



**Billing Code:** 4150-25

**Department of Health and Human Services**

**Privacy Act of 1974; System of Records**

**AGENCY:** Department of Health and Human Services (HHS), Office of the Assistant Secretary for Administration (ASA)

**ACTION:** Notice of Modified Systems of Records.

**SUMMARY:** The Department of Health and Human Services (HHS) proposes to modify all of its systems of records to add two security-related routine uses which are needed to improve federal agencies' ability to detect and address actual and suspected breaches of personally identifiable information (PII) in Privacy Act systems of records. The routine uses are explained in the Supplementary Information section of this notice.

**DATES:** This notice will become effective 30 days after publication, unless the Department makes changes based on comments received. Written comments should be submitted on or before the effective date.

**ADDRESSES:** The public should address written comments to Beth Kramer, HHS Privacy Act Officer, by mail or email, at [HHS.ACFO@hhs.gov](mailto:HHS.ACFO@hhs.gov), or FOIA/PA Division, Suite 729H, 200 Independence Avenue, SW, Washington, DC 20201.

**FOR FURTHER INFORMATION CONTACT:** General questions may be submitted to Beth Kramer, HHS Privacy Act Officer, by mail or email, at [HHS.ACFO@hhs.gov](mailto:HHS.ACFO@hhs.gov), or FOIA/PA Division, Suite 729H, 200 Independence Avenue, SW, Washington, DC 20201.

**SUPPLEMENTARY INFORMATION:** The Privacy Act (5 USC 552a), at subsection (b)(3), requires each agency to publish, for public notice and comment, routine uses describing any disclosures of information about an individual that the agency intends to make from a Privacy

Act system of records without the individual's prior written consent, other than those which are authorized directly in the Privacy Act at subsections (b)(1)-(2) and (b)(4)-(12). The Privacy Act defines "routine use" at subsection (a)(7) to mean a disclosure for a purpose compatible with the purpose for which the record was collected.

In accordance with Office of Management and Budget (OMB) Memorandum M-17-12, issued January 3, 2017, titled "Preparing for and Responding to a Breach of Personally Identifiable Information," HHS is adding the following two routine uses to all of its system of records notices (SORNs) to authorize HHS to disclose information from each system of records when necessary to obtain assistance with a suspected or confirmed breach of PII or to assist another agency in its response to a breach. The first routine use is a revised version of a routine use prescribed in 2007, in former OMB Memorandum M-07-16. The second routine use is new:

"To appropriate agencies, entities, and persons when (1) HHS suspects or has confirmed that there has been a breach of the system of records; (2) HHS has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, HHS (including its information systems, programs, and operations), the federal government, or national security; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with HHS's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm."

"To another federal agency or federal entity, when HHS determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its

information systems, programs, and operations), the federal government, or national security, resulting from a suspected or confirmed breach.”

Both routine uses are compatible with the purposes for which PII is collected in the affected systems of records, because individuals whose PII is included in any federal record system expect that the federal government will secure the information, and because the routine uses are necessary and proper to comply with federal laws imposing security requirements, such as:

- The Privacy Act, which requires that PII be secured against potential misuse by unauthorized persons (see 5 USC 552a(e)(10)); and
- The Federal Information Security Management Act of 2002 (FISMA), enacted as Title III of the E-Government Act of 2002 (see 44 USC 3541 *et seq.*), which requires that federal information and information systems be protected from unauthorized use, disclosure, disruption, modification and destruction, in order to preserve data integrity, confidentiality, and availability.

Adding these routine uses would constitute a significant change to the affected systems of records; therefore, HHS has provided a report on the establishment of the routine uses to OMB and Congress in accordance with 5 USC 552a(r).

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Michael S. Marquis  
Director, FOIA/Privacy Act Division  
Office of the Assistant Secretary for Public Affairs

For the reasons set forth above, the following two routine uses are added to all HHS systems of records listed in the tables at the end of this Notice, and will be effective upon completion of the public comment period provided in this Notice. The first routine use will replace any previously-published version of that routine use:

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND PURPOSES OF SUCH USES:**

To appropriate agencies, entities, and persons when (1) HHS suspects or has confirmed that there has been a breach of the system of records; (2) HHS has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, HHS (including its information systems, programs, and operations), the federal government, or national security; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with HHS's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm.

To another federal agency or federal entity, when HHS determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the federal government, or national security, resulting from a suspected or confirmed breach.

