#### **DEPARTMENT OF HEALTH AND HUMAN SERVICES**

Office of the Secretary
21 CFR Ch. I
25 CFR Ch. V
42 CFR Chs. I-V
45 CFR Subtitle A; Subtitle B, Chs. II, III, and XIII
Regulatory Agenda
AGENCY: Office of the Secretary, HHS.
ACTION: Semiannual Regulatory Agenda.
SUMMARY: The Regulatory Flexibility Act of 1980 and Executive Order (EO) 12866 require the
semiannual issuance of an inventory of rulemaking actions under development throughout the
Department, offering for public review summarized information about forthcoming regulatory actions.
FOR FURTHER INFORMATION CONTACT: Wilma Robinson, Deputy Executive Secretary, Department
of Health and Human Services, 200 Independence Avenue SW, Washington, DC 20201; (202) 690-5627.
SUPPLEMENTARY INFORMATION: The Department of Health and Human Services (HHS) is the

Federal Government's lead agency for protecting the health of all Americans and providing essential

human services, especially for those who are least able to help themselves. HHS enhances the health

and well-being of Americans by promoting effective health and human services and by fostering sound,

sustained advances in the sciences underlying medicine, public health, and social services.

This Agenda presents the rulemaking activities that the Department expects to undertake in the

foreseeable future to advance this mission. The Agenda furthers several Departmental goals, including

strengthening health care; advancing scientific knowledge and innovation; advancing the health, safety,

and well-being of the American people; increasing efficiency, transparency, and accountability of HHS

programs; and strengthening the nation's health and human services infrastructure and workforce.

HHS has an agency-wide effort to support the Agenda's purpose of encouraging more effective public

participation in the regulatory process. For example, to encourage public participation, we regularly

update our regulatory webpage (http://www.HHS.gov/regulations) which includes links to HHS rules

currently open for public comment, and also provides a "regulations toolkit" with background information

on regulations, the commenting process, how public comments influence the development of a rule, and

how the public can provide effective comments. HHS also actively encourages meaningful public

participation in its retrospective review of regulations, through a comment form on the HHS retrospective

review webpage (http://www.HHS.gov/RetrospectiveReview).

The rulemaking abstracts included in this paper issue of the Federal Register cover, as required by

the Regulatory Flexibility Act of 1980, those prospective HHS rulemakings likely to have a significant

economic impact on a substantial number of small entities. The Department's complete Regulatory

Agenda is accessible online at http://www.RegInfo.gov.

NAME: Wilma Robinson,

Deputy Executive Secretary to the Department.

Office for Civil Rights—Final Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
104	Nondiscrimination Under the Patient Protection and Affordable	0945-AA02
	Care Act	

# Office of the National Coordinator for Health Information Technology—Proposed Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
105	ONC Health IT Certification Program: Enhanced Oversight and	0955–AA00
	Accountability	

## Food and Drug Administration—Proposed Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
106	Over-the-Counter (OTC) Drug Review—Cough/Cold	0910–AF31
	(Antihistamine) Products	
107	Over-the-Counter (OTC) Drug Review—Topical Antimicrobial	0910–AF69
	Drug Products	

108	Updated Standards for Labeling of Pet Food	0910–AG09
109	Format and Content of Reports Intended to Demonstrate	0910–AG96
	Substantial Equivalence	
110	Mammography Quality Standards Act; Regulatory Amendments	0910-AH04
111	Investigational New Drug Application Annual Reporting	0910–AH07
112	Requirements for Tobacco Product Manufacturing Practice	0910–AH22
113	Use of Ozone Depleting Substances (Section 610 Review)	0910–AH36

## Food and Drug Administration—Final Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
114	Requirements for Foreign and Domestic Establishment	0910–AA49
	Registration and Listing for Human Drugs, Including Drugs That	
	Are Regulated Under a Biologics License Application, and Animal	
	Drugs	
115	Postmarketing Safety Reporting Requirements for Human Drug	0910–AA97
	and Biological Products	
116	Medical Gas Containers and Closures; Current Good	0910-AC53
	Manufacturing Practice Requirements	
117	Food Labeling: Revision of the Nutrition and Supplement Facts	0910–AF22
	Labels	

118	Food Labeling: Serving Sizes of Foods That Can Reasonably Be	0910–AF23
	Consumed At One Eating Occasion; Dual-Column Labeling;	
	Updating, Modifying, and Establishing Certain RACCs	
119	Abbreviated New Drug Applications and 505(b)(2)	0910–AF97
119	Abbreviated New Drug Applications and 303(b)(2)	0910-A1 91
120	"Tobacco Products" Subject to the Federal Food, Drug, and	0910–AG38
	Cosmetic Act, as Amended by the Family Smoking Prevention	
	and Tobacco Control Act	
121	Human Subject Protection; Acceptance of Data From Clinical	0910–AG48
	Investigations for Medical Devices	
400	Facus of Mitigation Chartesian To Brotest Food Against Intentional	0040 4000
122	Focused Mitigation Strategies To Protect Food Against Intentional	0910–AG63
	Adulteration	
123	Supplemental Applications Proposing Labeling Changes for	0910–AG94
	Approved Drugs and Biological Products	
124	Food Lobelings Cluten Free Lobeling of Formanted Hydrolygod	0910–AH00
124	Food Labeling; Gluten-Free Labeling of Fermented, Hydrolyzed,	0910-AH00
	or Distilled Foods	
125	General and Plastic Surgery Devices: Sunlamp Products	0910–AH14

## Food and Drug Administration—Long-Term Actions

Sequence	Title	Regulation
Number		Identifier
		Number
126	Laser Products; Amendment to Performance Standard	0910–AF87

127	Requirements for the Testing and Reporting of Tobacco Product  Constituents, Ingredients, and Additives	0910–AG59
128	Radiology Devices; Designation of Special Controls for the  Computed Tomography X-Ray System	0910-AH03
129	Regulations on Human Drug Compounding Under Sections 503A and 503B of the Federal Food, Drug, and Cosmetic Act	0910–AH10

### Food and Drug Administration—Completed Actions

Sequence	Title	Regulation
Number		Identifier
		Number
130	Standards for the Growing, Harvesting, Packing, and Holding of	0910–AG35
	Produce for Human Consumption	
131	Foreign Supplier Verification Program	0910–AG64
132	Sanitary Transportation of Human and Animal Food	0910–AG98

### Centers for Medicare & Medicaid Services—Proposed Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
133	Hospital and Critical Access Hospital (CAH) Changes to Promote Innovation, Flexibility, and Improvement in Patient Care (CMS-	0938–AS21

	3295-P) (Rulemaking Resulting From a Section 610 Review)	
134	Merit-Based Incentive Payment System (MIPS) and Alternative	0938-AS69
	Payment Models (APMs) in Medicare Fee-for-Service (CMS-	
	5517-P) <b>(Section 610 Review)</b>	
135	Hospital Inpatient Prospective Payment System for Acute Care	0938-AS77
	Hospitals and the Long-Term Care Hospital Prospective Payment	
	System and FY 2017 Rates (CMS-1655-F) (Section 610 Review)	
136	CY 2017 Home Health Prospective Payment System Rate	0938–AS80
	Update; Home Health Value-Based Purchasing Model; and Home	
	Health Quality Reporting Requirements (Section 610 Review)	
137	CY 2017 Revisions to Payment Policies Under the Physician Fee	0938–AS81
	Schedule and Other Revisions to Medicare Part B (CMS-1654-P)	
	(Section 610 Review)	
138	CY 2017 Hospital Outpatient PPS Policy Changes and Payment	0938-AS82
	Rates and Ambulatory Surgical Center Payment System Policy	
	Changes and Payment Rates (CMS-1656-P) (Section 610	
	Review)	

