SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination (Month. Day, Year)	Status Covered by Report	New Entrant, Nominee, or Candidate	Termination Termination Date (#Appli- Filer Cable) (Month, Day, Year)	Any individual who is required to file this report and does so more than 30 days
D	Last Name	First Name and M	Aiddle Initial	after the date the report is required to be filed, or, if an extension is granted, more
Reporting Individual's Name	Johnson	Kristina	M.	than 30 days after the last day of the filing extension period, shall be subject
	Title of Position	Department or A	gency (If Applicable)	10 a \$200 fee.
Position for Which Filing	Under Secretary	Department of En	nergy	Reporting Periods Incumbents: The reporting period is
Location of	Address (Number, Street, City, State, and ZIP Code)		Telephone No. (Include Area Code)	the preceding calendar year except Part II of Schedule C and Part I of Schedule D
Present Office (or forwarding address)	1000 Independence Avenue, SW, Washington, DC 20585		202-586-5000	where you must also include the filing year up to the date you file. Part II of
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held None			Schedule I) is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends
	Name of Congressional Committee Considering Nomination	on Do You Intend to	Create a Qualified Diversified Trust?	at the date of termination. Part II of Schedule D is not applicable.
Presidential Nominees Subject to Senate Confirmation	Committee on Energy and Natural Resources	☐ Yes	X No	
	Committee on Energy and Material Mesodices	162		Nominees, New Entrants and Candidates for President and
Certification	Signature of Reporting Individual		Date (Month, Day, Year)	Vice President:
ICERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual		3/13/49	Schedule AThe reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets
OtherReview	Signature of Other Reviewer		Date (Month, Day, Year)	as of any date you choose that is within
(If desired by agency)				31 days of the date of filing. Schedule B-Not applicable.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing	Official	Date (Month, Day, Year)	Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	1 6 3 2		3/24/09	year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
	Signature all		Date (Month, Day, Year)	Schedule C, Part II (Agreements or
Office of Government Ethics Use Only	Met A Curen	_	3/25/09	Arrangements)-Show any agreements or arrangements as of the date of filing.
Comments of Reviewing Officials (If additional space is required, use the reverse side of this	sheet)		Schedule D - The reporting period is the preceding two calendar years and
	(Check box if filing e	xtension granted & ind	licate number of days)	the current calendar year up to the date of filing.
Spirit Sp				Agency Use Only
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	201	-t-t		OGE Use Only
	(Che	CK DOX II COMMENTS AF	e continued on the reverse side)	MAR 2 4 2009

		g Individual's Name Cristina M.												S	CF	HE	D	UI	LE	A													Pa	ige Number	15
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_		Assets and Income			at	Va clo	lu	ati of 1	on	of ort	As	se	ts rio	ď	-				I	nc	o m	e: t	ype o o	an	id a	mo	un is	t. I	f "l ede	Non ed i	e (e	or l	ess k C	than \$201 for that it	.)" is em.
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re proving in with region action acti	port earoduction due except period income ith such or yours mount of an from port the come of	rour spouse, and dependent child chasset held for investment or of income which had a fair makeding \$1,000 at the close of the rell, or which generated more than a during the reporting period, toge income. elf, also report the source and activated income exceeding \$200 (of the U.S. Government). For your spous source but not the amount of earmore than \$1,000 (except report ount of any honoraria over \$20 se).	the irket port- 200 other ctual other ouse, med t the	4	63	4 1	63		\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	-\$50,000	\$50,001 - \$100,000	\$100,0001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	()ther Income (Specify Type & Actual Amount)	i)atc (Mo., Day, Yr.) Only if Honoraria
_		Central Airlines Common	\dashv	\dashv		1	N									Т			x	T	T				x										
E	camples	Doe Jones & Smith, Hometown, State	-1			x			_				T	_					Γ	T	T								T.	- E	-	1,15		Law Partnership Income \$130,000	
		Kempstone Equity Fund	\Box					×								х										х								-	
		IRA: Heartland 500 Index Fund								x						×											x								
1	Not Use	ď																		and the same of th															
2	Mineral	s Technologies			×																-		×												
3		s Technologies vested stock option: at \$46.62, expires 1/24/12	159																		1		×											value not readily ascertainable	
4	Boston	Scientific Common Shares						×															×								1,				
5		Common Shares (web-based resea Longmont, CO	rch		×																		×												
6		Preferred Shares (web-based resear Longmont, CO	irch				×																×												
	* This c	ategory applies only if the asset/in- e filer with the spouse or dependen	come is	solen,	ely ma	that rk th	of the o	he f	iler' hig	s sp her	cate	e or	dep	end of va	ent due,	chile as a	dren	n. If	the	ass	et/ii	ncon	ne is	eith	er t	hat	of th	ne fi	ler	or jo	intl	y he	ld		