**HISTORY:** See below table for the publication history of each affected SORN.

**Office of the Secretary of Health and Human Services (OS)**

<b>SORN No.</b>	<b>System of Records</b>	<b>Last Full Publication</b>	<b>Subsequent Revisions</b>
09-90-0001	Telephone Directory/Locator System	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0005	Safety Management Information System	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0007	Complaints & Inquiries Records - Miscellaneous	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0008	Conflict of Interest Records	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0009	Discrimination Complaints Records	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0010	Employee Assistance Program (EAP) Records	67 FR 4965 2/1/02	None
09-90-0014	Grievances Filed Under Part 771 of 5 CFR	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0015	Grievance Records Filed Under Procedures Established by Labor-Management Negotiations	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0020	Suitability for Employment Records	58 FR 28880 5/17/93	59 FR 55845 11/9/94
09-90-0024	HHS Financial Management System Records	80 FR 67767 11/3/15	None
09-90-0025	Central Registry of Individuals Doing Business with HHS	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0027	Congressional Correspondence Unit	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0037	Secretariat's Correspondence Control System	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0038	Secretary's Official Files	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0039	National Disaster Claims Processing System	66 FR 44347 8/23/01	None
09-90-0040	National Disaster Medical System (NDMS) Patient Treatment and Tracking	78 FR 78959 12/27/13	None
09-90-0048	Medicare Appeals Council Records	71 FR 11206 3/6/06	None
09-90-0049	Departmental Appeals Board Case and Appeal Records	71 FR 11204 3/6/06	None
09-90-0052	Investigatory Material Compiled for Law Enforcement Purposes for the Program Information Management System (PIMS)	75 FR 18841 4/13/10	None
09-90-0058	Tracking Records and Case Files for FOIA and Privacy Act Requests and Appeals	81 FR 17463 3/29/16	None
09-90-0059	Federal Advisory Committee Membership Files	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0062	Administrative Claims	69 FR 71414 12/9/04	None
09-90-0066	OGC Attorney Applicant Files	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0067	Invention Reports Submitted to the Department of Health & Human	47 FR 45514 10/13/82	59 FR 55845 11/9/94

	Services by its Employees, Grantees and Fellowship Recipients & Contractors		
09-90-0068	Federal Private Relief Legislation	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0069	Unfair Labor Practice Records	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0072	Congressional Grants Notification Unit	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0083	JOBS Evaluation Data System	57 FR 2104 1/17/92	59 FR 55845 11/9/94
09-90-0085	Partnership for Long Term Care Data Set	73 FR 77027 12/18/08	None
09-90-0160	Medical Reserve Corps Unit Information	71 FR 37937 7/3/06	None
09-90-0161	Minority Health Information Services	75 FR 18837 4/13/10	None
09-90-0411	HHS Consolidated Acquisition Solution (HCAS)	76 FR 21902 4/19/11	None
09-90-0777	Facility and Resource Access Control Records	75 FR 47812 8/9/10	None
09-90-1200	Workplace Violence Prevention Team (WVPT) Records	65 FR 58999 10/3/00	None
09-90-1201	ONC Health IT Dashboard	76 FR 79685 12/22/11	None
09-90-1202	Think Cultural Health	77 FR 68123 11/15/12	None
09-90-1401	Records About Restricted Dataset Requesters	80 FR 17447 4/1/15	None
09-90-1402	HHS Payroll Records	80 FR 48538 8/13/15	None
09-90-1501	Administrative Law Judge (ALJ) Working File, Office of Medicare Hearings and Appeals (OMHA)	80 FR 63562 10/20/15	None
09-90-1601	Outside Experts Recruited for Non-FACA Activities	81 FR 83246 11/21/16	None
09-90-9999	Automated Litigation Tracking System	48 FR 38305 8/23/83	59 FR 55845 11/9/94
09-37-0001	OASH Correspondence Control System	51 FR 42352 11/24/86	53 FR 47302 11/22/88 56 FR 1232 1/11/91
09-37-0020	Office of Minority Health Grants Records System	52 FR 37663 10/8/87	56 FR 1232 1/11/91
09-37-0021	HHS Records Related to Research Misconduct Proceedings	74 FR 44847 8/31/09	None
09-37-0024	Studies of Preventive Medicine, Health Promotion, and Disease Prevention	60 FR 1788 1/5/95	None
09-37-0151	Public Health Service ALERT Records Concerning Individuals Found to Have Committed Scientific Misconduct in PHS Sponsored Research	59 FR 25953 5/18/94	None
09-40-0001	Public Health Service (PHS) Commissioned Corps General Personnel Records	63 FR 68596 12/11/98	None
09-40-0002	Public Health Service (PHS) Commissioned Corps Medical Records	63 FR 68596 12/11/98	None
09-40-0003	Public Health Service (PHS)	63 FR 68596 12/11/98	None

	Commissioned Corps Board Proceedings		
09-40-0004	Public Health Service (PHS) Commissioned Corps Grievance, Investigatory and Disciplinary Files	63 FR 68596 12/11/98	None
09-40-0005	Public Health Service (PHS) Beneficiary-Contract Medical/Health Care Records	63 FR 68596 12/11/98	None
09-40-0011	Proceedings of the Board for Correction of PHS Commissioned Corps Records	63 FR 68596 12/11/98	None
09-40-0012	Debt Management and Collection System	63 FR 68596 12/11/98	80 FR 67767 11/3/15