## Centers for Medicare & Medicaid Services—Final Rule Stage

Sequence	Title	Regulation
Number		Identifier
		Number
139	Emergency Preparedness Requirements for Medicare and	0938–AO91
139	Emergency Frepareuness Requirements for Medicare and	0936-AO91

	Medicaid Participating Providers and Suppliers (CMS-3178-F)  (Section 610 Review)	
140	Reform of Requirements for Long-Term Care Facilities (CMS-3260-F) (Rulemaking Resulting From a Section 610 Review)	0938–AR61

## Centers for Medicare & Medicaid Services—Long-Term Actions

Sequence	Title	Regulation
Number		Identifier
		Number
141	Conditions of Participation for Home Health Agencies (CMS-	0938–AG81
	3819-F) (Rulemaking Resulting From a Section 610 Review)	
142	Medicare Clinical Diagnostic Laboratory Test Payment System	0938–AS33
	(CMS-1621-F) (Section 610 Review)	
143	Imaging Accreditation (CMS-3309-P) (Section 610 Review)	0938–AS62

### Centers for Medicare & Medicaid Services—Completed Actions

Sequence	Title	Regulation
Number		Identifier
		Number
144	Covered Outpatient Drugs (CMS-2345-FC) (Completion of a	0938–AQ41
	Section 610 Review)	

145	CY 2016 Revisions to Payment Policies Under the Physician Fee Schedule and Other Revisions to Medicare Part B (CMS-1631- FC) (Completion of a Section 610 Review)	0938-AS40
146	CY 2016 Hospital Outpatient PPS Policy Changes and Payment Rates and Ambulatory Surgical Center Payment System Policy Changes and Payment Rates (CMS-1633-FC) (Completion of a Section 610 Review)	0938-AS42
147	Comprehensive Care for Joint Replacement (CMS-5516-F)  (Completion of a Section 610 Review)	0938–AS64

Department of Health and Human Services	Final Rule Stage
(HHS)	
Office for Civil Rights (OCR)	

#### 104. NONDISCRIMINATION UNDER THE PATIENT PROTECTION AND AFFORDABLE CARE ACT

Legal Authority: 42 U.S.C. 18116

**Abstract:** This final rule implements prohibitions against discrimination on the basis of race, color, national origin, sex, age, and disability as provided in section 1557 of the Affordable Care Act. Section 1557 provides protection from discrimination in health programs and activities of covered entities. This section also identifies additional forms of Federal financial assistance to which the section will apply.

Action	Date	FR Cite	

NPRM	09/08/15	80 FR 54172
NPRM Comment Period End	11/09/15	
Final Action	05/00/16	

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**RIN:** 0945-AA02

Department of Health and Human Services	Proposed Rule Stage
(HHS)	
Office of the National Coordinator for Health	
Information Technology (ONC)	
mormation realisings (enter)	

#### 105. • ONC HEALTH IT CERTIFICATION PROGRAM: ENHANCED OVERSIGHT AND

#### **ACCOUNTABILITY**

Legal Authority: Not Yet Determined

**Abstract:** The rulemaking introduces modifications and new requirements under the ONC Health IT Certification Program ("Program"), including provisions related to the Office of the National Coordinator for Health Information Technology (ONC)'s role in the Program. The proposed rule proposes to establish processes for ONC to directly review health IT certified under the Program and take action when

necessary, including requiring the correction of non-conformities found in health IT certified under the Program and suspending and terminating certifications issued to Complete EHRs and Health IT Modules. The proposed rule includes processes for ONC to authorize and oversee accredited testing laboratories under the Program. It also includes a provision for the increased transparency and availability of surveillance results.

#### Timetable:

Action	Date	FR Cite
NPRM	03/02/16	81 FR 11056
NPRM Comment Period End	05/02/16	
Final Action	10/00/16	

Regulatory Flexibility Analysis Required: Yes

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RIN: 0955-AA00

Department of Health and Human Services	Proposed Rule Stage
(HHS)	
Food and Drug Administration (FDA)	

106. OVER-THE-COUNTER (OTC) DRUG REVIEW—COUGH/COLD (ANTIHISTAMINE) PRODUCTS

Legal Authority: 21 U.S.C. 321p; 21 U.S.C. 331; 21 U.S.C. 351 to 353; 21 U.S.C. 355; 21 U.S.C. 360;

21 U.S.C. 371

Abstract: FDA will be proposing a rule to add the common cold indication to certain over-the-counter

(OTC) antihistamine active ingredients. This proposed rule is the result of collaboration under the U.S.-

Canada Regulatory Cooperation Council (RCC) as part of efforts to reduce unnecessary duplication and

differences. This pilot exercise will help determine the feasibility of developing an ongoing mechanism for

alignment in review and adoption of OTC drug monograph elements.

Timetable:

Action FR Cite **Date** 

Reopening of Administrative 08/25/00 65 FR 51780

Record

Comment Period End 11/24/00

NPRM (Amendment) 01/00/17

(Common Cold)

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0910-AF31

#### 107. OVER-THE-COUNTER (OTC) DRUG REVIEW—TOPICAL ANTIMICROBIAL DRUG PRODUCTS

**Legal Authority:** 21 U.S.C. 321p; 21 U.S.C. 331; 21 U.S.C. 351 to 353; 21 U.S.C. 355; 21 U.S.C. 360; 21 U.S.C. 371

**Abstract:** The OTC drug review establishes conditions under which OTC drugs are considered generally recognized as safe and effective, and not misbranded. After a final monograph (i.e., final rule) is issued, only OTC drugs meeting the conditions of the monograph, or having an approved new drug application, may be legally marketed. This action addresses antimicrobial agents in consumer antiseptic hand wash.

Action	Date	FR Cite
NPRM (Healthcare)	06/17/94	59 FR 31402
Comment Period End	12/15/95	
NPRM (Consumer Hand	12/17/13	78 FR 76443
Wash Products)		
NPRM (Consumer Hand	06/16/14	
Wash) Comment Period End		
NPRM (Healthcare	05/01/15	80 FR 25166
Antiseptic)		
NPRM Comment Period End	10/28/15	
(Healthcare Antiseptic)		

NPRM (Consumer Hand Rub)	06/00/16	
Final Rule (Consumer Hand	09/00/16	
Wash)		

**Agency Contact:** Janice Adams–King, Regulatory Health Project Manager, Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research, WO 22, Room 5416, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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**RIN:** 0910-AF69

#### 108. UPDATED STANDARDS FOR LABELING OF PET FOOD

Legal Authority: 21 U.S.C. 343; 21 U.S.C. 371; Pub. L. 110-85, sec 1002(a)(3)

**Abstract:** FDA is proposing updated standards for the labeling of pet food that include nutritional and ingredient information, as well as style and formatting standards. FDA is taking this action to provide pet owners and animal health professionals more complete and consistent information about the nutrient content and ingredient composition of pet food products.

