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**Amblyopia Treatment Study: Vision  
Therapy**

**ATS-VT**

**A Randomized Trial Comparing Patching  
with Active Vision Therapy to Patching  
with Control Vision Therapy as  
Treatment for Amblyopia in Children 7  
to <13 Years Old**

**PROTOCOL**

Version 3.0

September 17, 2008

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## CHAPTER 1 BACKGROUND & STUDY SYNOPSIS

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This study is being conducted by the Pediatric Eye Disease Investigator Group (PEDIG). It is one of a series of randomized trials and observational studies that address management issues related to the treatment of amblyopia in children.

### 1.1 Study Objective

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To compare the effectiveness of patching combined with active vision therapy plus near activities versus patching combined with control vision therapy plus near activities for moderate amblyopia (20/40-20/100) in 7 to <13 year olds.

### 1.2 Rationale for the Study

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Patching and atropine have been traditionally used for the improvement of visual acuity in children with amblyopia. Previous studies have shown that these methods of treatment are effective in young children with functional amblyopia. More recently ATS3[1], a randomized clinical trial of 507 children ages 7-<18, found that part-time patching combined with atropine and near activities improved visual acuity by two or more lines in 53% of the 7 to 12 year olds compared to 25% for optical correction alone. For the 13 to 17 year olds, part-time patching and near activities improved visual acuity by 2 or more lines in 25%, compared to 23% for optical correction alone. While it appears that patching and/or atropine, combined with near activities, can improve visual acuity in some patients ages 7-<18, most patients in the study were left with residual visual acuity deficits. To further improve visual acuity and binocularity in children with amblyopia some eye care providers augment these traditional therapies with vision therapy. Vision therapy is prescribed initially if there is moderate amblyopia with stereopsis. Vision therapy can be added to the treatment regimen once the patient has reached moderate levels of vision loss with stereopsis or if the patient is not responding to the current treatment and still has moderate amblyopia. It is thought that the best candidates for this type of therapy are those children with a minimum level of stereopsis (at least 800") and without constant strabismus. Those children with no stereopsis would not be able to perform the activities in the later stages of therapy utilizing binocular vision.

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Vision therapy is a sequence of prescribed activities typically performed on a daily basis at home and weekly in-office, and is directed toward an individual patient's deficient skills. Visual skills are practiced under conditions that provide the patient with feedback. The feedback, along with a gradual increase in the demand of the activities as improvement occurs, enables the patient to improve visual functions such as visual acuity, fixation, accommodation, and vergence skills.

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There have been case reports and small sample studies that have shown that vision therapy in combination with spectacles and occlusion is effective in improving the visual acuity of patients with amblyopia.[2-6] Wick et al[4] looked at nineteen patients who were diagnosed with anisometric amblyopia between the ages of 6 to 49. Seventeen of the patients had moderate amblyopia and two had severe amblyopia, based on the definition of amblyopia used in the Amblyopia Treatment Studies. The patients were treated with a sequence that included spectacle correction, occlusion therapy and both monocular and binocular vision therapy. The outcomes were presented as final visual acuity in the amblyopic eye and the Amblyopia Success Index (ASI), used by Meyer et al.[7] The ASI compares the actual visual acuity result with the maximum improvement of vision. The results are reported as a percent between 0% and 100%. Patients having a result of 0% indicate that they did not have any improvement in final visual

180 acuity compared to initial acuity. Patients with a result of 100% indicate that their visual acuity  
181 reached the maximum level of improvement (20/20) over the course of therapy. Thirteen of the  
182 seventeen patients with moderate amblyopia had a final visual acuity of 20/25 or better and all of  
183 the patients with moderate amblyopia had 20/30 or better final visual acuity. The mean ASI for  
184 the moderate group was 92.67%.

185  
186 Wick et al. also compared his patients older than ten with the Meyer cohort to see if there was  
187 any difference in the ASI between the two groups. Meyer's patients improved an average of  
188 43.7% with constant occlusion and spectacle wear, while Wick's patients improved an average  
189 of 92.6% with occlusion (2-5 hours per day), spectacle wear and sequential vision therapy. Wick  
190 et al. makes the assessment that the amount of patching is not the determining factor for  
191 improvement in visual acuity. They further state that in older patients in order to reach  
192 maximum visual acuity spectacle, occlusion and vision therapy are required.[4]

193  
194 More recent reports on "perceptual learning," an active form of therapy in which amblyopic  
195 subjects practice a position-discrimination task, have shown a mean acuity improvement of  
196 approximately 30% (two lines) in amblyopic children and adults who had completed occlusion  
197 therapy.[8-10] These studies provide support for the notion that the practice of particular visual  
198 skills under conditions that provide the patient with feedback (e.g., vision therapy) may be  
199 beneficial in improving the visual performance of amblyopic eyes.

200  
201 The second reason to prescribe active therapy is to enhance or facilitate the effects of occlusion  
202 by directly treating the aforementioned deficits found to be associated with amblyopia. Most  
203 therapy procedures are designed to remediate specific deficiencies in four main areas: fixation,  
204 spatial perception, accommodative efficiency, binocular function and oculomotor control.[5, 11-  
205 13]

206  
207 Lastly, some investigators have suggested that the use of vision therapy may reduce the  
208 likelihood of recurrence of the amblyopia.[4, 14] This may be particularly true with  
209 anisometropic amblyopia in which vision therapy can be used to improve binocular function.

### 210 **1.3 Synopsis of Study Design**

#### 211 212 Major Eligibility Criteria (See section 2.3 for a complete listing)

- 213 • Age 7 to <13 years
- 214 • Amblyopia associated with anisometropia, strabismus (comitant or incomitant), or both at  
215 the time of the eligibility examination
- 216 • No constant strabismus at near at the eligibility examination
- 217 • Visual acuity in the amblyopic eye between 49 and 71 letters inclusive (20/40 to 20/100  
218 inclusive) on the eETDRS
- 219 • Visual acuity in the sound eye of 79 or more letters on the eETDRS (20/25 or better)
- 220 • Inter-eye acuity difference of 15 or more letters (3 or more logMAR lines)
- 221 • At least 800 seconds of arc on the Randot Preschool Stereoacuity Test
- 222 • No previous vision therapy or orthoptics
  - 223 ➤ Previous or current amblyopia treatment with spectacles or contact lenses, patching or  
224 atropine is permitted
- 225 • Single vision spectacles, if needed, worn for at least 16 weeks or until visual acuity  
226 documented to be stable, (bifocals not allowed)
- 227 • The child has access to a computer on a daily basis (to use the home vision therapy  
228 software)

- 229 • No other family member is (or has been) enrolled in this study

230

### 231 Treatment Groups

232 The two treatment regimens for the 16-week treatment period are:

- 233 • 2 hours of daily patching combined with 1 hour daily of near activities (that includes 30
- 234 minutes of at-home active vision therapy) and weekly in-office active vision therapy
- 235 • 2 hours of daily patching combined with 1 hour of daily near activities (that includes 30
- 236 minutes of at-home control vision therapy) and weekly in-office control vision therapy

237

238 Patients in the study will be randomly assigned to active vision therapy or control vision therapy

239 in a 1:1 ratio. Patients and their parents will be masked to the treatment assignment for the

240 duration of the study.

241

242 Spectacle wear will be continued, if prescribed. Patients wearing contact lenses must be willing

243 to switch to spectacles for the duration of the study.

244

### 245 Sample Size

246 Sample size for this study will be 222 patients.

247

### 248 Visit Schedule

249 Weekly in-office treatment visits ( $\pm$  4 days) for 16 weeks and a masked examination at 17 weeks

250 ( $\pm$  1 week).

251

252 At each weekly visit, the patient will be queried regarding diplopia, visual acuity will be

253 measured in each eye, and in-office therapy will be completed. Visual acuity measurements may

254 be completed by a person who is unmasked to the patient's treatment assignment. At the 17-

255 week masked examination the patient will be queried regarding diplopia, and visual acuity,

256 stereopsis, and ocular alignment will be measured. The visual acuity and stereoacuity tests will

257 be performed by a person who is masked to the patient's treatment assignment. Patients also will

258 be asked whether they believe they were receiving active or control vision therapy.