#### Office of Inspector General (OIG)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-90-0002	Investigatory Material Compiled for Security and Suitability Purposes	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0003	Criminal Investigative Files of the Inspector General	73 FR 11650 3/4/08	None
09-90-0064	Litigation Files, Administrative Complaints and Adverse Personnel Actions	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0076	Administrative Files	73 FR 18532 4/4/08	None
09-90-0077	Litigation Files, Administrative Complaints, and Personnel Actions	73 FR 20311 4/15/08	None
09-90-0100	Civil and Administrative Investigative Files of the Inspector General	47 FR 43190 9/30/82	59 FR 55845 11/9/94 68 FR 36827 6/19/03
09-90-0101	Health Care Program Violations	66 FR 9865 2/12/01	None
09-90-1000	Consolidated Data Repository	73 FR 66648 11/10/08	76 FR 81950 12/29/11

#### Administration for Children and Families (ACF)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-80-0321	ORR Division of Children's Services Records	81 FR 46682 7/18/16	None
09-80-0325	ORR Internet Refugee Arrivals Data System (iRADS)	81 FR 46682 7/18/16	None
09-80-0327	ORR Repatriation Program Records	81 FR 46682 7/18/16	None
09-80-0329	ORR Unaccompanied Refugee Minors Records	81 FR 46682 7/18/16	None
09-80-0341	FYSB Research and Evaluation Project Records	80 FR 17893 4/2/15	None
09-80-0361	OPRE Research and Evaluation Project Records	80 FR 17893 4/2/15	None
09-80-0371	OCC Federal Child Care Monthly Case Records	80 FR 17893 4/2/15	None
09-80-0373	OFA Tribal Temporary Assistance for Needy Families (Tribal TANF) Data System	80 FR 17893 4/2/15	None

09-90-0375	OFA Temporary Assistance for Needy Families	80 FR 17893 4/2/15	None
09-80-0381	OCSE National Directory of New Hires	80 FR 17893 4/2/15	None
09-80-0383	OCSE Debtor File	80 FR 17893 4/2/15	None
09-80-0385	OCSE Federal Case Registry of Child Support Orders (FCR)	80 FR 17893 4/2/15	None
09-80-0387	Federal Parent Locator Service Child Support Services Portal	80 FR 17893 4/2/15	None
09-80-0388	ORR Refugee Suicide Database	81 FR 46682 7/18/16	None

#### Agency for Healthcare Research and Quality (AHRQ)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-35-0001	Agency Management Information System/Grants (AMIS/GRANTS and CONTRACTS)	69 FR 17666 4/5/04	None
09-35-0002	Medical Expenditure Panel Survey (MEPS) and National Medical Expenditure Survey 2 (NMES 2)	69 FR 17666 4/5/04	None

#### Centers for Disease Control and Prevention (CDC)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-19-0001	Records of Persons Exposed or Potentially Exposed to Toxic or Hazardous Substances	76 FR 4431 1/25/11	None
09-20-0001	Certifying Interpreting Physician File	51 FR 42449 11/24/86	57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4435 1/25/11
09-20-0055	Administrative Files for Research/Demonstration and Training Grants, and Cooperative Agreements	51 FR 42449 11/24/86	53 FR 47345 11/22/88 54 FR 47904 11/17/89 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4436 1/25/11
09-20-0059	Division of Training Mailing List	51 FR 42449 11/24/86	58 FR 69048 12/29/93
09-20-0089	Studies of Treatment of Tuberculosis and Other Mycobacterioses	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4438 1/25/11
09-20-0090	Studies of Testing for Tuberculosis and Other Mycobacterioses	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4440 1/25/11
09-20-0096	Records of Tuskegee Study Health Benefit Recipients	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 1324 1/11/91



			56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4443 1/25/11
09-20-0102	Alien Mental Waiver Program	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4445 1/25/11
09-20-0103	Alien Tuberculosis Follow-up Program	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 1324 1/11/91 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4446 1/25/11
09-20-0106	Specimen Handling for Testing and Related Data	51 FR 42449 11/24/86	53 FR 47345 11/22/88 54 FR 47904 11/17/89 56 FR 1324 1/11/91 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4449 1/25/11
09-20-0112	Fellowship Program and Guest Researcher Records	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 1324 1/11/91 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94 59 FR 67080 12/28/94 76 FR 4451 1/25/11
09-20-0113	Epidemic Investigation Case Records	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4452 1/25/11
09-20-0117	Medical and Test Record Results of Individuals Involved in NIOSH Laboratory Studies	51 FR 42449 11/24/86	54 FR 47904 11/17/89 58 FR 69048 12/29/93 76 FR 4454 1/25/11
09-20-0118	Study at Work Sites Where Agents Suspected of Being Occupational Hazards Exist	51 FR 42449 11/24/86	54 FR 47904 11/17/89 58 FR 69048 12/29/93 76 FR 4456 1/25/11
09-20-0136	Epidemiologic Studies and Surveillance of Disease Problems	57 FR 62811 12/31/92	58 FR 69048 12/29/93 59 FR 48331 9/7/94 59 FR 67080 12/28/94 76 FR 4458 1/25/11
09-20-0137	Passport File	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 1324 1/11/91