Action	Date	FR Cite
NPRM	10/00/16	

Agency Contact: William Burkholder, Veterinary Medical Officer, Department of Health and Human

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**RIN:** 0910-AG09

109. FORMAT AND CONTENT OF REPORTS INTENDED TO DEMONSTRATE SUBSTANTIAL

**EQUIVALENCE** 

Legal Authority: 21 U.S.C. 387e(j); 21 U.S.C. 387j(a); secs 905(j) and 910(a) of the Federal Food, Drug,

and Cosmetic Act

Abstract: This regulation would establish the format and content of reports intended to demonstrate

substantial equivalence. This regulation also would provide information as to how the Agency will review

and act on these submissions.

Timetable:

Action Date **FR Cite** 

NPRM

09/00/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0910-AG96

110. MAMMOGRAPHY QUALITY STANDARDS ACT; REGULATORY AMENDMENTS

Legal Authority: 21 U.S.C. 360i; 21 U.S.C. 360nn; 21 U.S.C. 374(e); 42 U.S.C. 263b

Abstract: FDA is proposing to amend its regulations governing mammography. The amendments would

update the regulations issued under the Mammography Quality Standards Act of 1992 (MQSA). FDA is

taking this action to address changes in mammography technology and mammography processes that

have occurred since the regulations were published in 1997 and to address breast density reporting to

patient and health care providers.

Timetable:

Action FR Cite **Date** 

NPRM 08/00/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0910-AH04

111. INVESTIGATIONAL NEW DRUG APPLICATION ANNUAL REPORTING

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 331; 21 U.S.C. 351; 21 U.S.C. 352; 21 U.S.C. 353; 21 U.S.C.

355(i); 21 U.S.C. 371(a); 42 U.S.C. 262(a)

Abstract: This proposed rule would revise the requirements concerning annual reports submitted to

investigational new drug applications (INDs) by replacing the current annual reporting requirement with a

requirement that is generally consistent with the format, content, and timing of submission of the

development safety update report devised by the International Conference on Harmonization of Technical

Requirements for Registration of Pharmaceuticals for Human Use (ICH).

Timetable:

Action Date **FR Cite** NPRM 10/00/16

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Ebla Ali Ibrahim, Project Manager, Department of Health and Human Services, Food

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RIN: 0910-AH07

112. REQUIREMENTS FOR TOBACCO PRODUCT MANUFACTURING PRACTICE

Legal Authority: 21 U.S.C. 371; 21 U.S.C. 387b; 21 U.S.C. 387f

**Abstract:** FDA is proposing requirements that govern the methods used in, and the facilities and controls used for, the pre-production design validation, manufacture, packing, and storage of tobacco products.

#### Timetable:

Action	Date	FR Cite
ANPRM	03/19/13	78 FR 16824
ANPRM Comment Period	05/20/13	
End		
2.10		
NPRM	12/00/16	
THE TOWN	12/00/10	

Regulatory Flexibility Analysis Required: Yes

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RIN: 0910-AH22

113. • USE OF OZONE DEPLETING SUBSTANCES (SECTION 610 REVIEW)

**Legal Authority:** 21 U.S.C. 321; 21 U.S.C. 331; 21 U.S.C. 335; 21 U.S.C. 342; 21 U.S.C. 346a; 21 U.S.C. 348; 21 U.S.C. 351; 21 U.S.C. 352; 21 U.S.C. 355; 21 U.S.C. 360b; 21 U.S.C. 361; 21 U.S.C. 371; 21 U.S.C. 372; 21 U.S.C. 374; 15 U.S.C. 402; 15 U.S.C. 409

Abstract: The Food and Drug Administration (FDA or the Agency) is proposing to amend its regulation (21 CFR 2.125) on uses of ozone-depleting substances (ODSs), including chlorofluorocarbons (CFCs), to remove designations for certain products as essential uses under the Clean Air Act. Essential-use products are exempt from FDA's ban on the use of CFC propellants in FDA-regulated products and the Environmental Protection Agency's (EPA's) ban on the use of CFCs and other ODSs in pressurized dispensers. This action, if finalized, will remove essential use exemptions for sterile aerosol talc administered intrapleurally by thoracoscopy for human use, metered-dose atropine sulfate aerosol human drugs administered by oral inhalation, and anesthetic drugs for topical use on accessible mucous membranes of humans where a cannula is used for application. FDA is proposing this action because alternative products that do not use ODSs are now available and because these products are no longer being marketed in approved versions that contain ODSs. On June 29, 2015, FDA published a notice and request for comment concerning its tentative conclusion that these products are no longer an essential use under the Clean Air Act (80 FR 36937). The Agency received no comments concerning removal of essential use designations for sterile aerosol talc and metered-dose atropine sulfate, and is proposing to remove these designations by direct final rule and a companion proposed rule in the event adverse comments are received. FDA received one comment concerning removal of anesthetic drugs for topical use in response to its 2015 notice and request for comment, and is proposing to remove this exemption through a separate notice. Because these products are not currently sold in the approved form, no significant economic impact is anticipated.

#### Timetable:

Action	Date	FR Cite
NPRM	08/00/16	

Regulatory Flexibility Analysis Required: No

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**RIN:** 0910–AH36

Department of Health and Human Services	Final Rule Stage
(HHS)	
Food and Drug Administration (FDA)	

114. REQUIREMENTS FOR FOREIGN AND DOMESTIC ESTABLISHMENT REGISTRATION AND LISTING FOR HUMAN DRUGS, INCLUDING DRUGS THAT ARE REGULATED UNDER A BIOLOGICS LICENSE APPLICATION, AND ANIMAL DRUGS

Legal Authority: 21 U.S.C. 321 and 331; 21 U.S.C. 351 to 353; 21 U.S.C. 355 to 356c; 21 U.S.C. 360 and 360b; 21 U.S.C. 360c to 360f; 21 U.S.C. 360h to 360j; 21 U.S.C. 371 and 374; 21 U.S.C. 379e and 381; 21 U.S.C. 393; 15 U.S.C. 1451 to 1561; 42 U.S.C. 262 and 264; 42 U.S.C. 271; and sec 122; Pub. L. 105–115, 11 Stat. 2322 (21 U.S.C. 355 note)

Abstract: The rule will reorganize, consolidate, clarify, and modify current regulations concerning who must register establishments and list human drugs, including certain biological drugs, and animal drugs. These regulations contain information on when, how, and where to register drug establishments and list drugs, and what information must be submitted. They also address National Drug Codes.

Action	Date	FR Cite
NPRM	08/29/06	71 FR 51276
NPRM Comment Period End	02/26/07	
Final Action	07/00/16	

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RIN: 0910-AA49

## 115. POSTMARKETING SAFETY REPORTING REQUIREMENTS FOR HUMAN DRUG AND BIOLOGICAL PRODUCTS

**Legal Authority:** 42 U.S.C. 216; 42 U.S.C. 241; 42 U.S.C. 242a; 42 U.S.C. 262 and 263; 42 U.S.C. 263a to 263n; 42 U.S.C. 264; 42 U.S.C. 300aa; 21 U.S.C. 321; 21 U.S.C. 331; 21 U.S.C. 351 to 353; 21 U.S.C. 355; 21 U.S.C. 360; 21 U.S.C. 360b to 360j; 21 U.S.C. 361a; 21 U.S.C. 371; 21 U.S.C. 374; 21 U.S.C. 375; 21 U.S.C. 379e; 21 U.S.C. 381

**Abstract:** The final rule would amend the postmarketing expedited and periodic safety reporting regulations for human drugs and biological products to revise certain definitions and reporting formats as recommended by the International Conference on Harmonisation and to define new terms; to add to or revise current reporting requirements; to revise certain reporting time frames; and to propose other revisions to these regulations to enhance the quality of safety reports received by FDA. These revisions

were proposed as part of a single rulemaking (68 FR 12406) to clarify and revise both premarketing and postmarketing safety reporting requirements for human drug and biological products. FDA plans to finalize the premarket and postmarket safety reporting requirements in separate final rules. Premarketing safety reporting requirements were finalized in a separate final rule published on September 29, 2010 (75 FR 59961). This final rule applies to postmarketing safety reporting requirements.

