



COMMODITY FUTURES TRADING COMMISSION

Agency Information Collection Activities; Notice of Intent to Renew Collection:, Market Surveys

Agency: Commodity Futures Trading Commission

Action: Notice

SUMMARY: The Commodity Futures Trading Commission (CFTC) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act of 1995 (PRA), 44 U.S.C. 3501 *et seq.*, Federal agencies are required to publish notice in the Federal Register concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment in response to the notice. This notice solicits comments on requirements relating to information collected to assist the Commission in the prevention of market manipulation.

DATES: Comments must be submitted on or before [insert date 60 days after publication].

ADDRESSES: Send comments regarding the burden estimated or any other aspect of the information collection, including suggestions for reducing the burden, to the addresses below. Please refer to OMB Control No. 3038-0017 in any correspondence.

Comments may be mailed to Gary J. Martinaitis, Division of Market Oversight, U.S.

Commodity Futures Trading Commission, 1155 21st Street, NW, Washington, DC 20581,

and

Office of Information and Regulatory Affairs, Office of Management and Budget,

Attention: Desk Officer for CFTC, 725 17th Street, NW, Washington, DC 20503.

Comments may also be submitted by any of the following methods:

The agency's website, at <http://comments.cftc.gov>. Follow the instructions for submitting comments through the website.

Mail: Melissa D. Jurgens, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, NW, Washington, DC 20581.

Hand Delivery/Courier: Same as mail above.

Federal eRulemaking Portal: <http://www.regulations.gov>. Follow the instructions for submitting comments.

Please submit your comments using only one method and identify that it is for the renewal of 3038-0017.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to www.cftc.gov. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.¹

FOR FURTHER INFORMATION CONTACT: Gary J. Martinaitis, (202) 418-5209;

FAX: (202) 418-5527; email: gmartinaitis@cftc.gov.

¹ See 17 CFR 145.9.

SUPPLEMENTARY INFORMATION: Under the PRA, Federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or sponsor. “Collection of information” is defined in 44 USC 3502(3) and 5 CFR 1320.3(c) and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 USC 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the Federal Register concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, the CFTC is publishing notice of the proposed collection of information listed below.

With respect to the following collection of information, the CFTC invites comments on:

- Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have a practical use;
- The accuracy of the Commission’s estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Ways to enhance the quality, usefulness, and clarity of the information to be collected; and
- Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic,

mechanical, or other technological collection techniques or other forms of information technology; *e.g.*, permitting electronic submission of responses.

Market Surveys, OMB control number 3038-0017—Extension

Under Commission Regulation 21.02, upon call by the Commission, information must be furnished related to futures or options positions held or introduced by futures commission merchants, members of contract markets, introducing brokers, and foreign brokers and, in addition, for options positions, by each reporting market. This rule is designed to assist the Commission in prevention of market manipulation and is promulgated pursuant to the Commission’s rulemaking authority contained in section 8a(5) of the Commodity Exchange Act, 7 USC 12a(5) (2010).

The Commission estimates the burden of this collection of information as follows:

Estimated Annual Reporting Burden					
17 CFR Section	Annual Number of Respondents	Frequency of Response	Total Annual Responses	Hours per Response	Total Hours
21.02	400	annually	400	1.75	700

Dated: April 3, 2013.

Melissa D. Jurgens,
Secretary of the Commission