			58 FR 69048 12/29/93 76 FR 4460 1/25/11
09-20-0138	Epidemic Intelligence Service Officers Files	51 FR 42449 11/24/86	53 FR 47345 11/22/88 54 FR 47904 11/17/89 56 FR 1324 1/11/91 58 FR 69048 12/29/93 76 FR 4462 1/25/11
09-20-0147	Occupational Health Epidemiological Studies and EEOICPA Program Records	76 FR 34706 6/14/11	None
09-20-0149	Morbidity Studies in Coal Mining, Metal and Non-metal Mining and General Industry	51 FR 42449 11/24/86	54 FR 47904 11/17/89 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4466 1/25/11
09-20-0153	Mortality Studies in Coal Mining, Metal and Non-metal Mining and General Industry	51 FR 42449 11/24/86	54 FR 47904 11/17/89 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4469 1/25/11
09-20-0154	Medical and Laboratory Studies	51 FR 42449 11/24/86	54 FR 47904 11/17/89 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4471 1/25/11
09-20-0157	Clinical Laboratory Personnel Proficiency Test Results (Medicare)	51 FR 42449 11/24/86	54 FR 47904 11/17/89 58 FR 69048 12/29/93
09-20-0159	Records of Subjects in Certification, Testing, Studies of Personal Protective Devices, and Accident Investigations	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4474 1/25/11
09-20-0160	Records of Subjects in Health Promotion and Education Studies	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4476 1/25/11
09-20-0161	Records of Health Professionals in Disease Prevention and Control Training Programs	51 FR 42449 11/24/86	54 FR 47904 11/17/89 56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 67080 12/28/94 76 FR 4478 1/25/11
09-20-0162	Records of Subjects in Agent Orange, Vietnam Experience, and Selected Cancers Studies	51 FR 42449 11/24/86	56 FR 66733 12/24/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 76 FR 4480 1/25/11
09-20-0163	Applicants for National Center for	51 FR 42368 11/24/86	52 FR 45023 11/24/87

	Health Statistics Technical Assistance		56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0164	Health and Demographic Surveys Conducted in Probability Samples of the United States Population	49 FR 37693 9/25/84	52 FR 45023 11/24/87 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0165	Health Facilities' Inventories and Survey	49 FR 37694 9/25/84	52 FR 45023 11/24/87 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0166	Vital Statistics for Births, Deaths, Fetal Deaths, Marriages, and Divorces Occurring in the United States During Each Year	49 FR 37695 9/25/84	52 FR 45023 11/24/87 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0167	Health Care Statistics	49 FR 37697 9/25/84	52 FR 45023 11/24/87 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0169	Users of Health Statistics	51 FR 42371 11/24/86	52 FR 45023 11/24/87 56 FR 1324 1/11/91 57 FR 62811 12/31/92 58 FR 69048 12/29/93 59 FR 48331 9/7/94
09-20-0170	National Select Agent Registry (NSAR)/Select Agent Transfer and Entity Registration Information System (SATERIS)	72 FR 35993 7/2/07	76 FR 4483 1/25/11
09-20-0171	Quarantine-and-Traveler-Related Activities, Including Records for Contact Tracing Investigation and Notification under 42 CFR Parts 70 and 71	72 FR 70867 12/13/07	76 FR 4485 1/25/11

**Centers for Medicare & Medicaid Services (CMS)**

<b>SORN No.</b>	<b>System of Records</b>	<b>Last Full Publication</b>	<b>Subsequent Revisions</b>
09-70-0500	Health Plan Management System (HPMS)	73 FR 2257 1/14/08	74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0501	Medicare Multi-Carrier Claims System (MCS)	55 FR 37549 9/12/90	55 FR 47394 11/13/90 59 FR 37243 7/21/94 62 FR 6648 2/21/96 63 FR 38414 7/16/98 65 FR 50552 8/18/00 67 FR 54428 8/22/02