#### Timetable:

Action	Date	FR Cite
NPRM	03/14/03	68 FR 12406
NPRM Comment Period	06/18/03	
Extended		
NPRM Comment Period End	07/14/03	
NPRM Comment Period	10/14/03	
Extension End		
Final Action	03/00/17	

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0910-AA97

116. MEDICAL GAS CONTAINERS AND CLOSURES; CURRENT GOOD MANUFACTURING PRACTICE REQUIREMENTS

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 351 to 21 U.S.C. 353

Abstract: The Food and Drug Administration is amending its current good manufacturing practice regulations and other regulations to clarify and strengthen requirements for the label, color, dedication, and design of medical gas containers and closures. Despite existing regulatory requirements and industry standards for medical gases, there have been repeated incidents in which cryogenic containers of harmful industrial gases have been connected to medical oxygen supply systems in hospitals and nursing homes and subsequently administered to patients. These incidents have resulted in death and serious injury. There have also been several incidents involving high-pressure medical gas cylinders that have resulted in death and injuries to patients. These amendments, together with existing regulations, are intended to ensure that the types of incidents that have occurred in the past, as well as other types of foreseeable and potentially deadly medical gas accidents, do not occur in the future. FDA has described a number of proposals in the proposed rule including requiring that gas use outlet connections on portable cryogenic medical gas containers be securely attached to the valve body.

#### Timetable:

Action	Date	FR Cite
NPRM	04/10/06	71 FR 18039
NPRM Comment Period End	07/10/06	
Final Action	07/00/16	

Regulatory Flexibility Analysis Required: Yes

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RIN: 0910-AC53

117. FOOD LABELING: REVISION OF THE NUTRITION AND SUPPLEMENT FACTS LABELS

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 343; 21 U.S.C. 371

Abstract: FDA is amending the labeling regulations for conventional foods and dietary supplements to

provide updated nutrition information on the label to assist consumers in maintaining healthy dietary

practices. The rule would modernize the nutrition information found on the Nutrition Facts label, as well as

the format and appearance of the label. On July 27, 2015, FDA issued a supplemental notice of proposed

rulemaking accepting comments on limited additional provisions until October 13, 2015. Also on July 27,

2015, FDA reopened the comment period on the proposed rule as to specific documents until September

25, 2015. In addition, in response to requests for the raw data related to FDA's consumer studies on the

nutrition label, FDA issued a notice on September 10, 2015 to make the raw data available for comment

until October 13, 2015 and extended the comment period for the July 27, 2015 reopening as to specific

documents to October 13, 2015. On October 20, 2015, FDA extended the comment period for the

consumer studies and the supplemental proposal to October 23, 2015.

Timetable:

Action Date **FR Cite** 

ANPRM	07/11/03	68 FR 41507
ANPRM Comment Period	10/09/03	
End		
Second ANPRM	04/04/05	70 FR 17008
Second ANPRM Comment	06/20/05	
Period End		
Third ANPRM	11/02/07	72 FR 62149
Third ANPRM Comment	01/31/08	
Period End		
NPRM	03/03/14	79 FR 11879
NPRM Comment Period End	06/02/14	
Reopening of Comment	07/27/15	80 FR 44302
Period as to Specific		
Documents		
NPRM Comment Period End	09/25/15	
as to Specific Documents		
Supplemental NPRM to	07/27/15	80 FR 44303
Solicit Comment on Limited		
Additional Provisions		
Supplemental NPRM to	10/13/15	
Solicit Comment on Limited		
Additional Provisions		

Comment Period End		
Administrative Docket	09/10/15	80 FR 54446
Update; Extension of		
Comment Period		
Administrative Docket	10/13/15	
	10/10/13	
Update; Comment Period		
End		
NPRM Reopening of	10/20/15	80 FR 63477
Comment Period for Certain		
Documents		
NIDDM Decreasing of	40/02/45	
NPRM Reopening of	10/23/15	
Comment Period for Certain		
Documents Comment Period		
End		
Final Astina	05/00/40	
Final Action	05/00/16	

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**RIN:** 0910–AF22

118. FOOD LABELING: SERVING SIZES OF FOODS THAT CAN REASONABLY BE CONSUMED AT ONE EATING OCCASION; DUAL-COLUMN LABELING; UPDATING, MODIFYING, AND ESTABLISHING CERTAIN RACCS

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 343; 21 U.S.C. 371; Pub. L. 101-535, sec 2(b)(1)(A)

Abstract: FDA is amending its labeling regulations for foods to provide update, modify, and establish Reference Amounts Customarily Consumed (RACCs) for certain food categories. This rule would provide consumers with nutrition information based on the amount of food that is customarily consumed, which would assist consumers in maintaining healthy dietary practices. In addition to updating, modifying, and establishing certain RACCs, FDA is amending the definition of a single-serving containers; amending the label serving size for breath mints; and providing for dual-column labeling under certain circumstances, which would provide nutrition information per serving and per container or unit, as applicable; and making technical amendments to various aspects fo the serving size regulations.

Action	Date	FR Cite
ANPRM	04/04/05	70 FR 17010
ANPRM Comment Period	06/20/05	
End		
NPRM/Comment Period	03/03/14	79 FR 11989
Extended		
NPRM Comment Period End	06/02/14	
NPRM Comment Period	05/27/14	79 FR 29699
Extended		

NPRM Comment Period End	08/01/14	
Final Action	05/00/16	

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**RIN:** 0910–AF23

#### 119. ABBREVIATED NEW DRUG APPLICATIONS AND 505(B)(2)

Legal Authority: Pub. L. 108-173, title XI; 21 U.S.C. 355; 21 U.S.C. 371

**Abstract:** This proposed rule would make changes to certain procedures for Abbreviated New Drug Applications and related applications to patent certifications, notice to patent owners and application holders, the availability of a 30-month stay of approval, amendments and supplements, and the types of bioavailability and bioequivalence data that can be used to support these applications.

#### Timetable:

Action	Date	FR Cite
NPRM	02/06/15	80 FR 6802
NPRM Comment Period End	05/07/15	

NPRM Comment Period	04/24/15	80 FR 22953
Extended		
NPRM Comment Period	06/08/15	
Extended End		
Final Action	08/00/16	

**Agency Contact:** Janice L. Weiner, Senior Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research, Building 51, Room 6268, 10903 New Hampshire Avenue, Silver Spring, MD 20993–0002

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**RIN:** 0910-AF97

120. "TOBACCO PRODUCTS" SUBJECT TO THE FEDERAL FOOD, DRUG, AND COSMETIC ACT,
AS AMENDED BY THE FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT

**Legal Authority:** 21 U.S.C. 301 et seq.; The Federal Food, Drug, and Cosmetic Act; Pub. L. 111–31; The Family Smoking Prevention and Tobacco Control Act

**Abstract:** The Family Smoking Prevention and Tobacco Control Act (Tobacco Control Act) provides the Food and Drug Administration (FDA) authority to regulate cigarettes, cigarette tobacco, roll-your-own tobacco, and smokeless tobacco. The Federal Food, Drug, and Cosmetic Act (FD&C Act), as amended by the Tobacco Control Act, permits FDA to issue regulations deeming other tobacco products to be

subject to the FD&C Act. This rule would deem additional products meeting the statutory definition of "tobacco product" to be subject to the FD&C Act, and would specify additional restrictions.