259

### 260 Primary Analysis

261 The primary outcome measure is the proportion of patients with visual acuity of 20/25 or better

262 in the amblyopic eye at the 17-week masked exam. These patients will be considered treatment

263 responders. The primary analysis will consist of a comparison between the 2 treatment groups of

264 the proportion of treatment responders with adjustment for baseline visual acuity.

265

266 Secondary outcomes are stereoacuity at the 17-week masked exam, mean improvement in visual

267 acuity at the 17-week masked exam, and rate of improvement of visual acuity.

268

269 Sound eye visual acuity will be reported for each treatment group at the 17-week masked exam

270 as mean change from baseline, distribution of number of lines of change from baseline, and

271 number and proportion of patients losing 2 or more lines from baseline.

272

### 273 Active Vision Therapy Phase for Control Group Non-responders

274 At the completion of the 17-week visit, the patient and parent will be told their treatment group

275 assignment. Patients in the control group who were not responders will be offered the

276 opportunity to complete a course of active vision therapy that will be identical to what the active

277 group received. Patients will be scheduled for in-office therapy and given the home therapy

278 programs to complete. Parents/patients will have 10 days from the masked examination to

279 decide whether or not they wish to receive the additional therapy. If they decide within the 10  
280 days, this additional therapy will be paid for by the study. If they decide after the 10 day period,  
281 they or their insurance will be required to pay for the vision therapy.  
282

### 283 Observation for Recurrence Phase

284 Active and control group treatment responders will continue in an observation phase for 12  
285 months in order to determine the rate of recidivism once treatment is discontinued. Follow-up  
286 visits will occur at 13, 26, and 52 weeks  $\pm 2$  weeks from the discontinuation of treatment.  
287

### 288 Feasibility Phase

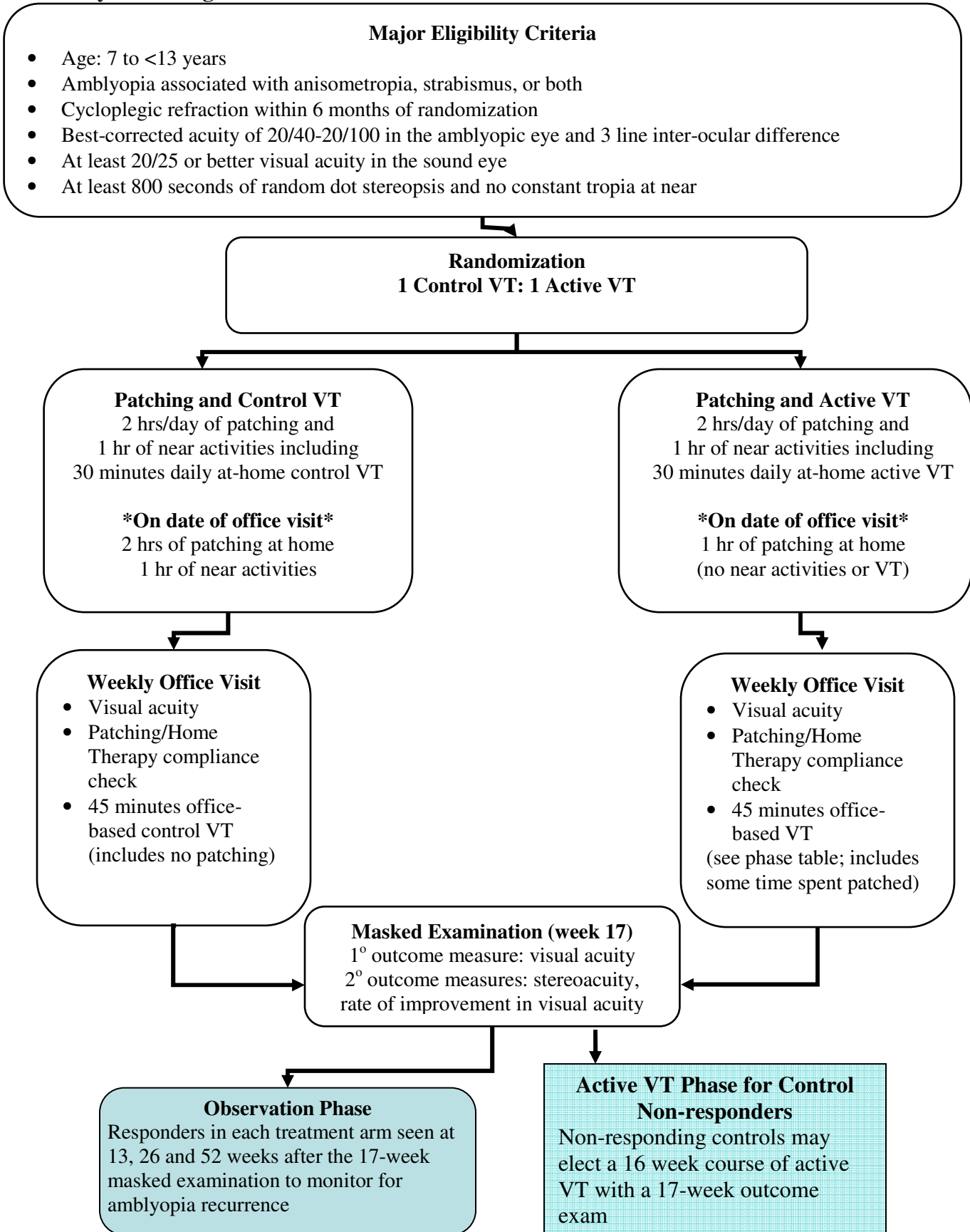
289 Due to concerns regarding whether sites can recruit a sufficient number of eligible patients, if  
290 sites and patients can adhere to weekly office visits, and the high cost of equipment and training  
291 for sites, the randomized clinical trial will have an initial feasibility phase. The feasibility phase  
292 will consist of seven pre-selected sites, three ophthalmology sites and four optometry sites with  
293 demonstrated ability to recruit patients in the desired age group, based on ATS3 performance and  
294 documentation of availability of study-eligible patients prior to study initiation. These seven  
295 sites will enroll forty-five patients during this initial phase. All patients will be enrolled and  
296 treated in full accordance with this protocol. The primary objective of this phase is to determine  
297 the feasibility of recruiting the full sample size and successfully completing the randomized  
298 clinical trial. To this end, the experience and data collected in this phase will be used to:  
299

- 300 1. Determine availability of eligible patients and willingness of parents/patients to be  
301 randomized to the proposed treatments.
- 302 2. Determine adherence to treatment protocol (i.e., weekly visits), especially in the control  
303 group.
- 304 3. Test procedures developed to train vision therapists to administer both active and control  
305 vision therapies according to protocol. Identify possible problems with training and  
306 implementation at the site level.
- 307 4. Test feasibility of delivering an office-based vision therapy program for amblyopia in  
308 PEDIG sites, particularly the ophthalmology sites.
- 309 5. Determine success of masking.
- 310 6. To estimate the percentage of patients in the control group who were not classified as  
311 responders who elect to undergo a course of active therapy.  
312

313 In addition, during the feasibility phase, the reasons that eligible patients decline to enroll and  
314 that patients are ineligible to be enrolled into the study will be collected. This information will  
315 be used to determine whether changes in the protocol for the full scale phase could increase the  
316 number of eligible patients or willingness of eligible patients to be enrolled, such as providing  
317 computers for at home vision therapy, or increasing payments to families for travel expenses.  
318 The decision to continue the study, i.e., add additional sites and recruit the full sample size of  
319 222 patients, will be based on experience and data collected during the feasibility phase of the  
320 study.  
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323 **1.4 Study Flow Diagram**



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326

## CHAPTER 2 PATIENT ELIGIBILITY AND BASELINE TESTING

### 327 2.1 Introduction

328

329 Patients are eligible for the study when the criteria in section 2.3 are met and the following are  
330 present:

331

332 • Investigator intends to prescribe 2 hours of patching per day or patient is being switched  
333 to 2 hours of patching per day

334 • Parent/guardian is willing to bring the patient in for a one hour office visit on a weekly  
335 basis for 17 consecutive weeks

336

337 Refractive error, if present, must be corrected with glasses before enrollment into the study.  
338 Prior correction of refractive error with contact lenses is permitted, but the patient must be  
339 switched to spectacles prior to enrollment into the study and wear spectacles for the duration of  
340 the study.