			71 FR 64968 11/6/06 74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0502	Enrollment Database (EDB)	73 FR 10249 2/26/08	74 FR 30606 6/26/09 78 FR 23938 4/23/13 81 FR 8204 2/18/16
09-70-0503	Fiscal Intermediary Shared System (FISS)	71 FR 64961 11/6/06	74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0505	Third Party System (TPS)	72 FR 36000 7/2/07	None
09-70-0506	CMS Encounter Data System (EDS)	79 FR 34539 6/17/14	None
09-70-0507	Open Payments (OPP)	79 FR 32547 6/5/14	None
09-70-0508	CMS Risk Adjustment Suite of Systems (RASS)	80 FR 49237 8/17/15	None
09-70-0510	State Health Insurance Assistance Program (SHIP) National Performance Report (SHIP-NPR)	72 FR 36005 7/2/07	None
09-70-0511	CMS Risk Adjustment Data Validation System (RAD-V)	81 FR 26566 5/3/16	None
09-70-0512	Links of Social Security Administration (SSA) and Centers for Medicare & Medicaid Services (CMS) Data (LOD)	73 FR 11643 3/4/08	None
09-70-0513	Medicare Benefits Notices (MBN)	65 FR 48000 8/4/00	None
09-70-0514	Medicare Provider Analysis and Review (MEDPAR)	71 FR 17470 4/6/06	74 FR 30606 6/26/09
09-70-0515	Record of Individuals Allowed Regular and Special Parking Privileges at the CMS Building (PRKG)	71 FR 60533 10/13/06	None
09-70-0516	Complaints Against Health Insurance Issuers and Health Plans (CAHII)	72 FR 26121 5/8/07	None
09-70-0517	Physician/Supplier 1099 File (Statement for Recipients of Medical and Health Care Payments) (PSFMHC)	67 FR 40941 6/14/02	None
09-70-0518	Record of Individuals Authorized Entry to the CMS Building via a Card Key Access System (RICKS)	71 FR 67130 11/20/06	None
09-70-0519	Medicare Current Beneficiary Survey (MCBS)	71 FR 60722 10/16/06	74 FR 30606 6/26/09
09-70-0520	ESRD Program Management and Medical Information System (PMMIS)	72 FR 26126 5/8/07	74 FR 30606 6/26/09
09-70-0521	Inpatient Rehabilitation Facilities – Patient Assessment Instrument (IRF-PAI)	71 FR 67143 11/20/06	74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0522	HHA Outcome and Assessment Information Set (OASIS)	72 FR 63906 11/13/07	74 FR 30606 6/26/09 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0524	Intern and Resident Information System (IRIS)	72 FR 69691 12/10/07	None

09-70-0525	Unique Physician/Practitioner Identification Number (UPIN) System	79 FR 64802 10/31/14	None
09-70-0526	Common Working File (CWF)	71 FR 64955 11/6/06	74 FR 30606 6/26/09 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0527	Fraud Investigation Database (FID)	71 FR 77759 12/27/06	78 FR 32257 5/29/13
09-70-0528	Long Term Care Minimum Data Set (MDS)	72 FR 12801 3/19/07	74 FR 30606 6/26/09 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0529	Employee Building Pass File (EBPF)	72 FR 65741 11/23/07	None
09-70-0530	Medicare Supplier Identification Files (MSIF)	71 FR 70404 12/4/06	78 FR 32257 5/29/13
09-70-0531	National Emphysema Treatment Trial (NETT)	72 FR 47045 8/22/07	None
09-70-0532	Provider Enrollment, Chain, and Ownership System (PECOS)	71 FR 60536 10/13/06	74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0533	Medicare Managed Care Beneficiary Reconsideration System (RECON)	71 FR 60153 10/12/06	None
09-70-0534	Medicare Exclusion Database (MED)	71 FR 70967 12/7/06	78 FR 32257 5/29/13
09-70-0535	1-800 Medicare Helpline (HELPLINE)	73 FR 10255 2/26/08	None
09-70-0536	Medicare Beneficiary Database (MBD)	71 FR 70396 12/4/06	74 FR 30606 6/26/09 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0537	Workers Comp Set Aside File (WCSAF)	70 FR 75175 12/19/05	None
09-70-0538	Individuals Authorized Access to Centers for Medicare & Medicaid Services Computer Services (IACS)	72 FR 63902 11/13/07	74 FR 30606 6/26/09
09-70-0539	Long Term Care Hospitals Quality Reporting Program (LTCH QRP)	78 FR 8536 2/6/13	None
09-70-0541	Medicaid Statistical Information System (MSIS)	71 FR 65527 11/8/06	74 FR 30606 6/26/09 78 FR 32257 5/29/13
09-70-0542	Medicare Learning Network (MLN) Registration and Product Ordering System (REPOS)	72 FR 10537 3/8/07	None
09-70-0543	Cytology Personnel Records System (CYPERS)	70 FR 2637 1/14/05	None
09-70-0544	Health Insurance Portability and Accountability Act (HIPAA) Information Tracking System (HITS)	70 FR 38944 7/6/05	None
09-70-0546	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (Section 1011)	70 FR 45397 8/5/05	None
09-70-0547	Data Collection Secondary to Coverage Decision (DCSCD) System	70 FR 53667 9/9/05	None
09-70-0548	Hospice Item Set (HIS) System	79 FR 19341 4/8/14	None
09-70-0550	Medicare Retiree Drug Subsidy Program (RDSP)	70 FR 41035 7/15/05	74 FR 30606 6/26/09 78 FR 32257 5/29/13

