

DEPARTMENT OF TRANSPORTATION

4910-22-P

Federal Highway Administration

[Docket No. FHWA-2014-0037]

Agency Information Collection Activities: Request for Comments for New

**Information Collection** 

**AGENCY:** Federal Highway Administration (FHWA), DOT.

**ACTION:** Notice and request for comments.

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SUMMARY: The FHWA has forwarded the information collection request described in this notice to the Office of Management and Budget (OMB) for approval of a new information collection. We published a Federal Register Notice with a 60-day public comment period on this information collection on September 3, 2014. We are required to publish this notice in the Federal Register by the Paperwork Reduction Act of 1995.

DATES: Please submit comments by [INSERT DATE 30 DAYS FROM PUBLISHED DATE].

ADDRESSES: You may send comments within 30 days to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW,

Washington, DC 20503, Attention DOT Desk Officer. You are asked to comment on any aspect of this information collection, including: (1) Whether the proposed collection is necessary for the FHWA's performance; (2) the accuracy of the estimated burden; (3) ways for the FHWA to enhance the quality, usefulness, and clarity of the collected information; and (4) ways that the burden could be minimized, including the use of electronic technology, without reducing the quality of the collected information. All comments should include the Docket number FHWA-2014-0037.

**FOR FURTHER INFORMATION CONTACT:** Jim Sinnette, Office of Innovative Program Delivery, 202-366-1561, james.sinnette@dot.gov, Federal Highway

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Administration, Department of Transportation, 1200 New Jersey Avenue, SE., Washington, DC 20590. Office hours are from 8 a.m. to 4:30 p.m., Monday through Friday, except Federal

## **SUPPLEMENTARY INFORMATION:**

Title: FHWA Major Project Financial Plans and Project Management Plans

OMB Control #: 2125-XXXX.

Background: Major projects are defined in section 106(h) of title 23, United States Code (U.S.C.), as projects receiving Federal financial assistance with an estimated total cost of \$500,000,000, or other projects as may be identified by the Secretary. Major projects are typically large, complex projects designed to address major highway needs and require the investment of significant financial resources. Project sponsors of major projects are required to submit a project management plan and an annual financial plan to FHWA.

The preparation of the project management plan, as required by 23 U.S.C. 106(h)(2), ensures that clearly defined roles, responsibilities, procedures and processes are in effect to provide timely information to the project decision makers to effectively manage the scope, costs, schedules, quality of, and the Federal requirements applicable to, the project. The project management plan serves as a guide for implementing the major project and documents assumptions and decisions regarding communication, management processes, execution and overall project control.

The preparation of the annual financial plan, as required by 23 U.S.C. 106(h)(3), ensures that the necessary financial resources are identified, available, and monitored throughout the life of the project. An annual financial plan is a comprehensive document that reflects the project's scope, schedule, cost estimate, and funding structure to provide reasonable assurance that there will be sufficient funding available to implement and complete the entire project, or a fundable phase of the project, as planned.

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Section 106(i) of title 23 requires project sponsors of other projects with an estimated total cost of

\$100,000,000 or more that is not covered by subsection (h) to prepare annual financial plans that

are to be made available to the Secretary for review upon the request of the Secretary.

Respondents: Approximately 200 project sponsors per year.

Estimated Average Burden per Response: Approximately 40 hours for the initial submittal of

each plan and 20 hours for each update.

Estimated Total Annual Burden: Approximately 40 initial plans and 160 plan updates are

submitted each year. For a total of approximately, 4,800 hours each year.

Electronic Access: For access to the docket to read background documents or comments

received, go to <a href="http://www.regulations.gov">http://www.regulations.gov</a>. Follow the online instructions for accessing the

dockets.

**Authority:** The Paperwork Reduction Act of 1995; 44 U.S.C. Chapter 35, as amended;

and 49 CFR 1.48.

Issued on: November 17, 2014.

Michael Howell,

Information Collections Officer.

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