### 341 2.2 Eligibility Assessment and Informed Consent

342

343 A patient is considered for the study after undergoing a routine eye examination (by a study  
344 investigator) that identifies amblyopia meeting the eligibility criteria.

345

346 For patients who appear eligible for the study following a “standard-care” examination, the study  
347 will be discussed with the child’s parent(s) or guardian(s). Parent(s) or guardian(s) who express  
348 an interest in the study will be given a parent information sheet and a copy of the informed  
349 consent form to read. Written informed consent will be obtained from the parent or guardian and  
350 the Child Assent Form will be signed by the patient prior to performing any study-specific  
351 procedures that are not part of the patient’s routine care.

### 352 2.3 Eligibility and Exclusion Criteria

353

354 The following criteria must be met for the patient to be enrolled in the study.

355

1. Age 7 to <13 years

356 2. Amblyopia associated with anisometropia, strabismus (comitant or incomitant), or both\*

357

a. Criteria for anisometropia: At least one of the following criteria must be met:

358

➤  $\geq 0.50$  D difference between eyes in spherical equivalent

359

➤  $\geq 1.50$  D difference between eyes in astigmatism in any meridian

360

b. Criteria for strabismus: At least one of the following criteria must be met:

361

➤ Heterotropia at distance and/or near fixation on examination (with or without  
362 spectacles)

363

➤ History of strabismus surgery (or botulinum)

364

➤ Documented history of strabismus which is no longer present (which in the  
365 judgment of the investigator could have caused amblyopia)

366

c. Criteria for combined mechanism amblyopia: Both of the following criteria must be  
367 met:

368

➤ Criteria for strabismus are met (see above)

369

➤  $\geq 1.00$  D difference between eyes in spherical equivalent **OR**  $\geq 1.50$  D difference  
370 between eyes in astigmatism in any meridian

371                   ▪ *Note: the spherical equivalent requirement differs from that in the definition*  
372                    *for refractive/anisometropic amblyopia*

373                   \*Additional eligibility criteria apply for patients with strabismus or combined mechanism  
374                   amblyopia. See criterion 3 below.

375                   3. No constant strabismus at near

- 376                   • If constant strabismus of any measurable degree is present at near during the eligibility  
377                   examination, the patient is not eligible.
- 378                   • Patients who have a history of constant strabismus at near prior to the eligibility  
379                   examination, but have intermittent strabismus at near at the eligibility examination are  
380                   eligible for the study, provided they meet all other eligibility criteria.
- 381                   • Patients with constant strabismus at distance with intermittent or no strabismus at near  
382                   are eligible for the study, provided they meet all other eligibility criteria.

383                   4. Visual acuity, measured using the eETDRS protocol on the Electronic Visual Acuity Tester  
384                   (EVA) (*the protocol for conducting the visual acuity testing is described in the ATS Testing*  
385                   *Procedures Manual*), meeting the following criteria:

- 386                   • Best-corrected visual acuity in the amblyopic eye between 49 and 71 letters inclusive  
387                   (20/40 to 20/100 inclusive)
- 388                   • Best-corrected visual acuity in the sound eye 79 or more letters ( $\geq 20/25$ )
- 389                   • Inter-eye acuity difference 15 or more letters ( $\geq 3$  logMAR lines) (i.e., amblyopic eye  
390                   acuity at least 3 lines worse than sound eye acuity)

391                   5. Spectacle correction for measurement of enrollment visual acuity must meet the following  
392                   criteria and be based on a cycloplegic refraction (using cyclopentolate 1%) that is no more  
393                   than 6 months prior to enrollment:

394                   a. Requirements for spectacle correction:

- 395                   ➤ Spherical equivalent must be within 0.50 D of fully correcting the anisometropia
- 396                   ➤ Hypermetropia must not be undercorrected by more than +1.50 D spherical  
397                   equivalent, and reduction in sphere must be symmetric in the two eyes
- 398                   ➤ Cylinder power in both eyes must be within 0.50 D of fully correcting the  
399                   astigmatism
- 400                   ➤ Cylinder axis in the spectacle lenses in both eyes must be within 6 degrees of the  
401                   axis of the cycloplegic refraction when cylinder power is  $\geq 1.00$  D
- 402                   ➤ Myopia of amblyopic eye greater than 0.50 D by spherical equivalent must be  
403                   corrected, and the glasses must not undercorrect the myopia by more than 0.25 D  
404                   or overcorrect it by more than 0.50 D.

405                   b. Spectacles meeting above criteria must be worn either:

- 406                   1) For 16 weeks immediately prior to enrollment/randomization, or
- 407                   2) Until visual acuity in amblyopic eye is stable (defined as two consecutive visual  
408                   acuity measurements by the same testing method at least 4 weeks apart with no  
409                   improvement of 1 logMAR line or more)
  - 410                   ▪ An acuity measurement done any of the following ways may be considered the  
411                   first of two consecutive measurements: 1) in current glasses, 2) in trial frames  
412                   with full correction of hypermetropia with cycloplegia, or 3) by having the  
413                   patient return in new glasses for the first measurement. The second acuity  
414                   measure does not have to be made through the same prescription as the first, if  
415                   the second measure is made through a more accurate prescription. *Note:*  
416                   *because this determination is a pre-study procedure, the method of measuring*  
417                   *visual acuity is not mandated, although eETDRS testing is preferred if done as*  
418                   *part of usual care.*

- 419                   ▪ Prior contact lens wear is permitted, but patients must be willing to switch to  
420                   spectacles for the duration of the study. Stability of visual acuity in spectacles  
421                   according to above criteria must be documented prior to enrollment.  
422                   ▪ Prior bifocal wear is permitted but patient must switch to and show stability  
423                   with single vision glasses before being enrolled in the study. There can be no  
424                   constant strabismus at near without the bifocals.
- 425   6. Near stereoacuity of 800 seconds of arc or better on the Randot Preschool Stereoacuity test
- 426   7. No previous home-based, office-based or computerized vision therapy or orthoptics
- 427   8. Previous or current amblyopia treatment with spectacles, contact lenses, patching, or  
428   atropine is permitted. At the time of enrollment the patient must be:
- 429       • currently patching for 2 hours per day, *or*
- 430       • ready to be switched to 2 hours per day of patching from another patching dose or  
431       from atropine, *or*
- 432       • not currently on treatment (other than spectacles or contact lenses) and ready to initiate  
433       patching for 2 hours per day.
- 434   The decision whether the patient is a suitable candidate to be switched to 2 hours per day of  
435   patching is at investigator discretion. Atropine treatment must be discontinued at least 2  
436   weeks prior to enrollment. Patients taking atropine may be switched to patching when  
437   atropine is discontinued and enrolled 2 weeks later, if the investigator does not wish to stop  
438   amblyopia for 2 weeks. All patients, regardless of prior treatment status, must meet all  
439   other eligibility criteria at the time of enrollment.
- 440   9. No known skin reactions to patch or bandage adhesives
- 441   10. Cycloplegic refraction within 6 months prior to enrollment
- 442   11. Ocular exam within 6 months prior to enrollment revealing no ocular cause for reduced  
443   visual acuity
- 444   12. No developmental disability, mental retardation, or learning disability diagnosis that in the  
445   investigator's judgment would interfere with treatment.
- 446   13. Children with attention deficit hyperactivity disorder (ADHD) may be enrolled if the  
447   investigator feels that the patient could still properly perform the therapy activities.
- 448   14. No myopia more than -6.00 D spherical equivalent in the amblyopic eye
- 449   15. No prior intraocular or refractive surgery
- 450   16. Parent does not anticipate relocation outside area of active VT Study site within the next 5  
451   months
- 452   17. Patient and parent are willing to accept randomization and be available for 17 consecutive  
453   weeks of office visits and follow up
- 454   18. Siblings of patients already enrolled in this study, and children of ophthalmologists,  
455   optometrists, orthoptists, and vision therapists are excluded.
- 456   19. Patients must have access to a computer on a daily basis.