09-70-0552	Medicare Premium Withhold System (PWS)	70 FR 69766 11/17/05	None
09-70-0553	Medicare Drug Data Processing System (DDPS)	73 FR 30943 5/29/08	74 FR 30606 6/26/09 76 FR 65196 10/20/11 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0555	National Plan and Provider Enumeration System (NPPES)	75 FR 30411 6/1/10	78 FR 32257 5/29/13
09-70-0557	Medicare True Out-of-Pocket (TrOOP) Expenditures System	70 FR 69569 11/16/05	None
09-70-0558	National Claims History (NCH)	71 FR 67137 11/20/06	74 FR 30606 6/26/09 76 FR 65196 10/20/11 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0560	Health Insurance Exchanges (HIX) Program	78 FR 63211 10/23/13	None
09-70-0564	Medicare Prescription Drug Plan Finder (MPDPF) System	70 FR 67709 11/8/05	None
09-70-0565	Automated Survey Processing Environment (ASPEN) Complaints/ Incidents Tracking System (ACTS)	71 FR 29643 5/23/06	None
09-70-0566	Medicare Appeals System (MAS)	71 FR 54489 9/15/06	None
09-70-0568	One Program Integrity Data Repository (ODR)	71 FR 64530 11/2/06	74 FR 30606 6/26/09
09-70-0569	Post-Acute Care Payment Reform / Continuity of Assessment Records and Report Evaluation Demonstration and Evaluation (PAC-CARE)	72 FR 55225 9/28/07	74 FR 30606 6/26/09
09-70-0571	Medicare Integrated Data Repository (IDR)	71 FR 74915 12/13/06	74 FR 30606 6/26/09 76 FR 65196 10/20/11 78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0572	National Disaster Medical System Claims Processing System (NDMS-CPS)	70 FR 70849 11/23/05	None
09-70-0573	Chronic Condition Warehouse (CCW)	79 FR 64802 10/31/14	None
09-70-0574	Medicare Health Support System (MHS)	71 FR 24718 4/26/06	None
09-70-0575	Organ Procurement Organizations System (OPOS)	71 FR 29336 5/22/06	74 FR 30606 6/26/09
09-70-0576	Healthcare Common Procedure Coding System (HCPCS) Level II	72 FR 44155 8/7/07	None
09-70-0578	Medicaid Program and State Children's Health Insurance Program Payment Error Rate Measurement (PERM)	71 FR 28347 5/16/06	None
09-70-0580	Medicare Care Management for High Cost Beneficiaries (CMHCB)	71 FR 41811 7/24/06	None
09-70-0584	Performance Measurement and Reporting System (PMRS)	74 FR 17672 4/16/09	None

09-70-0586	Health Insurance Assistance Database (HIAD)	76 FR 21373 4/15/11	None
09-70-0587	Medicare and Medicaid Electronic Health Record (EHR) Incentive Program National Level Repository	75 FR 73095 11/29/10	None
09-70-0588	Medicare Advantage Prescription Drug System (MARx) System	76 FR 47190 8/4/11	78 FR 23938 4/23/13 78 FR 32257 5/29/13
09-70-0591	Master Demonstration, Evaluation, and Research Studies for the Office of Research Development and Information (DERS)	72 FR 19705 4/19/07	None
09-70-0593	Money Follows the Person (MFP) Demonstration (MFPD)	72 FR 72729 12/21/07	None
09-70-0594	Home and Community Based Alternatives (CBA) to Psychiatric Residential Treatment Facilities (PRTF) Demonstration (CBA-PRTF)	72 FR 72733 12/21/07	74 FR 30606 6/26/09
09-70-0595	Evaluation of Drug Usage Under the Staff Time and Resource Intensity Verification Study (STRIVE)	71 FR 64527 11/2/06	None
09-70-0597	Medicare Master Death Records File (MMDRF)	72 FR 35997 7/2/07	None
09-70-0598	Medicare Administrative Issue Tracker and Reporting of Operations System (MAISTRO)	73 FR 10450 2/27/08	None
09-70-0598	ACO Database System	76 FR 58007 9/19/11	None
09-70-0599	Medicaid Integrity Program System (MIPS)	73 FR 11638 3/4/08	78 FR 32257 5/29/13
09-70-3005	Correspondence Tracking Management System (CTMS)	67 FR 57020 9/6/02	None
09-90-0041	Consumer Mailing List	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0046	Consumer Complaint Correspondence System	47 FR 45514 10/13/82	59 FR 55845 11/9/94
09-90-0250	Early Retirement Reinsurance Program (ERRP)	75 FR 31440 6/3/10	None
09-90-0275	Pre-Existing Condition Insurance Plan (PCIP)	75 FR 38526 7/2/10	None

#### Food & Drug Administration (FDA)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-10-0002	Regulated Industry Employee Enforcement Records	51 FR 42524 11/24/86	53 FR 9815 3/25/88 54 FR 47912 11/17/89 59 FR 67087 12/28/94 79 FR 36536 6/27/14
09-10-0004	Communications (Oral and Written) With the Public	51 FR 42524 11/24/86	54 FR 47912 11/17/89 79 FR 36536 6/27/14
09-10-0005	State Food and Drug Official File	51 FR 42524 11/24/86	59 FR 67087 12/28/94