#### Timetable:

Action	Date	FR Cite
NPRM	04/25/14	79 FR 23142
NPRM Comment Period End	07/09/14	
NPRM Comment Period	06/24/14	79 FR 35711
Extended		
NPRM Comment Period	08/08/14	
NPRIVI Comment Period	00/00/14	
Extended End		
F: 1.0 ()	05/00/40	
Final Action	05/00/16	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Gerie Voss, Senior Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Tobacco Products, Document Control Center, Building 71, Room G335, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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**RIN:** 0910–AG38

121. HUMAN SUBJECT PROTECTION; ACCEPTANCE OF DATA FROM CLINICAL INVESTIGATIONS FOR MEDICAL DEVICES

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 331; 21 U.S.C. 351; 21 U.S.C. 352; 21 U.S.C. 360; 21 U.S.C. 360c; 21 U.S.C. 360e; 21 U.S.C. 360i; 21 U.S.C. 360j; 21 U.S.C. 371; 21 U.S.C. 374; 21 U.S.C. 381; 21 U.S.C. 393; 42 U.S.C. 264; 42 U.S.C. 271; ...

Abstract: This rule will amend FDA's regulations on acceptance of data for medical devices to require that clinical investigations submitted in support of a premarket approval application, humanitarian device exemption application, an investigational device exemption application, or a premarket notification submission be conducted in accordance with good clinical practice if conducted outside the United States.

#### Timetable:

Action	Date	FR Cite
NPRM	02/25/13	78 FR 12664
NPRM Comment Period End	05/28/13	
Final Action	05/00/16	

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Soma Kalb, Biomedical Engineer, Department of Health and Human Services, Food and Drug Administration, Center for Devices and Radiologica Heath, Bldg 66 Room 1534, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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RIN: 0910-AG48

## 122. FOCUSED MITIGATION STRATEGIES TO PROTECT FOOD AGAINST INTENTIONAL ADULTERATION

**Legal Authority:** 21 U.S.C. 331; 21 U.S.C. 342; 21 U.S.C. 350g; 21 U.S.C. 350i; 21 U.S.C. 371; 21 U.S.C. 374; Pub. L. 111–353

**Abstract:** This rule would require domestic and foreign food facilities that are required to register under the Federal Food, Drug, and Cosmetic Act to address hazards that may be intentionally introduced by acts of terrorism. These food facilities would be required to identify and implement focused mitigation strategies to significantly minimize or prevent significant vulnerabilities identified at actionable process steps in a food operation.

#### Timetable:

Action	Date	FR Cite
NPRM	12/24/13	78 FR 78014
NPRM Comment Period	03/25/14	79 FR 16251
Extended		
NPRM Comment Period End	03/31/14	
NPRM Comment Period	06/30/14	
Extended End		
Final Rule	06/00/16	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Jody Menikheim, Supervisory General Health Scientist, Department of Health and Human Services, Food and Drug Administration, Center for Food Safety and Applied Nutrition (HFS–005), 5100 Paint Branch Parkway, College Park, MD 20740

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RIN: 0910-AG63

123. SUPPLEMENTAL APPLICATIONS PROPOSING LABELING CHANGES FOR APPROVED **DRUGS AND BIOLOGICAL PRODUCTS** 

Legal Authority: 21 U.S.C. 321; 21 U.S.C. 331; 21 U.S.C. 352; 21 U.S.C. 353; 21 U.S.C. 355; 21 U.S.C.

371; 42 U.S.C. 262; ...

Abstract: This rule would amend the regulations regarding new drug applications (NDAs), abbreviated new drug applications (ANDAs)., and biologics license application (BLAs) to revise and clarify procedures for changes to the labeling of an approved drug to reflect certain types of newly acquired information in advance of FDA's review of such change.

Timetable:

Action FR Cite Date NPRM 11/13/13 78 FR 67985 NPRM Comment Period 12/27/13 78 FR 78796 Extended NPRM Comment Period End 01/13/14 NPRM Comment Period 03/13/14 Extended End

NPRM Comment Period	02/18/15	80 FR 8577
Reopened		
NPRM Comment Period	04/27/15	
Reopened End		
Final Rule	04/00/17	

**Agency Contact:** Janice L. Weiner, Senior Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research, Building 51, Room 6268, 10903 New Hampshire Avenue, Silver Spring, MD 20993–0002

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RIN: 0910-AG94

## 124. FOOD LABELING; GLUTEN-FREE LABELING OF FERMENTED, HYDROLYZED, OR DISTILLED FOODS

**Legal Authority:** sec 206 of the Food Allergen Labeling and Consumer Protection Act; 21 U.S.C. 343(a)(1); 21 U.S.C. 321(n); 21 U.S.C. 371(a)

**Abstract:** This proposed rule would establish requirements concerning compliance for using a "gluten-free" labeling claim for those foods for which there is no scientifically valid analytical method available that can reliably detect and accurately quantify the presence of 20 parts per million (ppm) gluten in the food.

Action	Date	FR Cite
NPRM	11/18/15	80 FR 71990
NPRM Comment Period	02/16/16	81 FR 3751
Reopened		
Comment Period Extended	02/22/16	81 FR 8869
Final Action	04/00/17	

**Agency Contact:** Carol D'Lima, Staff Fellow, Department of Health and Human Services, Food and Drug Administration, Center for Food Safety and Applied Nutrition, Room 4D022, HFS 820, 5100 Paint Branch Parkway, College Park, MD 20740

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**RIN:** 0910-AH00

#### 125. GENERAL AND PLASTIC SURGERY DEVICES: SUNLAMP PRODUCTS

Legal Authority: 21 U.S.C. 360j(e)

**Abstract:** This proposed rule would apply device restrictions to sunlamp products.

Action	Date	FR Cite

NPRM	12/22/15	80 FR 79493
NPRM Comment Period End	03/21/16	
Final Action	11/00/16	

**Agency Contact:** Ian Ostermiller, Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Building 66 Room 5515, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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RIN: 0910-AH14

Department of Health and Human Services	Long-Term Actions
(HHS)	
Food and Drug Administration (FDA)	

126. LASER PRODUCTS; AMENDMENT TO PERFORMANCE STANDARD

Legal Authority: 21 U.S.C. 360hh to 360ss; 21 U.S.C. 371; 21 U.S.C. 393

**Abstract:** FDA is proposing to amend the 2013 proposed rule for the performance standard for laser products, which will amend the performance standard for laser products to achieve closer harmonization between the current standard and the recently amended International Electrotechnical Commission (IEC) standard for laser products and medical laser products. The amendment is intended to update FDA's performance standard to reflect advancements in technology.