## 457   **2.4 Examination Procedures**

### 458   **2.4.1 Historical Information**

459   Historical information to be collected will include: date of birth, gender, race, ethnicity, prior  
460   amblyopia therapy (e.g., glasses, patching, pharmacologic, filters, vision therapy), refractive  
461   correction, and history of allergy/intolerance to bandage adhesive.

462 **2.4.2 Clinical Testing for Enrollment**

- 463 1. Visual acuity in each eye (right eye first) is measured by the ATS single-surround eETDRS  
464 testing protocol on the Electronic Visual Acuity Tester. The protocol for conducting the  
465 visual acuity testing is described in the ATS Testing Procedures Manual. Aspects of the  
466 testing protocol that are specific to this study are indicated below:
- 467 • Testing must be done without cycloplegia (with spectacles, if worn) no more than 7 days  
468 prior to randomization.
  - 469 • Because the patient needs to be wearing spectacles that provide best visual acuity to be  
470 enrolled, trial frames/phoropter with a different correction cannot be used to measure  
471 acuity at enrollment.
  - 472 • If the patient has difficulty with the acuity testing, often he or she will perform better  
473 when the testing is repeated. At the investigator's discretion, acuity can be retested on  
474 the same or a subsequent day to assess eligibility.
- 475 2. Ocular motility examination
- 476 • Measurement of predominant alignment by Simultaneous Prism and Cover Test (SPCT)  
477 in primary position at distance and near.
  - 478 • Testing must be done without cycloplegia (with spectacles if worn) no more than 7 days  
479 prior to randomization
- 480 3. Ocular examination as per investigator's clinical routine to rule out a cause for reduced visual  
481 acuity other than amblyopia
- 482 ➤ if performed within prior 6 months, does not need to be repeated at time of  
483 enrollment.
- 484 4. Binocularity testing (without cycloplegia): Titmus Fly and Randot Preschool Stereoacuity  
485 test
- 486 5. Cycloplegic refraction using cyclopentolate 1% as per investigator's usual routine
- 487 ➤ if performed within prior 6 months, do not need to repeat at time of enrollment

488 **2.5 Randomization of Eligible Patients**

489  
490 Assuming that visual acuity in the amblyopic eye on the better of the initial or repeat tests is  
491 20/40 to 20/100, inclusive, and acuity in the sound eye is 20/25 or better, the patient will be  
492 randomly assigned with 1:1 probability to either (1) the Active Treatment group or (2) the  
493 Control group.

494  
495 The Jaeb Center will construct a Master Randomization List using a permuted block design  
496 stratified by site, which will specify the order of treatment group assignments. A patient is  
497 officially randomized when the randomization process is completed and a treatment assignment  
498 is obtained.

499  
500 Once a patient is randomized, that patient is included in the study and in all analyses regardless  
501 of whether the assigned treatment is received. Thus, the investigator must not randomize a  
502 patient until he/she is convinced that the parent/guardian will accept either of the treatment  
503 regimens.

504  
505 Initial therapy visit must be scheduled within 10 days of the randomization visit.

506

**508 3.1 Randomization Groups**

509

510 Each patient will be randomly assigned to one of two treatment groups in a 1:1 ratio:

- 511 1. Two (2) hours of daily patching combined with 30 minutes of daily near activities at  
512 home, 30 minutes of daily at-home active vision therapy, and a weekly 45 minute in-  
513 office active vision therapy session.
- 514 2. Two (2) hours of daily patching combined with 30 minutes of daily near activities at  
515 home, 30 minutes of daily at-home control vision therapy, and a weekly 45 minute in-  
516 office control vision therapy session.

517

518 Spectacle wear will be continued if prescribed. Patients wearing contact lenses must be switched  
519 to spectacles prior to enrollment for the duration of the study.

520

521 Weekly Office Visits: Each patient randomized into the study will be seen on a weekly basis for  
522 administration of treatment for 16 weeks. At each office visit, the patient will be queried  
523 regarding diplopia and visual acuity in each eye will be measured using the eETDRS protocol.  
524 Each group will receive 45 minutes of vision therapy activities dependent upon their group  
525 assignment.

526

527 Daily patching and at-home vision therapy will be continued through the 17 week masked  
528 examination.

**529 3.1.1 Measurement of Visual Acuity**

530 Prior to each in-office therapy session the vision therapist (or other ATS certified visual acuity  
531 tester) will measure the visual acuity of each eye using the eETDRS protocol (*the protocol for*  
532 *conducting the visual acuity testing is described in the ATS Testing Procedures Manual*). The  
533 person completing the visual acuity measurement for in-office therapy sessions will not be  
534 required to be masked to the patient's treatment assignment.

**535 3.1.2 Sequencing of In-Office Active Vision Therapy**

536 There are three categories of active vision therapy activities. The instructions to the therapist for  
537 all therapy procedures will be specified in the Manual of Procedures. These instructions will  
538 include a list of specific goals which should be met for each procedure before a patient can  
539 proceed from one phase to the next. The three categories of therapy are:

- 540 • Accommodation
- 541 • Anti-Suppression
- 542 • Vergence

543

544 The amount of time the patient wears a patch during the in-office therapy session will be  
545 dependent upon the category and phase of therapy he/she is performing at the weekly office visit.

**546 3.1.3 At-Home Vision Therapy for Active Group**

547 The patients randomized to the active arm of the study will also perform 30 minutes of  
548 regimented vision therapy and 30 minutes of near activities. This home therapy will be  
549 completely computer based. The two programs that will be assigned to the patients in this group  
550 are the Home Therapy System and the Amblyopia iNet Program.

551

552 The Home Therapy System program will have therapy for vergence. The Amblyopia iNet  
553 Program will include activities for eye-hand coordination, tracking, and visual discrimination.  
554 Home VT is performed while wearing the patch. The exception to this is when the patient is  
555 using the HTS software, which is performed without the patch. See section 3.2 for further  
556 discussion on near activities.

#### 557 **3.1.4 In-Office Control Vision Therapy**

558 The control vision therapy will be structured based on weekly schedules. Each week patients  
559 will perform the control therapy procedures as described in the Manual of Procedures.

560  
561 During the control vision therapy sessions the patient will not wear a patch. (Note: the control  
562 vision therapy patients will be instructed to patch for 2 hours and perform 1 hour of near  
563 activities while patched at home on the day of the office visit. Active vision therapy patients will  
564 be instructed to patch for 1 hour at home and perform no near activities or vision therapy in order  
565 to have similar amounts of patching times between the groups.)

#### 566 **3.1.5 At-Home Control Vision Therapy**

567 Patients in the control group will be assigned 1 hour of near activities each day which will  
568 include 30 minutes of computer activity with the study specific computer program. These  
569 activities will be performed while patients are wearing their patches. See section 3.2 for further  
570 discussion on types of near activities, and the Manual of Procedures for further detail on the at-  
571 home computer activities and study specific computer program.