			79 FR 36536 6/27/14
09-10-0009	Special Studies and Surveys on FDA-Regulated Products	51 FR 42524 11/24/86	59 FR 67087 12/28/94 79 FR 36536 6/27/14
09-10-0010	Bioresearch Monitoring Information System	77 FR 1073 1/9/12	79 FR 36536 6/27/14
09-10-0013	Employee Conduct Investigative Records	51 FR 42524 11/24/86	56 FR 1331 1/11/91 57 FR 62828 12/31/92 59 FR 67087 12/28/94 79 FR 36536 6/27/14
09-10-0018	Employee Identification Card Information Records	51 FR 42524 11/24/86	56 FR 1331 1/11/91 57 FR 62828 12/31/92 59 FR 67087 12/28/94 79 FR 36536 6/27/14
09-10-0019	Mammography Quality Standards Act (MQSA) Training Records	60 FR 53188 10/12/95	79 FR 36536 6/27/14
09-10-0020	FDA Records Related to Research Misconduct Proceedings	77 FR 52036 8/28/12	79 FR 36536 6/27/14
09-10-0021	FDA User Fee System	77 FR 67820 11/14/12	79 FR 36536 6/27/14
09-10-0022	FDA Commissioning of State and Local Officials	79 FR 72687 12/8/14	date corrected: 79 FR 74730 12/16/14

#### Health Resources and Services Administration (HRSA)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-15-0003	Contract Physicians and Consultants	74 FR 38456 8/3/09	None
09-15-0007	Patients Medical Record System Public Health Service Hospitals	74 FR 38456 8/3/09	None
09-15-0028	Public Health Service Clinical Affiliation Trainee Records	74 FR 38456 8/3/09	None
09-15-0037	Public Health Service and National Health Service Corps Scholarship/ Loan Repayment Participant Records System	80 FR 18631 4/7/15	None
09-15-0038	Disability Claims of the Nursing Student Loan Program	75 FR 5604 2/3/10	None
09-15-0046	Health Professions Planning and Evaluation	75 FR 15441 3/29/10	None
09-15-0054	National Practitioner Data Bank for Adverse Information on Physicians and Other Health Care Practitioners	78 FR 47322 8/5/13	None
09-15-0055	Organ Procurement and Transplantation Network Data System	74 FR 57184 11/4/09	None
09-15-0056	National Vaccine Injury Compensation Program	75 FR 60468 9/30/10	None
09-15-0059	Strategic Work Information and Folder Transfer System (SWIFT)	75 FR 57806 9/22/10	None
09-15-0065	Smallpox Vaccine Injury Compensation Program	68 FR 62301 11/3/03	None
09-15-0066	State-Provided Physician Records for	75 FR 19652 4/15/10	None



	the Application Submission & Processing System		
09-15-0068	C.W. Bill Young Cell Transplantation Program	74 FR 23869 5/21/09	None
09-15-0069	Campus Based Branch Program Document Management System	75 FR 5606 2/3/10	None
09-15-0071	Countermeasures Injury Compensation Program	76 FR 28991 5/19/11	None

### Indian Health Service (IHS)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-17-0001	Medical, Health, and Billing Records Systems	75 FR 1625 1/12/10	None
09-17-0002	Indian Health Service Scholarship and Loan Repayment Programs	74 FR 50222 9/30/09	None
09-17-0003	Indian Health Service Medical Staff Credentials and Privileges Records	74 FR 46436 9/9/09	74 FR 50981 10/2/09
09-17-0004	Indian Health Service Sanitation Facilities Construction Individual Applicant Records	74 FR 43143 8/26/09	None
09-17-0005	Personal Health Records (PHR) Administrative Records – IHS	77 FR 65564 10/29/12	None

### National Institutes of Health (NIH)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-25-0005	Administration: Library Operations and NIH Library User I.D. File	67 FR 60742 9/26/02	None
09-25-0007	Administration: NIH Safety Glasses Issuance Program	67 FR 60742 9/26/02	None
09-25-0011	Clinical Research: Blood Donor Records	67 FR 60742 9/26/02	None
09-25-0012	Clinical Research: Candidate Healthy Volunteer Records	67 FR 60742 9/26/02	None
09-25-0014	Clinic Research: Student Records	67 FR 60742 9/26/02	None
09-25-0033	International Activities: Fellowships Awarded by Foreign Organizations	67 FR 60742 9/26/02	None
09-25-0034	International Activities: Scholars-in-Residence Program	67 FR 60742 9/26/02	None
09-25-0036	Extramural Awards and Chartered Advisory Committees (IMPAC 2), Contract Information (DCIS), and Cooperative Agreement Information	67 FR 60742 9/26/02	None
09-25-0041	Research Resources: Scientists Requesting Hormone Distribution	67 FR 60742 9/26/02	None
09-25-0054	Administration: Property Accounting (Card Key System)	67 FR 60742 9/26/02	None
09-25-0078	Administration: Consultant File	67 FR 60742 9/26/02	None
09-25-0087	Administration: Senior Staff	67 FR 60742 9/26/02	None

