DEPARTMENT OF LABOR

Office of the Secretary

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Work Opportunity Tax Credit

ACTION: Notice of availability; request for comments.

SUMMARY: The Department of Labor (DOL) is submitting the Employment and Training Administration (ETA) sponsored information collection request (ICR) revision titled, “Work Opportunity Tax Credit,” to the Office of Management and Budget (OMB) for review and approval for use in accordance with the Paperwork Reduction Act (PRA) of 1995. Public comments on the ICR are invited.

DATES: The OMB will consider all written comments that agency receives on or before [INSERT DATE 30 DAYS AFTER THE DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: A copy of this ICR with applicable supporting documentation; including a description of the likely respondents, proposed frequency of response, and estimated total burden may be obtained free of charge from the RegInfo.gov Web site at http://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=201912-1205-010 (this link will only become active on the day following publication of this notice) or by contacting Frederick Licari by telephone at 202-693-8073, TTY 202-693-8064, (these are not toll-free numbers) or sending an email to DOL_PRA_PUBLIC@dol.gov.

Submit comments about this request by mail to the Office of Information and Regulatory Affairs, Attn: OMB Desk Officer for DOL- ETA, Office of Management and
Budget, Room 10235, 725 17th Street, N.W., Washington, DC 20503; by Fax: 202-395-5806 (this is not a toll-free number); or by email: OIRA_submission@omb.eop.gov.

Commenters are encouraged, but not required, to send a courtesy copy of any comments by mail or courier to the U.S. Department of Labor-OASAM, Office of the Chief Information Officer, Attn: Departmental Information Compliance Management Program, Room N1301, 200 Constitution Avenue, N.W., Washington, D.C. 20210; or by email: DOL_PRA_PUBLIC@dol.gov.

FOR FURTHER INFORMATION CONTACT: Frederick Licari by telephone at 202-693-8073, TTY 202-693-8064, (these are not toll-free numbers) or sending an email to DOL_PRA_PUBLIC@dol.gov.

SUPPLEMENTARY INFORMATION: This ICR seeks approval under the PRA for revisions to the Work Opportunity Tax Credit (WOTC). The WOTC is a Federal tax credit available to employers for hiring individuals from certain target groups who have consistently faced significant barrier to employment. This submission includes seven WOTC program forms as follows:

ETA Form 9175, Revised November 2016 – Self-Attestation Form (SAF) for the Long-Term Unemployment Recipient;

ETA Form 9058 - Report 1, Revised November 2016 - Certification Workload and Characteristics of Certified Individuals;

ETA Form 9061, Revised November 2016 - Individual Characteristics Form;

ETA Form 9061, Revised November 2016 - Individual Characteristics Form, Spanish version;

ETA Form 9062, Revised April 2016 - Conditional Certification;
ETA Form 9063, Revised April 2016 - Employer Certification; and
ETA Form 9065, Revised April 2016 - Agency Declaration of Verification Results
Worksheet. This information collection is a revision, because the burden hours
significantly increased for this burden statement reporting due to incorporating the
WOTC denial rate which has not been factored in historically. We believe this more
accurately reflects the full total number of respondents. WOTC is authorized under §§ 51
and 3111(e) of the Internal Revenue Code authorizes this information collection.

This information collection is subject to the PRA. A Federal agency generally cannot
conduct or sponsor a collection of information, and the public is generally not required to
respond to an information collection, unless the OMB, under the PRA, approves it and
displays a currently valid OMB Control Number. In addition, notwithstanding any other
provisions of law, no person shall generally be subject to penalty for failing to comply
with a collection of information that does not display a valid OMB Control Number. See
5 CFR 1320.5(a) and 1320.6. The DOL obtains OMB approval for this information
collection under Control Number 1205-0371. The current approval is scheduled to expire
on January 31, 2020; however, the DOL notes that existing information collection
requirements submitted to the OMB will receive a month-to-month extension while they
undergo review. New requirements would only take effect upon OMB approval. For
additional substantive information about this ICR, see the related notice published in the
Federal Register on October 21, 2019 (84 FR 56204).

Interested parties are encouraged to send comments to the OMB, Office of Information
and Regulatory Affairs at the address shown in the ADDRESSES section within thirty-
(30) days of publication of this notice in the Federal Register. In order to help ensure
appropriate consideration, comments should mention OMB Control Number 1205-0371. The OMB is particularly interested in comments that:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected; and
- Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

Agency: DOL-ETA.

Title of Collection: Work Opportunity Tax Credit.

OMB Control Number: 1205-0371.

Affected Public: State, Local, and Tribal Governments, Private Sector, Individuals or Households.

Total Estimated Number of Respondents: 5,693,329.

Total Estimated Number of Responses: 13,527,028.

Total Estimated Annual Time Burden: 4,454,205 hours.

Total Estimated Annual Other Costs Burden: $0.


Frederick Licari,
Departmental Clearance Officer.
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