#### 572 **3.1.6 Reporting of Information About Therapy Sessions**

573 After each therapy session, the site will complete a checklist specifying the therapy completed.

#### 574 **3.1.7 Initial In-Office Therapy Visit**

575 The initial in-office therapy visit must be scheduled within 10 days of randomization.

576 Scheduling on the day of randomization is permitted.

577  
578 Study treatment commences on the day of the first in-office vision therapy session. At the end of  
579 the session, patches and the at-home vision therapy software should be given to the patient. The  
580 patient and parent are instructed in their use and given a copy of the treatment instruction sheet.  
581 They are also given a calendar on which to record the amount of time spent patching and using  
582 the home VT software each day.

583  
584 Patients in the active group should be instructed to patch 1 hour that day. No near activities or  
585 home VT are completed that day. This is the same as other days on which an in-office therapy  
586 visit is completed.

587  
588 Patients in the control group should be instructed to patch 2 hours that day and perform 1 hour of  
589 near activities while patched. No (control) home VT is completed that day. This is the same as  
590 other days on which an in-office visit is completed.

591  
592 Both treatment groups are instructed to patch 2 hours and perform 1 hour of near activities of  
593 which ½ hour should be spent using the at-home VT software (either active or control software)  
594 starting the day after the 1<sup>st</sup> in-office treatment visit.

### 595 **3.2 Patching and Near Activities on Days When an Office Visit is NOT Scheduled**

596  
597 Each patient, regardless of treatment assignment, is prescribed 2 hours of daily patching at home  
598 combined with 1 hour of near activities (including 30 minutes of home-based active or control

599 vision therapy according to treatment group) on days when an office visit is not scheduled. The  
600 prescription of 2 hours daily patching at home must be continued through the 17 week masked  
601 examination. No increase in amount of daily patching is permitted.

602  
603 Patching will be performed using commercially-available patches, which will be provided by the  
604 study.

- 605 • If skin sensitivity occurs, an alternative brand of patch will be provided. If no skin patch  
606 is tolerated, a felt “Patch-Works” type patch will be placed on the lens of glasses over the  
607 sound eye; if glasses are not prescribed, then plano glasses will be provided.

608  
609 The following instructions will be given to the parent:

- 610 • The 2 hours of patching should be continuous.
- 611 • If the child falls asleep while wearing the patch, this time should not be counted as  
612 patching time.

613  
614 Near activity tasks may include any of the following activities:

- 615 • Crafts, coloring, tracing, cutting out objects, dot-to-dot connecting, ‘fill in the symbols’,  
616 ‘symbol sequence’, or other activities requiring eye-hand coordination
- 617 • Hidden pictures and word finds
- 618 • Video games (e.g. Game Boy)
- 619 • Computer/internet
- 620 • Written homework
- 621 • Reading
- 622 • Building models, knitting, stringing beads

623  
624 Accommodative therapy with ophthalmic lenses may NOT be performed as a “near activity.”

625  
626 A list of these activities will be given to the parents of children enrolled in both treatment groups.

627  
628 In addition to these general near activities, patients in the active and control vision therapy group  
629 will be assigned 30 minutes of at-home vision therapy. These 30 minutes will be included in the  
630 requirement for 1 hour of near activities to be completed while patched. The protocol for at-  
631 home vision therapy is specified in the Manual of Procedures.

### 632 **3.2.1 Patching and Near Activities at Home on the Day of the Weekly In-Office Session**

633 In order to equalize patching time between the two groups the following changes to the patching  
634 schedule will be made on the days of an in-office visit:

- 635 • Active Vision Therapy Group: After the weekly in-office vision therapy session the child  
636 will be instructed to wear the patch for only 1 hour at home that day and not to do any of  
637 the near activities (this includes not doing the at-home computer activities). The child  
638 will return to his/her normal schedule the next day.
- 639 • Control Vision Therapy Group: After the weekly in-office control session the child will  
640 be instructed to wear the patch for 2 hours with 1 hour of near activities as usual. The  
641 child will be instructed to skip the at-home computer activities that day. The child will  
642 return to his/her normal schedule the next day.

643

## 644 **3.3 Home Calendar Logs**

645



646 Parents will record the amount of time the patient wore the patch at home each day.  
647  
648 The calendars will be brought to the weekly office visits and a summary of the data will be  
649 entered onto the study website, along with other data collected at therapy visits.  
650  
651 At each visit, the logs will be reviewed by the therapist and an assessment of patching  
652 compliance recorded on the Follow-up Examination Form.  
653

655 **4.1 Follow-up Schedule**

656

657 All patients will have the following study visits:

- 658 • Weekly office visits  $\pm$  4 days for 16 weeks for administration of in-office vision therapy
- 659 • 17-week masked outcome visit  $\pm$  1 week

660 **4.2 Testing Procedures**661 **4.2.1 Weekly In-Office Vision Therapy Visits**662 The following activities/testing will be performed at the weekly office visits in the specified  
663 order:

- 664 • Binocular diplopia query
  - 665 ○ If a patient reports the onset of constant diplopia, treatment will be discontinued. If
  - 666 diplopia has resolved at the next week's office visit, therapy will be reinstated;
  - 667 however, anti-suppression therapy will not be performed for those assigned to
  - 668 Active vision therapy
- 669 • Measurement of visual acuity in each eye by the eETDRS testing protocol on the  
670 Electronic Visual Acuity Tester
  - 671 ○ The right eye is tested first, then the left eye
  - 672 ○ Testing is performed without cycloplegia with spectacles (if worn)
- 673 • In-Office Vision Therapy
  - 674 ○ Active or Control vision therapy based on randomization
- 675 • Completion of the therapy progress checklist

676

677 All patients will continue to have weekly in-office treatment sessions even if the visual acuity  
678 reaches 20/20 during the study.

679

680 All procedures at weekly vision therapy visits are permitted to be performed by a person who is  
681 unmasked to the patient's treatment assignment.682 **4.2.2 Scheduling of Weekly In-Office Vision Therapy Visits**

683 Ideally, treatment visits should be exactly 7 days apart; however, this will not always be possible.  
684 As such, we have established acceptable visit windows for the time between each in-office vision  
685 therapy visit. The acceptable window for each appointment is  $\pm$  4 days from the original  
686 targeted date (as calculated from the initial therapy session). Furthermore, no more than two  
687 appointments can be scheduled within a 7 day period and successive appointments must be more  
688 than 2 days apart.

689

690 Coordinators and Vision Therapists should consider creating a standing appointment time for  
691 each patient during the study. Care should be given to not schedule study patients back to back  
692 if possible to help minimize potential interaction between patients in different treatment arms.

693 **4.2.3 17-Week Masked Examination**

694 The following procedures will be performed at the 17-week Masked Outcome Examination. The  
695 visual acuity and stereoacuity tests need to be performed by a masked examiner. The order of  
696 procedures will be:

- 697 1. Binocular diplopia query

- 698 2. Measurement of visual acuity in each eye by the eETDRS testing protocol on the  
699 Electronic Visual Acuity Tester. (Must be performed by a masked examiner. The right  
700 eye is tested first.)  
701 3. Titmus Fly and Randot Preschool Stereoacuity Test (Must be performed by a masked  
702 examiner.)  
703 4. Ocular alignment by SPCT  
704 5. Ask the patient and the parent/guardian if they believe they were receiving active vision  
705 therapy or control therapy  
706 • This must be the last item completed.

707 **4.2.4 Unmasking of treatment assignment**

708 At the completion of the 17-week visit, the patient and parent will be told their treatment group  
709 assignment. Patients in the control group will be offered the opportunity to complete a course of  
710 active vision therapy that will be paid for by the study. To be paid by the study the  
711 parents/patient must decide to enroll in the vision therapy within 10 days after the completion of  
712 the 17-week masked examination.

713  
714

715

## CHAPTER 5 OBSERVATION FOR RECURRENCE

### 716 5.1 Overview

717

718 Active Treatment Group and Control Treatment Group responders in the randomized trial are  
719 continued in an observation phase for 12 months in order to determine the rate of recidivism  
720 following successful treatment after treatment is discontinued.

