



## **National Credit Union Administration**

### **Privacy Act of 1974: Systems of Records**

**AGENCY:** National Credit Union Administration (NCUA).

**ACTION:** Notice of a New System of Records.

**SUMMARY:** Pursuant to the Privacy Act of 1974, the National Credit Union Administration (NCUA) gives notice of a new proposed Privacy Act system of records. The new proposed system is the NCUA Connect, NCUA-21. The NCUA Connect system will carry out the NCUA's statutorily mandated credit union examination and supervision activities. Specifically, this system is the interface through which authorized users access NCUA's other major examination, supervision, and reporting related systems.

**DATES:** Submit comments on or before **[INSERT DATE 30 DAYS FROM PUBLICATION IN THE *FEDERAL REGISTER*]**. This action will be effective without further notice on **[INSERT DATE 30 DAYS FROM PUBLICATION IN THE *FEDERAL REGISTER*]** unless comments are received that would result in a contrary determination.

**ADDRESSES:** You may submit comments by any of the following methods, but please send comments by one method only:

- Federal eRulemaking Portal: <http://www.regulations.gov>. Follow the instructions for submitting comments.
- NCUA Website:  
[http://www.ncua.gov/RegulationsOpinionsLaws/proposed\\_regs/proposed\\_regs.html](http://www.ncua.gov/RegulationsOpinionsLaws/proposed_regs/proposed_regs.html).  
Follow the instructions for submitting comments.

- Email: Address to [regcomments@ncua.gov](mailto:regcomments@ncua.gov). Include “[Your name]—Comments on ‘NCUA Connect, NCUA-21 SORN’” in the email subject line.
- Fax: (703) 518–6319. Use the subject line described above for email.
- Mail: Address to Gerard Poliquin, Secretary of the Board, National Credit Union Administration, 1775 Duke Street, Alexandria, Virginia 22314–3428.
- Hand Delivery/Courier: Same as mail address.

**FOR FURTHER INFORMATION CONTACT:** Director of the Office of Business Innovation, the National Credit Union Administration, 1775 Duke Street, Alexandria, Virginia, 22314, or Rena Kim, Privacy Attorney, Office of General Counsel, the National Credit Union Administration, 1775 Duke Street, Alexandria, Virginia, 22314. Telephone number (703) 548 - 2398.

**SUPPLEMENTARY INFORMATION:**

This notice informs the public of NCUA’s proposal to establish and maintain a new system of records. The proposed new system is being established under NCUA’s authority in the Federal Credit Union Act, 12 U.S.C. 1751, et. seq. The information collected in the NCUA Connect, NCUA-21 system of records is designed to provide a one-stop entry point for internal and external users, which should enhance user experience, while also streamlining security activities.

This notice satisfies the Privacy Act requirement that an agency publish a system of records notice in the Federal Register when there is an addition to the agency’s systems of records.

The format of NCUA Connect, NCUA-21 aligns with the guidance set forth in OMB Circular A-108. NCUA-21 and all of NCUA’s Standard Routine Uses are published in full below. All of the NCUA’s SORNs are available at [www.ncua.gov](http://www.ncua.gov).

By the National Credit Union Administration Board on March 20, 2019.

**Gerard Poliquin,**

*Secretary of the Board.*

**SYSTEM NAME AND NUMBER:** NCUA Connect, NCUA-21

**SECURITY CLASSIFICATION:** Unclassified.

**SYSTEM LOCATION:** The system is operated and maintained in part by NCUA staff, and in part by third-party vendors. Please contact the system managers (below) for more information.

**SYSTEM MANAGER(S):** Director of the Office of Business Innovation, National Credit Union Administration, 1775 Duke Street, Alexandria, Virginia 22314-3428.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:** 12 U.S.C. 1751, et. seq.

**PURPOSE(S) OF THE SYSTEM:** This system of records is maintained for the purpose of carrying out the NCUA's statutorily mandated examination and supervision activities.

Specifically, this system is the interface through which authorized users access NCUA's other major examination, supervision, and reporting related systems. It is designed to provide a one-stop entry point for internal and external users, which should enhance user experience, while also streamlining security activities.