Action	Date	FR Cite
NPRM	06/24/13	78 FR 37723
NPRM Comment Period End	09/23/13	
NPRM (Reproposal)	06/00/17	

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Erica Blake, Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Devices and Radiological Health, WO 66, Room 4426, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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RIN: 0910-AF87

### 127. REQUIREMENTS FOR THE TESTING AND REPORTING OF TOBACCO PRODUCT **CONSTITUENTS, INGREDIENTS, AND ADDITIVES**

Legal Authority: 21 U.S.C. 301 et seq.; 21 U.S.C. 387; The Family Smoking Prevention and Tobacco Control Act

Abstract: The Federal Food, Drug, and Cosmetic Act, as amended by the Family Smoking Prevention and Tobacco Control Act, requires the Food and Drug Administration to promulgate regulations that require the testing and reporting of tobacco product constituents, ingredients, and additives, including smoke constituents, that the Agency determines should be tested to protect the public health.

Action	Date	FR Cite
NPRM	06/00/17	

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Laura Rich, Senior Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Tobacco Products, Building 71, G335, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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RIN: 0910-AG59

128. RADIOLOGY DEVICES; DESIGNATION OF SPECIAL CONTROLS FOR THE COMPUTED TOMOGRAPHY X-RAY SYSTEM

Legal Authority: 21 U.S.C. 360c

Abstract: The proposed rule would establish special controls for the computed tomography (CT) X-ray system. A CT X- ray system is a diagnostic X-ray imaging system intended to produce cross-sectional images of the body through use of a computer to reconstruct an image from the same axial plane taken at different angles. High doses of ionizing radiation can cause acute (deterministic) effects such as burns, reddening of the skin, cataracts, hair loss, sterility, and, in extremely high doses, radiation poisoning. The design of a CT X-ray system should balance the benefits of the device (i.e., the ability of the device to produce a diagnostic quality image) with the known risks (e.g., exposure to ionizing radiation). FDA is establishing proposed special controls, which are necessary to provide reasonable assurance of the safety and effectiveness of a class II CT X-ray system.

Action	Date	FR Cite
NPRM	05/00/17	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Erica Blake, Regulatory Counsel, Department of Health and Human Services, Food and Drug Administration, Center for Devices and Radiological Health, WO 66, Room 4426, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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RIN: 0910-AH03

# 129. REGULATIONS ON HUMAN DRUG COMPOUNDING UNDER SECTIONS 503A AND 503B OF THE FEDERAL FOOD, DRUG, AND COSMETIC ACT

Legal Authority: 21 U.S.C. 353a; 21 U.S.C. 353b; 21 U.S.C. 371

**Abstract:** FDA will propose regulations to define and implement certain statutory conditions under which compounded products may qualify for exemptions from certain requirements.

#### Timetable:

Action	Date	FR Cite
NPRM	12/00/17	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Sarah Rothman, Consumer Safety Officer, Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research, Building 51, Room 5197, 10903 New Hampshire Avenue, Silver Spring, MD 20993

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**RIN:** 0910-AH10

Department of Health and Human Services	Completed Actions
(HHS)	
Food and Drug Administration (FDA)	

## 130. STANDARDS FOR THE GROWING, HARVESTING, PACKING, AND HOLDING OF PRODUCE FOR HUMAN CONSUMPTION

**Legal Authority:** 21 U.S.C. 342; 21 U.S.C. 350h; 21 U.S.C. 371; 42 U.S.C. 264; Pub. L. 111–353 (signed on January 4, 2011)

**Abstract:** This rule will establish science-based minimum standards for the safe production and harvesting of those types of fruits and vegetables that are raw agricultural commodities for which the Secretary has determined that such standards minimize the risk of serious adverse health consequences or death. The purpose of the rule is to reduce the risk of illness associated with fresh produce.

#### Timetable:

Action	Date	FR Cite

NPRM	01/16/13	78 FR 3503
NPRM Comment Period End	05/16/13	
NPRM Comment Period	04/26/13	78 FR 24692
Extended		
NPRM Comment Period	09/16/13	
Extended End		
NPRM Comment Period	08/09/13	78 FR 48637
Extended		
NPRM Comment Period	11/15/13	
Extended End		
Notice of Intent To Prepare	08/19/13	78 FR 50358
an Environmental Impact		
Statement for the Proposed		
Rule		
Notice of Intent To Prepare	11/15/13	
Environmental Impact		
Statement for the Proposed		
Rule Comment Period End		
NPRM Comment Period	11/20/13	78 FR 69605
Extended		
NPRM Comment Period	11/22/13	
Extended End		
Environmental Impact	03/11/14	79 FR 13593

Statement for the Proposed		
Rule; Comment Period		
Extended		
Environmental Impact	04/18/14	
Statement for the Proposed		
Rule; Comment Period		
Extended End		
Supplemental NPRM	09/29/14	79 FR 58433
Supplemental NPRM	12/15/14	
Comment Period End		
2.1.4		
Final Action—Draft	01/14/15	80 FR 1852
Environmental Impact		
Statement		
Final Action—Draft	03/13/15	
Environmental Impact		
Statement Comment Period		
End		
Final Action Effective	01/26/16	
Final Rule	11/27/15	80 FR 74353

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0910-AG35

#### 131. FOREIGN SUPPLIER VERIFICATION PROGRAM

**Legal Authority:** 21 U.S.C. 384a; title III, sec 301 of FDA Food Safety Modernization Act; Pub. L. 111–353, establishing sec 805 of the Federal Food, Drug, and Cosmetic Act (FD&C Act)

**Abstract:** This rule describes what a food importer must do to verify that its foreign suppliers produce food that is as safe as food produced in the United States. FDA is taking this action to improve the safety of food that is imported into the United States.

#### Timetable:

Action	Date	FR Cite
NPRM	07/29/13	78 FR 45729
NPRM Comment Period End	11/26/13	
NPRM Comment Period	11/20/13	78 FR 69602
Extended		
NPRM Comment Period	01/27/14	
Extended End		
Supplemental NPRM	09/29/14	79 FR 58573
Supplemental NPRM	12/15/14	
Comment Period End		
Final Rule	11/27/15	80 FR 74225

Final Rule Effective	01/27/16	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Brian L. Pendleton, Senior Policy Advisor, Department of Health and Human Services, Food and Drug Administration, Office of Policy, WO 32, Room 4245, 10903 New Hampshire Avenue, Silver Spring, MD 20993–0002

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**RIN:** 0910-AG64

#### 132. SANITARY TRANSPORTATION OF HUMAN AND ANIMAL FOOD

Legal Authority: 21 U.S.C. 350e; 21 U.S.C. 373; 21 U.S.C. 331; 21 U.S.C. 342; 21 U.S.C. 371; ...

**Abstract:** This rule would establish requirements for parties including shippers, carriers by motor vehicle or rail vehicle, and receivers engaged in the transportation of food, including food for animals, to use sanitary transportation practices to ensure that food is not transported under conditions that may render the food adulterated.

#### Timetable:

Action	Date	FR Cite
ANPRM	04/30/10	75 FR 22713
ANPRM Comment Period	08/30/10	
End		

NPRM	02/05/14	79 FR 7005
NPRM Comment Period	05/23/14	79 FR 29699
Extended		
NPRM Comment Period End	05/31/14	
NPRM Comment Period	07/30/14	
Extended End		
Final Rule	04/06/16	81 FR 20092
Final Rule Effective	06/06/16	

Regulatory Flexibility Analysis Required: Yes

**Agency Contact:** Michael E. Kashtock, Supervisory Consumer Safety Officer, Department of Health and Human Services, Food and Drug Administration, Center for Food Safety and Applied Nutrition, Office of Food Safety, 5100 Paint Branch Parkway, College Park, MD 20740

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**RIN:** 0910-AG98

Department of Health and Human Services	Proposed Rule Stage
(HHS)	
Centers for Medicare & Medicaid Services	

(CMS)

133. HOSPITAL AND CRITICAL ACCESS HOSPITAL (CAH) CHANGES TO PROMOTE INNOVATION, FLEXIBILITY, AND IMPROVEMENT IN PATIENT CARE (CMS-3295-P) (RULEMAKING RESULTING FROM A SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1302; 42 U.S.C. 1395hh and 1395rr

Abstract: This proposed rule would update the requirements that hospitals and Critical Access Hospitals (CAHs) must meet to participate in the Medicare and Medicaid programs. These proposals are intended to conform the requirements to current standards of practice and to support improvements in quality of care, reduce barriers to care, and reduce some issues that may exacerbate workforce shortage concerns.