721

722 Treatment group responders are patients who improved to 20/25 or better in the amblyopic eye  
723 and maintained or improved the visual acuity in the non-amblyopic eye on treatment, and for  
724 whom the investigator is ready to discontinue all treatment other than spectacles (if prescribed) at  
725 the 17-week visit.

### 726 5.2 Visit Schedule

727

728 Follow-up visits will occur at 13, 26, and 52 weeks  $\pm$  2 weeks timed from the discontinuation of  
729 treatment. Additional visits are at the discretion of the investigator.

### 730 5.3 Examination Procedures

731

732 At each visit, distance visual acuity will be measured in each eye without cycloplegia and with  
733 appropriate refractive correction by the eETDRS testing protocol. There is no masked testing of  
734 visual acuity in the observation phase.

735

736 A refraction should be done at least once during the observation phase of the study.

737

738 At the 13-week and 52-week observation phase visits, the following additional testing will be  
739 done:

- 740 • Measurement of ocular deviation in primary position at distance and near by  
741 Simultaneous Prism and Cover Test (SPCT)
- 742 • Binocularity testing (Titmus Fly and Randot Preschool Test)

### 743 5.4 Recurrences of Amblyopia

744

#### 745 Definition of Recurrence

746 A recurrence of amblyopia is defined as two consecutive visual acuity measurements in the  
747 amblyopic eye that are 10 or more letters worse than the acuity at the time treatment was  
748 discontinued. The two measurements can be made on the same day or on different days.

749

- 750 • Recurrence may be declared at any visit (protocol-specific or additional visit). If at the  
751 52-week observation phase visit, a patient who has not previously been classified as  
752 having a recurrence has a decrease in visual acuity of 10 or more letters (as described  
753 above) on a single measurement and the measurement is not repeated on that day, a  
754 repeat acuity testing on a subsequent day will be considered to be part of this visit.
- 755 • If a refraction has not been performed within the prior six months, as per usual clinical  
756 practice it should be repeated before retesting acuity and classifying a patient as having a  
recurrence.

### 757 5.5 Treatment of Amblyopia Recurrence

758

759 If amblyopia recurs and meets the study's recurrence criteria, the investigator may institute any  
760 form of amblyopia therapy, which will be recorded on the follow-up exam form. If an

761 investigator believes that treatment should be reinstated but the patient has not met the study's  
762 recurrence criteria, a Protocol Chair should be contacted to discuss the case.  
763  
764 Patient follow up will continue through the close-out visit, with collection of treatment and  
765 acuity data.  
766

## 767 **CHAPTER 6 CONTROL NON-RESPONDERS ELECTING ACTIVE VISION THERAPY**

### 768 **6.1 Overview**

769

770 Patients in the control treatment group who are classified as a non-responder at the 17-week  
771 masked outcome examination will be offered a course of active vision therapy. If the  
772 patient/parents elect the additional therapy within 10 days after the completion of the 17-week  
773 masked examination they will follow the same course of treatment as the active group. During  
774 this additional treatment they will be considered to be in the study and the therapy will be paid  
775 for by the study. An outcome examination mirroring the 17-week masked examination will be  
776 performed at the completion of active therapy.

777

778 The goal of this phase is to estimate the proportion of control patients who meet criteria for  
779 treatment success at the end of the course of active therapy. Although it will not be possible to  
780 attribute improvement to active therapy versus increased time on patch, this will allow an  
781 assessment of what proportion of patients benefit from increased time on treatment that includes  
782 vision therapy.

### 783 **6.2 Start of Additional Therapy**

784

785 Once patients elect to undergo the additional treatment they will be required to begin the active  
786 therapy within 21 days after the primary outcome examination.

### 787 **6.3 Weekly In-Office Vision Therapy Visits**

788

789 The following activities/testing will be performed at the weekly office visits in the specified  
790 order:

- 791 • Binocular diplopia query
- 792 • If a patient reports the onset of constant diplopia, treatment will be discontinued. If  
793 diplopia has resolved at the next week's office visit, therapy will be reinstated;  
794 however, anti-suppression therapy will not be performed during the rest of the therapy
- 795 • Measurement of visual acuity in each eye by the eETDRS testing protocol on the  
796 Electronic Visual Acuity Tester (performed only at the 7 and 8 week treatment visits)
  - 797 ○ The right eye is tested first, then the left eye
  - 798 ○ Testing is performed without cycloplegia with spectacles (if worn)
- 799 • In-Office Vision Therapy
  - 800 ○ Active vision therapy will be completed each week. The therapist will follow the
  - 801 Manual of Procedures for the Active Vision Therapy.
- 802 • Completion of the therapy progress checklist

803

804 All patients will continue to have weekly in-office treatment sessions even if the visual acuity  
805 reaches 20/20 during the study.

806

807 All procedures at weekly vision therapy visits are permitted to be performed by a person who is  
808 unmasked to the patient's treatment assignment.

### 809 **6.4 Scheduling of Weekly In-Office Vision Therapy Visits**

810

811 Ideally, treatment visits should be exactly 7 days apart; however, this will not always be possible.  
812 As such, we have established acceptable visit windows for the time between each in-office vision

813 therapy visit. The acceptable window for each appointment is +/-4 days from the original  
814 targeted date (as calculated from the initial therapy session). Furthermore, no more than two  
815 appointments can be scheduled within a 7 day period and successive appointments must be more  
816 than 2 days apart.

817  
818 Coordinators and Vision Therapists should consider creating a standing appointment time for  
819 each patient during the study. Care should be given to not schedule study patients back to back  
820 if possible to help minimize potential interaction between patients in different treatment arms.

## 821 **6.5 17-Week Outcome Examination**

822

823 The following procedures will be performed at a 17-week Outcome Examination. The order of  
824 procedures will be:

- 825 1. Binocular diplopia query
- 826 2. Measurement of visual acuity in each eye by the eETDRS testing protocol on the  
827 Electronic Visual Acuity Tester. (The right eye is tested first.)
- 828 3. Titmus Fly and Randot Preschool Stereoacuity Test
- 829 4. Ocular alignment by SPCT

830

831 The examiner need not be masked to the treatment.

832

833

**835 7.1 Patient Withdrawals**

836

837 A patient (and in this case the parent or guardian) may withdraw from the trial at any time. This  
838 is expected to be a very infrequent occurrence in this trial in view of the similarity of study  
839 procedures to routine clinical practice. If the parent or guardian indicates that he/she wants to  
840 withdraw the child from the study, the investigator personally should attempt to speak with  
841 him/her to determine the reason.

**842 7.2 Risks**

843

844 There are no risks involved in this study that would not be part of usual care. The risks involved  
845 in this study are identical to those for patients treated with the study treatments who do not  
846 participate in the study.

**847 7.2.1 Risk of Patching**

848 In view of the small number of hours of daily patching, significant skin irritation is unlikely. If  
849 irritation occurs, the parent will be advised to put an emollient on the skin and discontinue use of  
850 the patch for a day.

851 ➤ If a skin reaction to the patch occurs, or an allergic reaction occurs serious enough to  
852 discontinue patching, the investigator should call his or her assigned Steering Committee  
853 member to discuss the case. An alternative adhesive patch may be tried. If patching with  
854 adhesive patches is discontinued, then the patient should be tried with a felt “Patch  
855 Works” type patch placed on the lens of the glasses over the non-amblyopic eye (or on  
856 plano lens if patient not wearing spectacles).

857

858 Patching potentially could decrease the visual acuity in the sound eye, although this is almost  
859 always reversible. This occurrence is extremely unlikely in view of the age of the patient and the  
860 small number of hours of daily patching. The diagnosis and management of reverse amblyopia  
861 is left to the investigator’s judgment.

862

863 Patching could precipitate the development of a manifest ocular deviation. If treatment  
864 precipitates the development of a strabismus (e.g., esotropia in child with hyperopia), the parent  
865 will be advised to have the patient see the investigator as soon as possible. The decision to  
866 continue or discontinue therapy will be left to the discretion of the investigator and parent. If the  
867 decision is made to continue treatment, the patient will remain in their assigned group.

