



## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-83361]

### Order Cancelling Registrations of Certain Transfer Agents

June 1, 2018.

On December 22, 2017, notice was published in the Federal Register that the Securities and Exchange Commission (“Commission”) intended to issue an order, pursuant to Section 17A(c)(4)(B) of the Securities Exchange Act of 1934 (“Act”),<sup>1</sup> cancelling the registrations of certain transfer agents.<sup>2</sup> For the reasons discussed below, the Commission is cancelling the registration of the transfer agents identified in the attached Appendix.

**FOR FURTHER INFORMATION CONTACT:** Moshe Rothman, Assistant Director, or Catherine Whiting, Special Counsel, at (202) 551-4990, U.S. Securities and Exchange Commission, Division of Trading and Markets, Room 7321 SP1, 100 F Street, NE, Washington, DC 20549-7010 or by email to [tradingandmarkets@sec.gov](mailto:tradingandmarkets@sec.gov) with the phrase “Order Cancelling Transfer Agent Registration” in the subject line.

### **BACKGROUND:**

Section 17A(c)(4)(B) of the Act provides that if the Commission finds that any transfer agent registered with the Commission is no longer in existence or has ceased to do business as a transfer agent, the Commission shall by order cancel that transfer agent’s registration. On December 22, 2017, the Commission published notice of its intention to cancel the registration of certain transfer agents whom it believed were no longer in existence or had ceased doing business as transfer agents.<sup>3</sup>

In the notice, the Commission identified 38 such transfer agents and stated that at any time after January 31, 2018, the Commission intended to issue an order canceling the registrations of any

---

<sup>1</sup> 15 U.S.C. 78q-1(c)(4)(B).

<sup>2</sup> Securities Exchange Act Release No. 34-82342 (Dec. 18, 2017), 82 FR 60778.

<sup>3</sup> Id.

or all of the identified transfer agents. One transfer agent contacted the Commission to object to the cancellation of its registration, stating that it had not ceased doing business as a transfer agent. The Commission has decided not to cancel the registration of that transfer agent. None of the remaining 37 identified transfer agents contacted the Commission to object to the cancellation of their registrations.

Accordingly, the Commission is cancelling the registrations of the 37 transfer agents identified in the Appendix attached to this Order.

**ORDER:**

On the basis of the foregoing, the Commission finds that each of the transfer agents whose name appears in the attached Appendix either is no longer in existence or has ceased doing business as a transfer agent.

IT IS THEREFORE ORDERED pursuant to Section 17A(c)(4)(B) of the Act that the registration as a transfer agent of each of the transfer agents whose name appears in the attached Appendix be and hereby is cancelled.

For the Commission by the Division of Trading and Markets pursuant to delegated authority.<sup>4</sup>

Eduardo A. Aleman,  
Assistant Secretary.

---

<sup>4</sup> 17 CFR 200.30-3(a)(22).

## APPENDIX

| <b>Transfer Agent Name</b>                         | <b>File Number</b> |
|----------------------------------------------------|--------------------|
| AG Transfer Agency LLC                             | 084-06306          |
| Allied Stock Transfer, Inc.                        | 084-06171          |
| AlphaMetrix, LLC                                   | 084-06327          |
| Baron Capital Transfer & Registrar LLC             | 084-06440          |
| Bluechip Equity Inc. DBA Bluechip Trust Company    | 084-06173          |
| Cascade Stock Transfer, Inc.                       | 084-06204          |
| Centerline Affordable Housing Advisors LLC         | 084-01911          |
| Chris Lotito                                       | 084-06197          |
| Clayton Securities Services, Inc.                  | 084-05425          |
| Demiurgic, Inc.                                    | 084-06274          |
| Elite Transfer Corp                                | 084-06193          |
| EnDevCo, Inc.                                      | 084-06084          |
| First National Bank of Omaha                       | 084-06174          |
| First National Bank of Sioux Falls                 | 084-06228          |
| Fund Dynamics, LLC                                 | 084-06208          |
| Hiko Bell Mining & Oil Company                     | 084-05445          |
| Holladay Stock Transfer, Inc.                      | 084-01822          |
| Integrity Stock Transfer                           | 084-06113          |
| Intercontinental Registrar & Transfer Agency, Inc. | 084-01123          |
| Investor Data Services                             | 084-01425          |
| Johnson, Lawrence & Associates                     | 084-05831          |
| Karrison Compagnie Inc.                            | 084-06046          |
| Life Sciences Research                             | 084-06094          |
| LM Anderson Securities, LLC                        | 084-06257          |

|                                        |           |
|----------------------------------------|-----------|
| Matrix Capital Group Inc.              | 084-06122 |
| Premier Stock Transfer, LLC            | 084-06518 |
| Progressive Transfer, Inc.             | 084-06268 |
| Quads Trust Company                    | 084-05621 |
| Repository & Related Services, LLC     | 084-06500 |
| Signal Stock Transfer, Inc.            | 084-06360 |
| Standard Transfer & Trust Co., Inc.    | 084-05819 |
| Superior Stock Transfer, Inc.          | 084-06121 |
| Thermal Energy Storage Inc.            | 084-01300 |
| U.S. Stock Transfer Corp.              | 084-06293 |
| U.S. Trust & Transfer Co.              | 084-05663 |
| Valley Forge Management Corp           | 084-00012 |
| Wall Street Stock Transfer Corporation | 084-06246 |

[FR Doc. 2018-12157 Filed: 6/5/2018 8:45 am; Publication Date: 6/6/2018]