#### Timetable:

Action	Date	FR Cite
NPRM	05/00/16	

Regulatory Flexibility Analysis Required: No

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**RIN:** 0938-AS21

134. MERIT-BASED INCENTIVE PAYMENT SYSTEM (MIPS) AND ALTERNATIVE PAYMENT MODELS (APMS) IN MEDICARE FEE-FOR-SERVICE (CMS-5517-P) (SECTION 610 REVIEW) Legal Authority: Pub. L. 114-10, sec 101

Abstract: This proposed rule would implement provisions of the Medicare Access and CHIP Reauthorization Act (MACRA) related to MIPS and APMs. Section 101 of MACRA authorizes a new MIPS, which repeals the Medicare sustainable growth rate and improves Medicare payments for physician services. MACRA consolidates the current programs of the Physician Quality Reporting System, the Value-Based Modifier, and the Electronic Health Records Incentive Program into one program, MIPS, that streamlines and improves on the three distinct incentive programs. Additionally, MACRA authorizes incentive payments for providers who participate in eligible APMs.

#### Timetable:

Action	Date	FR Cite
NPRM	05/09/16	81 FR 28161
NPRM Comment Period End	06/27/16	

Regulatory Flexibility Analysis Required: Yes

Agency Contact: James Sharp, Health Insurance Specialist, Department of Health and Human Services, Centers for Medicare & Medicaid Services, Center for Medicare & Medicaid Innovation Center, MS: WB-06-05, 7500 Security Boulevard, Baltimore, MD 21244

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RIN: 0938-AS69

135. HOSPITAL INPATIENT PROSPECTIVE PAYMENT SYSTEM FOR ACUTE CARE HOSPITALS AND THE LONG-TERM CARE HOSPITAL PROSPECTIVE PAYMENT SYSTEM AND FY 2017 RATES (CMS-1655-F) (SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1302; 42 U.S.C. 1395hh

Abstract:

This annual final rule revises the Medicare hospital inpatient and long-term care hospital prospective

payment systems for operating and capital-related costs. This rule implements changes arising from our

continuing experience with these systems.

Timetable:

Action **Date FR Cite** 

**NPRM** 04/27/16 81 FR 24946

NPRM Comment Period End 06/17/16

Final Action 08/00/16

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Donald Thompson, Deputy Director, Division of Acute Care, Department of Health and

Human Services, Centers for Medicare & Medicaid Services, Center for Medicare, MS: C4-01-26, 7500

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**RIN:** 0938-AS77

136. CY 2017 HOME HEALTH PROSPECTIVE PAYMENT SYSTEM RATE UPDATE; HOME HEALTH

VALUE-BASED PURCHASING MODEL; AND HOME HEALTH QUALITY REPORTING

**REQUIREMENTS (SECTION 610 REVIEW)** 

Legal Authority: 42 U.S.C. 1302; 42 U.S.C. 1395hh

Abstract:

This annual proposed rule would update the 60-day national episode rate, the national per-visit rates

used to calculate low utilization payment adjustments (LUPAs), and outlier payments under the Medicare

prospective payment system for home health agencies. The rule would also update the provisions of the

Home Health Value-Based Purchasing (HHVBP) program.

Timetable:

Action FR Cite **Date** 

NPRM 06/00/16

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Hillary Loeffler, Deputy Director, Division of Home Health and Hospice, Department of

Health and Human Services, Centers for Medicare & Medicaid Services, Center for Medicare, MS: C5-

07-22, 7500 Security Boulevard, Baltimore, MD 21244

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**RIN:** 0938-AS80

137. CY 2017 REVISIONS TO PAYMENT POLICIES UNDER THE PHYSICIAN FEE SCHEDULE AND

OTHER REVISIONS TO MEDICARE PART B (CMS-1654-P) (SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1302; 42 U.S.C. 1395hh; Pub. L. 114-10

Abstract:

This annual proposed rule would revise payment polices under the Medicare physician fee schedule, and make other policy changes to payment under Medicare Part B. These changes would apply to services furnished beginning January 1, 2017.

Timetable:

Action FR Cite Date **NPRM** 06/00/16

Regulatory Flexibility Analysis Required: Yes

Agency Contact: Ryan Howe, Director, Division of Practitioner Services, Department of Health and Human Services, Centers for Medicare & Medicaid Services, Center for Medicare, MS: C4-01-15, 7500 Security Boulevard, Baltimore, MD 21244

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**RIN:** 0938-AS81

138. CY 2017 HOSPITAL OUTPATIENT PPS POLICY CHANGES AND PAYMENT RATES AND AMBULATORY SURGICAL CENTER PAYMENT SYSTEM POLICY CHANGES AND PAYMENT RATES (CMS-1656-P) (SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1302; 42 U.S.C. 1395hh

Abstract:

This annual proposed rule would revise the Medicare hospital outpatient prospective payment system to implement statutory requirements and changes arising from our continuing experience with this system.

The rule describes changes to the amounts and factors used to determine payment rates for services. In addition, the rule would change the ambulatory surgical center payment system list of services and rates.

#### Timetable:

Action	Date	FR Cite
NPRM	07/00/16	

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS82

Department of Health and Human Services	Final Rule Stage
(HHS)	
Centers for Medicare & Medicaid Services	
(CMS)	

139. EMERGENCY PREPAREDNESS REQUIREMENTS FOR MEDICARE AND MEDICAID
PARTICIPATING PROVIDERS AND SUPPLIERS (CMS-3178-F) (SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1821; 42 U.S.C. 1861ff (3)(B)(i)(ii); 42 U.S.C. 1913(c)(1) et al

Abstract: This rule finalizes emergency preparedness requirements for Medicare and Medicaid participating providers and suppliers to ensure that they adequately plan for both natural and man-made disasters and coordinate with Federal, State, tribal, regional, and local emergency preparedness systems. This rule ensures providers and suppliers are adequately prepared to meet the needs of patients, residents, clients, and participants during disasters and emergency situations.

#### Timetable:

Action	Date	FR Cite
NPRM	12/27/13	78 FR 79082
NPRM Comment Period	02/21/14	79 FR 9872
Extended		
NPRM Comment Period End	03/31/14	
Final Action	12/00/16	

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AO91

140. REFORM OF REQUIREMENTS FOR LONG-TERM CARE FACILITIES (CMS-3260-F) (RULEMAKING RESULTING FROM A SECTION 610 REVIEW)

**Legal Authority:** Pub. L. 111–148, sec 6102; 42 U.S.C. 263a; 42 U.S.C. 1302; 42 U.S.C. 1395hh; 42 U.S.C. 1395rr

Abstract: This final rule revises the requirements that long-term care facilities must meet to participate in the Medicare and Medicaid programs. These changes are necessary to reflect the substantial advances that have been made over the past several years in the theory and practice of service delivery and safety. The rule is also an integral part of CMS efforts to achieve broad-based improvements both in the quality of health care furnished through federal programs, and in patient safety, while at the same time reducing procedural burdens on providers.