868

869 There are some activities that should not be performed when patched due to the level of vision in  
870 the amblyopic eye and some reduction in visual field. These activities include riding a bike, in-  
871 line skating, skateboarding, or other activities in which the child could get hurt. The consent  
872 form will explicitly instruct parents not to allow their child to perform such activities while  
873 patched.

**874 7.2.2 Risk of Examination Procedures**

875 The procedures in this study are part of daily ophthalmic practice in the United States and pose  
876 no known risks. As part of a routine usual-care exam, the patient may receive  
877 cycloplegic/dilating eye drops.



878 **7.2.3 Diplopia**

879 Amblyopia therapy could induce diplopia through occlusion of the dominant eye and disruption  
880 of habitual suppression of the non-dominant eye during binocular conditions. In a study of 404  
881 patients in this age group using patching and atropine eye drops, but without vision therapy,  
882 there were no cases of permanent constant diplopia. Four patients not reporting diplopia at the  
883 outset reported it during follow up, with 3 resolving by the last visit and 1 reporting it  
884 intermittently.[1] Although rare, it is possible that the diplopia could persist even after treatment  
885 is discontinued. Data on the frequency of this complication will be collected as part of the study.

886 **7.2.4 Intercurrent Events**

- 887 1. If visual acuity should worsen in the amblyopic eye (or in the sound eye and does not recover  
888 with cessation or reversal of treatment), the investigator should evaluate this condition using  
889 best clinical judgment and perform whatever work up is clinically indicated to assess for an  
890 alternate cause (other than amblyopia) for the visual loss. Patients found to have a cause  
891 other than amblyopia that fully explains the visual loss (i.e., amblyopia was never present)  
892 will be dropped from the study.
- 893 2. Eye injuries or the development of an eye problem that might affect vision will be reported  
894 on the Follow-up Examination Form. Likewise, the development of a serious medical  
895 problem that might affect the patient's study participation will be recorded.
- 896 3. Patients developing a new, constant tropia should continue on their assigned treatment. The  
897 therapist will eliminate elements of the therapy that would be inappropriate for a child with  
898 constant tropia. However, these patients are still considered as enrolled in the study and  
899 included in the primary outcome analysis. Thus, every attempt should be made to complete  
900 the masked outcome assessment at 17 weeks. Investigators should contact a protocol chair to  
901 discuss these cases as they arise.

902 **7.3 Reporting of Adverse Events**

903  
904 Each investigator is responsible for informing his/her IRB of serious treatment-related adverse  
905 events and for abiding by any other reporting requirements specific to his or her IRB.

906 **7.4 Patient Payments**

907  
908 Patient payments include a \$5 gift certificate given to the child at the end of each weekly office  
909 visit, and monthly check for \$20 for each completed in-office visit to defray travel costs to be  
910 issued to the parent. If there are extenuating circumstances, and the patient is unable to complete  
911 study visits without additional funds due to travel costs, additional funds may be provided.

912 **7.5 Discontinuation of Study**

913  
914 The study may be discontinued by the Steering Committee (with approval of the Data and Safety  
915 Monitoring Committee) prior to the planned completion of enrollment and follow up for all  
916 patients.

917 **7.6 Contacts by the Jaeb Center for Health Research (JCHR)**

918  
919 The JCHR serves as the PEDIG Coordinating Center (CC). The CC will be provided with the  
920 parent/guardian's contact information. The CC staff may contact the parent/guardian during the  
921 study to answer any questions and discuss any problems during the study. Permission for such  
922 contacts will be included in the Informed Consent Form. A patient newsletter, study updates,

923 and a study logo item may be sent. Patients will be provided with a summary of study results in  
924 a newsletter format after completion of the study by all patients.  
925

## CHAPTER 8 SAMPLE SIZE AND STATISTICAL ANALYSIS

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The estimation of sample size and the statistical analysis plan are summarized below and detailed in separate documents. The analysis plan synopsis in this chapter contains the framework of the anticipated final analysis plan, which will supersede this section when it is finalized.

### 8.1 Sample Size Estimation

The sample size estimate was computed based on a Fisher's exact test comparing proportions of patients in each treatment group with 20/25 or better visual acuity at the 17-week masked exam. Patients meeting this criterion will be considered a treatment success. Based on data from ATSS3 patients meeting eligibility criteria for the ATSS-VT study, it is estimated that 30% of patients in the control vision therapy group will have 20/25 or better visual acuity at the 17-week masked exam. To detect an absolute increase of 20% in the active vision therapy group to an outcome proportion of 50% with type I error of 5% (one-sided) and power of 90%, a total of 222 patients is needed. Patients who drop out of the study prior to completion of the 17 week masked exam will be counted as treatment failures in the primary outcome analysis; hence, no adjustment to the sample size has been made for dropouts.

A one-sided alpha is used as there is interest in testing only whether the outcome proportion for active vision therapy is significantly higher than for controls. If not higher, then there is no reason to use active vision therapy in clinical practice. An absolute increase in outcome proportion of 20% was chosen as the smallest improvement for which clinicians would be willing to use vision therapy in their clinical practice, given the time commitment and extra expense demanded of patients.

Counting dropouts as treatment failures may lower the expected proportion with treatment success in both treatment groups. In this case, the power for the primary outcome comparison is increased to greater than 90%. Adjustment for baseline visual acuity in the primary analysis also would be expected to improve statistical power.

#### *Feasibility phase sample size*

A feasibility phase sample size of 45 patients is judged sufficient to meet feasibility phase goals as specified in section 1.3. It is anticipated that there will be 7 clinical sites with each site expected to enroll 6-7 patients. No site will be permitted to enroll more than 10 patients. The sites participating in the feasibility phase will be selected on the basis of ability to enroll children in the desired age range and will provide an upper limit for likely monthly recruitment per site in the full-scale study.

#### *Observation phase sample size*

Based on the responder rates assumed for the trial sample size calculation, it is expected that  $111 \times 0.30 = 33$  patients from the control group and  $111 \times 0.50 = 56$  patients from the active VT group will be eligible for the observation phase, for a total of 89 patients. However, patients who drop out of the trial prior to completion will not be available for enrollment into the observation phase, and additional patients may drop out during the observation phase. Assuming that 20% of observation phase-eligible patients drop out at any stage of the trial, about 70 patients will have complete observation phase data; of these, 44 will be from the active VT group and 26 from the control group.

974 The primary goal of this phase will be to estimate the proportion of patients with recurrence of  
975 amblyopia (as defined in protocol section 5.1.4) during the 1 year observation phase. Based on  
976 data from ATS3, the expected proportion with recurrence in the control group is 7%. If the  
977 recurrence in the VT study is similar, the expected width of the 95% confidence interval on the  
978 recurrence proportion will be  $\pm 8\%$  for the active VT group,  $\pm 11\%$  for the control group, and  
979  $\pm 7\%$  for both groups combined.

980

#### 981 *Sample size for Active VT phase for non-responding controls*

982 Patients in the control group who have not met the criterion for treatment success at the 17 week  
983 masked exam will be offered a 16 week course of active VT treatment free of charge. After the  
984 16 weeks of treatment, these patients will have an outcome exam that mirrors the 17-week RCT  
985 outcome exam. The goal of this phase is to obtain an estimate of the proportion of control  
986 patients who meet criteria for treatment success at this second outcome exam following a course  
987 of active VT treatment.

988

989 It is estimated that about  $\frac{1}{2}$  of patients who are eligible for this phase of the study will elect to  
990 participate; this corresponds to 40 patients. With this sample size, the expected  $\frac{1}{2}$  width of the  
991 95% confidence interval on the proportion of treatment success is approximately  $\pm 10\%$ ,  
992 assuming an underlying success proportion of 10%.