09-25-0099	Clinical Research: Patient Medical Records	67 FR 60742 9/26/02	None
09-25-0105	Administration: Health Records of Employees, Visiting Scholars, Fellows, and Others Who Receive Medical Care Through the Employee Health Unit	67 FR 60742 9/26/02	None
09-25-0106	Administration: Office of the NIH Director and Institute/Center Correspondence Records	67 FR 60742 9/26/02	None
09-25-0108	Personnel: Guest Researchers, Special Volunteers, and Scientists Emeriti	67 FR 60742 9/26/02	None
09-25-0115	Administration: Curricula Vitae of Consultants and Clinical Investigators	67 FR 60742 9/26/02	None
09-25-0118	Contracts: Professional Services Contractors	67 FR 60742 9/26/02	None
09-25-0121	International Activities: Senior International Fellowships Program	67 FR 60742 9/26/02	None
09-25-0124	Administration: Pharmacology Research Associates	67 FR 60742 9/26/02	None
09-25-0140	International Activities: International Scientific Researchers in Intramural Laboratories at the National Institutes of Health	67 FR 60742 9/26/02	None
09-25-0156	Records of Participants in Programs and Respondents in Surveys Used to Evaluate Programs of the Public Health Service	67 FR 60742 9/26/02	None
09-25-0158	Administration: Records of Applicants and Awardees of the NIH Intramural Research Training Awards Program	67 FR 60742 9/26/02	None
09-25-0160	United States Renal Data System (USRDS)	67 FR 60742 9/26/02	None
09-25-0165	National Institutes of Health (NIH) Office of Loan Repayment and Scholarship (OLRS) Records System	67 FR 6043 2/8/02	None
09-25-0166	Administration: Radiation and Occupational Safety and Health Management Information Systems	67 FR 60742 9/26/02	None
09-25-0167	National Institutes of Health (NIH) TRANSHARE Program	67 FR 60742 9/26/02	None
09-25-0168	Invention, Patent, and Licensing Documents Submitted to the Public Health Service by its Employees, Grantees, Fellowship Recipients, and Contractors	71 FR 46496 8/14/06	None
09-25-0169	Medical Staff-Credentials Files	67 FR 60742 9/26/02	None
09-25-0200	Clinical, Basic and Population-based	67 FR 60742 9/26/02	None

	Research Studies of the National Institutes of Health (NIH)		
09-25-0202	Patient Records on PHS Beneficiaries (1935-1974) and Civilly Committed Drug Abusers (1967-1976) Treated at the PHS Hospitals in Fort Worth, Texas, or Lexington, Kentucky	67 FR 60742 9/26/02	None
09-25-0203	National Institute on Drug Abuse, Intramural Research Program, Federal Prisoner and Non-Prisoner Research Files	67 FR 60742 9/26/02	None
09-25-0207	Subject Participants in Pharmacokinetic Studies on Drugs of Abuse and on Treatment Medications	67 FR 60742 9/26/02	None
09-25-0208	Drug Abuse Treatment Outcome Study (DATOS)	67 FR 60742 9/26/02	None
09-25-0209	Subject-Participants in Drug Abuse Research Studies on Drug Dependence and in Research Supporting Investigational New Drug and New Drug Applications	67 FR 60742 9/26/02	None
09-25-0210	Shipment Records of Drugs of Abuse to Authorized Researchers	67 FR 60742 9/26/02	None
09-25-0211	Intramural Research Program Records of In-and-Out-Patients with Various Types of Alcohol Abuse and Dependence, Relatives of Patients with Alcoholism, and Healthy Volunteers	67 FR 60742 9/26/02	None
09-25-0213	Administration: Employee Conduct Investigative Records	67 FR 60742 9/26/02	None
09-25-0216	Administration: NIH Electronic Directory	67 FR 60742 9/26/02	None
09-25-0217	NIH Business System (NBS)	67 FR 54441 8/22/02	None
09-25-0223	NIH Records Related to Research Misconduct Proceedings	77 FR 52043 8/28/12	None
09-25-0225	NIH Electronic Research Administration (eRA) Records	81 FR 88690 12/8/16	None

#### Substance Abuse & Mental Health Services Administration (SAMHSA)

SORN No.	System of Records	Last Full Publication	Subsequent Revisions
09-30-0023	Records of Contracts Awarded to Individuals	75 FR 28264 5/20/10	None
09-30-0027	Grants and Cooperative Agreements: Alcohol, Drug Abuse, and Mental Health Services Evaluation, Service, Demonstration, Education, Fellowship, Training, Clinical Training, and	75 FR 28264 5/20/10	

	Community Services Programs		
09-30-0033	Correspondence Files	75 FR 28264 5/20/10	None
09-30-0036	Alcohol, Drug Abuse, and Mental Health Epidemiologic Data	75 FR 28264 5/20/10	None
09-30-0051	SAMHSA Information Mailing System (SIMS)	75 FR 28264 5/20/10	None
09-30-0052	Opioid Treatment Waiver Notification System (OTWNS)	75 FR 28264 5/20/10	None

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