDGES	MARCH 8-10, 2012	CAMBRIDGE, MA	SPEECH	TRANSPORTATION, HOTEL, MEALS
2.	MARQUETTE LAW SCHOOL	APRIL 3-4, 2012	MILWAUKEE, WI	MOOT COURT, SPEECH	TRANSPORTATION, HOTEL, MEALS
3.	HARVARD LAW SCHOOL	APRIL 20-22, 2012	CAMBRIDGE, MA	SPEECH	TRANSPORTATION, HOTEL, MEALS
4.	HARVARD LAW SCHOOL	AUGUST 2, 2012	NEW YORK, NY	PORTRAIT SITTING	TRANSPORTATION
5.	YALE LAW SCHOOL	AUGUST 28 - SEPTEMBER 2, 2012	THE HAGUE, NETHERLANDS	CONFERENCE	TRANSPORTATION, HOTEL, MEALS

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6.	HARVARD LAW SCHOOL	SEPTEMBER 3-6; SEPTEMBER 9-12, 2012	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
7.	MICHIGAN LAW SCHOOL	SEPTEMBER 6-9, 2012	ANN ARBOR, MI	SPEECH	TRANSPORTATION, HOTEL, MEALS
8.	ST. JOHNS LAW SCHOOL	OCTOBER 14-15, 2012	NEW YORK, NY	SPEECH	TRANSPORTATION, HOTEL, MEALS
9.	UNIVERSITY OF TENNESSEE LAW SCHOOL	OCTOBER 18-19, 2012	KNOXVILLE, TN	SPEECH	TRANSPORTATION, HOTEL, MEALS

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	JUSTICE FEDERAL CREDIT UNION ACCOUNT	A	Interest	M	T					
2.	FRANKLIN TEMPLETON MUTUAL BEACON FUND	B	Dividend	L	T					
3.	VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
4.	VANGUARD TOTAL STOCK MKT INDEX ADM	C	Dividend	M	T					
5.	UNIV. OF CHICAGO RET - TIAA TRADITIONAL	B	Interest	K	T					
6.	UNIV. OF CHICAGO RET - CREF STOCK		None	K	T					
7.	UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY		None	K	T					
8.	UNIV. OF CHICAGO RET - VANGUARD INT-TERM BOND	B	Dividend	K	T					
9.	UNIV. OF CHICAGO RET - VANGUARD WIDSOR FUND	A	Dividend	K	T					
10.	IRA # 1 - FIDELITY MAGELLAN FUND	B	Dividend	M	T					
11.	IRA # 1 - FIDELITY PURITAN FUND	C	Dividend	M	T					
12.	IRA # 1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
13.	IRA # 2 - VAGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
14.	IRA # 2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
15.	IRA # 2 - VANGUARD WELLESLEY INC FUND	B	Dividend	K	T					
16.	IRA # 2 - VANGUARD 500 INDEX FUND	A	Dividend	K	T					
17.	IRA # 2 - VANGUARD INTL EXPORER FUND	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T					
19. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
20. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	L	T	Distributed (part)	05/08/12	J		
21. SCHWAB S&P 500 INDEX FUND	B	Dividend	M	T					
22. WASHINGTON, DC - RENTAL PROPERTY (X)	B	Rent	K	W					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Center for Public Integrity

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ ELENA KAGAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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