## 993 **8.2 Primary Outcome Analysis**

994

995 The primary analysis will consist of a comparison of proportions of patients in the two treatment  
996 groups who have 20/25 or better visual acuity at the 17-week outcome exam, with adjustment for  
997 baseline visual acuity, using logistic regression. The primary analysis will follow the ‘intent-to-  
998 treat’ principle in which patients are analyzed according to randomized treatment assignment,  
999 regardless of whether the treatment was actually received or completed. Dropouts will be  
1000 counted as treatment failures in the primary analysis.

1001

1002 A secondary analysis that counts study dropouts as treatment successes will be performed. The  
1003 true treatment effect must be bracketed within the estimated treatment difference obtained in the  
1004 primary analysis and this secondary analysis. If these are within 10% of each other using the  
1005 absolute difference, the primary analysis will be considered the definitive analysis. Otherwise,  
1006 both estimates will be included in the publication of study results along with a discussion of the  
1007 implications for study conclusions and patient management.

1008

1009 A secondary analysis that estimates the treatment difference based on study completers only also  
1010 will be performed.

1011

1012 The treatment effect in subgroups based on baseline factors will be assessed in preplanned  
1013 secondary analyses of the primary outcome. The subgroups of interest will be those based on  
1014 baseline amblyopic eye visual acuity, age, baseline stereoacuity, prior treatment, practice type  
1015 (ophthalmology or optometry), and treatment compliance (excellent/good or fair/poor). In  
1016 accordance with NIH guidelines, estimates of treatment effect by gender and race/ethnicity also  
1017 will be computed.

## 1018 **8.3 Safety Analysis**

1019

1020 Safety data consisting of the number and proportion of patients in each treatment group  
1021 experiencing the following events will be compiled and included in all reports to the DSMC:

- 1022       • Loss of 2 or more lines in sound eye visual acuity from baseline to the 17-week masked  
1023       exam  
1024       • Development of diplopia at any time during treatment and whether it has resolved by the  
1025       17-week masked exam  
1026       • Development of a new ocular deviation and whether it has resolved by the 17-week  
1027       masked exam

#### 1028   **8.4 Interim Analysis**

1029  
1030   An analysis of primary outcome data, secondary outcome data, and safety data will be provided  
1031   to the DSMC twice each year. A formal statistical plan for interim monitoring of primary  
1032   outcome data will be developed in conjunction with the DSMC.

#### 1033   **8.5 Observation Phase Analysis**

1034  
1035   The primary analysis of observation phase data will consist of estimation of the proportion (and  
1036   95% confidence interval) of patients with recurrence of amblyopia (as defined in section 5.1.4)  
1037   during the observation phase using the Kaplan-Meier method. Additionally, a separate estimate  
1038   and 95% confidence interval for each treatment group also will be obtained.

#### 1039   **8.6 Analysis of active VT phase for non-responding controls**

1040  
1041   The primary analysis of data from this phase will consist of estimation of the proportion and 95%  
1042   confidence interval of patients with treatment success using the exact binomial method. As for  
1043   the primary outcome analysis of the RCT, dropouts during the course of active treatment will be  
1044   considered as treatment failures.

#### 1045   **8.7 Secondary Outcome Analyses**

##### 1046   **8.7.1 Mean improvement in visual acuity**

1047   A secondary analysis consisting of a treatment group comparison of logMAR visual acuity  
1048   scores in the amblyopic eye obtained at the 17-week masked exam, adjusted for baseline visual  
1049   acuity score, will be performed using analysis of covariance (ANCOVA).

##### 1050   **8.7.2 Determination of rate of visual acuity improvement**

1051   Determination of the rate of visual acuity improvement in study patients will be based on all  
1052   visual acuity measurements from the weekly visits, including the baseline and 17-week masked  
1053   exam. Using all available visual acuity measurements, a linear slope of visual acuity over time  
1054   will be computed for each patient and compared between treatment groups using linear mixed  
1055   models methodology. If, based on inspection of individual plots of visual acuity over time and  
1056   average visual acuity over time by treatment group, it appears that the time course of visual  
1057   acuity improvement is not linear, 2 linear slopes of visual acuity over time will be computed for  
1058   each patient. The first slope will be based on visual acuity measurements from baseline through  
1059   week 8, and the second will be based on visual acuity measurements from week 9 through week 17.  
1060   Again, these 2 slopes will be compared between treatment groups using linear mixed models  
1061   methodology. The latter analysis will allow for the possibility that overall visual acuity at 17  
1062   weeks does not differ between the 2 treatment groups, but that one treatment achieves endpoint  
1063   visual acuity sooner than the other.

1064 **8.7.3 Stereoacuity**

1065 Distribution of stereoacuity at the 17-week outcome exam and change in number of categories of  
1066 stereoacuity from baseline to the 17-week masked exam will be compared between treatment  
1067 groups using the exact Wilcoxon rank sum test.

1068 **8.8 Feasibility Phase Analysis**

1069  
1070 The feasibility phase is not powered to be able to detect a difference between treatments in  
1071 effectiveness. Active vision therapy would need to have greater than 80% effectiveness with  
1072 respect to the primary visual acuity endpoint for a treatment difference to have a reasonable  
1073 chance of being detectable with a sample size of 45, a highly unlikely possibility. Hence, no  
1074 statistical testing will be conducted. Rather, the primary goal of data analysis will be descriptive  
1075 in nature, and intended to aid in the evaluation of study feasibility and adherence with treatment  
1076 protocol. This will include:

- 1077
- 1078 • Estimation of recruitment rate (overall and by center);
  - 1079 • Estimation of recruitment rate by prior treatment status;
  - 1080 • Enumeration and review of cases, if any, of ineligible patients enrolled;
  - 1081 • Estimation of the percentage of patients missing the treatment visit for each treatment  
1082 visit (overall, and by treatment);
  - 1083 • Distribution of number of treatment visits missed per patient (overall, by treatment group,  
1084 and by site)
  - 1085 • Estimation of mean and range for number of non-office visit days the home computer  
1086 therapy was used (by treatment group);
  - 1087 • Summary of patient progress through treatment protocol (number and percent of patients  
1088 in each phase by week of treatment and treatment assignment);
  - 1089 • Identification and review of patients making insufficient or very rapid progress with  
1090 treatment (patients appearing as outliers in the above table);
  - 1091 • Enumeration and review of all cases, if any, with adverse events (diplopia, reverse  
1092 amblyopia, development of a new ocular deviation);
  - 1093 • Estimation of retention and dropout rates of enrolled patients at 17 weeks (overall and by  
1094 center);
  - 1095 • Estimation of percentage of patients with valid outcome data for each outcome at 17  
1096 weeks (overall and by center);
  - 1097 • Estimation of the percentage of patients in each treatment group who correctly and  
1098 incorrectly identify their treatment group assignment;
  - 1099 • Estimation of the percentage of patients in the control group not classified as treatment  
1100 responders who elect to undergo a course of active therapy.

1101  
1102 Patients will be classified into 3 groups according to prior treatment status for the purpose of  
1103 estimating recruitment rate within each of the groups. These 3 groups are:

- 1104 • Patients who were not treated for amblyopia within 6 months prior to enrollment;
  - 1105 • Patients who were treated within the past 6 months who initially had severe amblyopia  
1106 and were enrolled in the study after improvement to moderate amblyopia; and
  - 1107 • All other patients who were treated within the past 6 months.
- 1108

1109 The purpose of this stratification is to determine which, if any, of these groups has sufficient  
1110 numbers of patients that they could be targeted for a full-scale trial of vision therapy.

1111

1112 Outcome data consist of data from the testing procedures at the 17-week examination as  
1113 specified in section 4.2.2., and visual acuity data collected at the weekly treatment visits. For the  
1114 purposes of the feasibility assessment, to be considered valid, outcome data must be collected  
1115 according to study protocol-specified procedures and within the designated time window for the  
1116 visit.

1117  
1118 Guidelines for judging successful completion of the feasibility phase with respect to the above  
1119 criteria are specified in a separate document (Sample Size Estimation and Statistical Analysis  
1120 Plan).

1121  
1122

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