#### Timetable:

Action	Date	FR Cite
NPRM	07/16/15	80 FR 42167
NPRM Comment Period	09/15/15	80 FR 55284
Extension		
NPRM Comment Period End	09/14/15	
NPRM Comment Period	10/14/15	
Extended End		
Final Action	09/00/16	

Regulatory Flexibility Analysis Required: Yes

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RIN: 0938-AR61

Department of Health and Human Services	Long-Term Actions
(HHS)	
Centers for Medicare & Medicaid Services	
(CMS)	
(Cino)	

## 141. CONDITIONS OF PARTICIPATION FOR HOME HEALTH AGENCIES (CMS-3819-F) (RULEMAKING RESULTING FROM A SECTION 610 REVIEW)

**Legal Authority:** 42 U.S.C. 1302; 42 U.S.C. 1395x; 42 U.S.C. 1395cc(a); 42 U.S.C. 1395hh; 42 U.S.C. 1395bb

Abstract: This final rule revises the conditions of participation (CoPs) that home health agencies (HHAs) must meet in order to participate in the Medicare and Medicaid programs. The requirements focus on the care delivered to patients by HHAs, reflect an interdisciplinary view of patient care, allow HHAs greater flexibility in meeting quality care standards, and eliminate unnecessary procedural requirements. These changes are an integral part of our overall effort to achieve broad-based, measurable improvements in the quality of care furnished through the Medicare and Medicaid programs, while at the same time eliminating unnecessary procedural burdens on providers.

#### Timetable:

Action	Date	FR Cite
NPRM	03/10/97	62 FR 11005

NPRM Comment Period End	06/09/97	
Second NPRM	10/09/14	79 FR 61163
NPRM Comment Period	12/01/14	79 FR 71081
Extended		
NPRM Comment Period End	12/08/14	
NPRM Comment Period	01/07/15	
Extended End		
Final Action	10/00/17	

Regulatory Flexibility Analysis Required: No

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**RIN:** 0938-AG81

# 142. MEDICARE CLINICAL DIAGNOSTIC LABORATORY TEST PAYMENT SYSTEM (CMS-1621-F) (SECTION 610 REVIEW)

Legal Authority: Pub. L. 113-93, sec 216

**Abstract:** This final rule revises the Medicare payment system for clinical diagnostic laboratory tests and implements other changes required by section 216 of the Protecting Access to Medicare Act of 2014.

Action	Date	FR Cite
NPRM	10/01/15	80 FR 59385
NPRM Comment Period End	11/25/15	
Final Action	10/00/18	

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS33

### 143. IMAGING ACCREDITATION (CMS-3309-P) (SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1395hh; 42 U.S.C. 1102

**Abstract:** This proposed rule would establish standards for Imaging Accreditation. These proposed standards would address qualifications for clinical personnel, standards to ensure that suppliers have established policies and procedures governing the use of equipment in furnishing the technical component of advanced diagnostic imaging, and the establishment and maintenance of a quality assurance and quality control program to ensure reliability, clarity, and accuracy of the diagnostic images.

#### Timetable:

Action	Date	FR Cite
NPRM	To Be	Determined

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS62

Department of Health and Human Services	Completed Actions
(HHS)	
Centers for Medicare & Medicaid Services	
(CMS)	
(CIVIS)	

144. COVERED OUTPATIENT DRUGS (CMS-2345-FC) (COMPLETION OF A SECTION 610 REVIEW)

Legal Authority: Pub. L. 111-48, sec 2501; Pub. L. 111-48, 2503; Pub. L. 111-48, 3301(d)(2); Pub. L.

111-152, sec 1206; Pub. L. 111-8, sec 221

Abstract: This final rule revises requirements pertaining to Medicaid reimbursement for covered

outpatient drugs to implement provisions of the Affordable Care Act. This rule also revises other

requirements related to covered outpatient drugs, including key aspects of Medicaid coverage, payment,

and the drug rebate program.

Timetable:

Action FR Cite **Date** 

NPRM 02/02/12 77 FR 5318

NPRM Comment Period End 04/02/12

02/01/16 81 FR 5170 Final Action

Final Action Effective 04/01/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AQ41

145. CY 2016 REVISIONS TO PAYMENT POLICIES UNDER THE PHYSICIAN FEE SCHEDULE AND

OTHER REVISIONS TO MEDICARE PART B (CMS-1631-FC) (COMPLETION OF A SECTION 610

**REVIEW)** 

Legal Authority: 42 U.S.C. 405(a), 1302, 1395x, 1395y(a), 1395ff, 1395kk, 1395rr and 1395ww(k); 42

U.S.C. 263a; 42 U.S.C. 1395m, 1395hh, and 1395ddd; 42 U.S.C. 1395w-101 through 1395w-152, and

1395nn; ...

Abstract: This annual final rule revises payment polices under the Medicare physician fee schedule, and

makes other policy changes to payment under Medicare Part B. These changes apply to services

furnished beginning January 1, 2016.

Timetable:

Action Date **FR Cite** 

**NPRM** 07/15/15 80 FR 41686

NPRM Comment Period End 09/08/15

80 FR 70886 Final Action 11/16/15

Final Action Effective 01/01/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS40

146. CY 2016 HOSPITAL OUTPATIENT PPS POLICY CHANGES AND PAYMENT RATES AND

AMBULATORY SURGICAL CENTER PAYMENT SYSTEM POLICY CHANGES AND PAYMENT

RATES (CMS-1633-FC) (COMPLETION OF A SECTION 610 REVIEW)

Legal Authority: 42 U.S.C. 1302, 1395m, 1395hh, and 1395ddd

Abstract: This annual final rule revises the Medicare hospital outpatient prospective payment system to

implement statutory requirements and changes arising from our continuing experience with this system.

The rule describes changes to the amounts and factors used to determine payment rates for services. In

addition, the rule changes the ambulatory surgical center payment system list of services and rates.

Timetable:

Action FR Cite Date

**NPRM** 80 FR 39200 07/08/15

NPRM Comment Period End 08/31/15

Final Action 11/13/15 80 FR 70298

Final Action Effective 01/01/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS42

147. COMPREHENSIVE CARE FOR JOINT REPLACEMENT (CMS-5516-F) (COMPLETION OF A

**SECTION 610 REVIEW)** 

Legal Authority: Social Security Act, sec 1115A

Abstract: This final rule implements a new Medicare Part A and B payment model under section 1115A

of the Social Security Act, called the Comprehensive Care Joint Replacement Model, in which acute care

hospitals in certain selected geographic areas receive retrospective bundled payments for episodes of

care for lower extremity joint replacement or reattachment of a lower extremity. All related care within 90

days of hospital discharge from the joint replacement procedures would be included in the episode of

care. We believe this model furthers our goals in improving the efficiency and quality of care for Medicare

beneficiaries for these common medical procedures.

Timetable:

Action **FR Cite Date** 

**NPRM** 07/14/15 80 FR 41198

NPRM Comment Period End 09/08/15

Final Action 11/24/15 80 FR 73273

Final Action Effective 01/15/16

Regulatory Flexibility Analysis Required: Yes

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**RIN:** 0938-AS64

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