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:** Individuals covered by this system are (1) Current and former directors, officers, employees, and agents of credit unions; (2) Current and former credit union service organization representatives; (3) Other individuals engaged in business with the NCUA for a specific purpose (such as outside counsel); and (4) NCUA employees and contractors, and State Supervisory Authority staff.

**CATEGORIES OF RECORDS IN THE SYSTEM:** Records in the system contain basic log-in information, including username, password, email address, and role. The system also contains log-in/access records.

**RECORD SOURCE CATEGORIES:** The sources of information in the system are the individual users, or someone acting on their behalf (such as an administrator in their organization, or an NCUA employee or contractor).

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING**

**CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:** In addition to those disclosures generally permitted under 5 U.S.C. § 552a(b) of the Privacy Act, these records or information contained therein may specifically be disclosed outside NCUA as a routine use pursuant to 5 U.S.C. 552a(b)(3) as follows:

1. NCUA's Standard Routine Uses apply to this system of records.

**POLICIES AND PRACTICES FOR STORAGE OF RECORDS:** Electronic records and backups are stored on dedicated secure instance, approved by NCUA's Office of the Chief Information Officer (OCIO), within a FedRAMP-authorized commercial Cloud Service Provider's (CSP) Infrastructure as a Service (IaaS) hosting environment and accessed only by authorized personnel. No paper files are maintained.

**POLICIES AND PRACTICES FOR RETRIEVAL OF RECORDS:** Records are retrieved by name, username, affiliated organization, email, role, or date.

**POLICIES AND PRACTICES FOR RETENTION AND DISPOSAL OF RECORDS:**

Records are maintained in accordance with the General Records Retention Schedules issued by the National Archives and Records Administration (NARA) or a NCUA records disposition schedule approved by NARA. Records existing on computer storage media are destroyed according to the applicable NIST-compliant media sanitization policy.

**ADMINISTRATIVE, TECHNICAL AND PHYSICAL SAFEGUARDS:** NCUA has implemented the appropriate administrative, technical, and physical controls in accordance with the Federal Information Security Modernization Act of 2014, Pub.L. 113-283, S. 2521, and NCUA's information security policies to protect the confidentiality, integrity, and availability of the information system and the information contained therein. Access is limited to individuals authorized through NIST-compliant Identity, Credential, and Access Management policies and procedures. The records are maintained behind a layered defensive posture consistent with all applicable federal laws and regulations, including OMB Circular A-130 and NIST Special Publications 800-37.

**RECORD ACCESS PROCEDURES:** Individuals wishing access to their records should submit a written request to the Senior Agency Official for Privacy, NCUA, 1775 Duke Street, Alexandria, VA 22314, and provide the following information:

1. Full name.
2. Any available information regarding the type of record involved.
3. The address to which the record information should be sent.
4. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf. Individuals requesting access

must also comply with NCUA's Privacy Act regulations regarding verification of identity and access to records (12 CFR 792.55).

**CONTESTING RECORD PROCEDURES:** Individuals wishing to request an amendment to their records should submit a written request to the Senior Agency Official for Privacy, NCUA, 1775 Duke Street, Alexandria, VA 22314, and provide the following information:

1. Full name.
2. Any available information regarding the type of record involved.
3. A statement specifying the changes to be made in the records and the justification therefore.
4. The address to which the response should be sent.
5. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf.

**NOTIFICATION PROCEDURES:** Individuals wishing to learn whether this system of records contains information about them should submit a written request to the Senior Agency Official for Privacy, NCUA, 1775 Duke Street, Alexandria, VA 22314, and provide the following information:

1. Full name.

2. Any available information regarding the type of record involved.
3. The address to which the record information should be sent.
4. You must sign your request.

Attorneys or other persons acting on behalf of an individual must provide written authorization from that individual for the representative to act on their behalf. Individuals requesting access must also comply with NCUA's Privacy Act regulations regarding verification of identity and access to records (12 CFR 792.55).

**EXEMPTIONS PROMULGATED FOR THE SYSTEM:** None.

**HISTORY:** This is a new system.

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