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-	Assets and Income		at	Va t cl	alu ose	of	rep	ort	ing	set pe	ts erioc	d					In	ico	me	e: ty	ype o o	ar the	nd a	ntry	y is	ne	f "N ede	don	ie (i	or l	ess k C	than \$20 for that i)1)" is . item.
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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Royalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5.001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Unyos Preferred Shares (Web-based educational services) Columbia, MD				×			7													×												
2	Not Used																																
3	Wachovia Crown Checking Account Durham, NC			×															×			×											
4	Wachovia Certificate of Deposit Durham, NC		×				7												×			×							,				
5	Wells Fargo Certificate of Deposit Boulder, CO		×																×			×						100	~ 45.	529			
6	Wells Fargo Bank Cash Account Boulder, CO		×																×			×											
7	Johns Hopkins Federal Credit Union, Baltlmore, MD			×				-											×		×												
8	Bank of the West Cash Account Longmont, CO		×					4			The state of the s								×		×												
9	Outlot A Coyote Ridge Non-Urban Planned Unit DevLongmont CO (Rental House)									×			1					×	1						×								

	ohnson, Kristina, M.								5	SC						4				1e	đ										Pag	ge Number		_
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		None (or less than \$1,001)	\$1,001 - \$15,000	1 . 1	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date Jo., Day, Yr.) Only if onoraria
1	Rental Property (House) Hillsborough, NC						×											×								×							T	
2	American Century Tax-Free Bond (TWTIX)					×								×							×												1	
3	JPMorgan Intm Tax Free 8d Sel (VSITX)	Γ				×								×										×										
4	PIMCO Total Return Bond D Shares (PTTDX)				×									×							×												1	
5	IShares Corporate Bonds (LQD)	Ī		×										×								×				7							1	
6	Energy Select Sector SPDR Fund (XLE)	T	×											×								×											+	
7	Petroleum & Resources Corporation (PEO)	Γ	×																		×													
8	Utility Sector SPDR Fund (XLU)	Г	×											×							×							1					1	
9	iShares Dow Jones US Technology (IYW)			×										×							×								П					
1	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so	lely	thai	t of	the other	filer r hig	's sp	ous	e or	dep	end of va	ent lue,	chile as a	dren	i. If	the	asse	et/ir	con	ne is	eith	er t	hat	of th	ne fi	ler (or jo	intly	y he	ld	Land State Manager		

Reporting Individual's Name Johnson, Kristina M.									,	SC	H		U se c							ue	d										Pag	ge Number	15
Assets and Income			a	V:	alu	of	rep		ing	se g pe	ts	d					I c	hec	om	e: t	ype o o	e ar	nd a	ntr	y is	ne	f "N	Noned i	e (e	or l	ess k C	than \$20 for that)1)" is item.
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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		oyalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Eaton Vance Global Dividend Income (ETG)		×											×					T		×												
² Eaton Vance Tax-Managed Global Equ	rity (EXG)				×									×					T				×										
³ PowerShares Global Clean Energy (Pl	3D)			×										×					T		×								-				
PowerShares Global Water (PIO)		T		×					7					×							×												
5 TIAA/CREF Lifecycle 2020				×										×	-				T		×												
6 TIAA/CREF Lifecycle 2030/Retirement	Class	Г		×										×					T		×												
7 TIAA Traditional							×							×							×				_								
8 CREF Stock						×								×							×										-		
9 TIAA/CREF Lifecycle 2020 Fund/Retire Class	ement				×									×				_	\vdash		×												
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	Assets and Income		a							se g pe		d					I:	nco	ked	e: t	ype o o	an	d a	mo	un is	t. Ii	("Nedo	Non ed i	e (e	or l	ess k C	than \$20 for that)1)" is item.
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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,000 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,000 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Colorado Education Partners, LLC Longmont, CO (Holding Co. for Unyos Stock)		×																		×												×
2	WE Group, LLC, Durham, NC (Social Network)	×																			×												
3	Coyote Ridge Farm, LLC (working farm) Longmont, CO					×												×								×							
4	JAG, LLCHolding Co. Longmont, CO (Holds Vista Ventures)			×																×							×						
5	KMJ Investments I (Holding Co. for Colorlink stock sold in 2008) Longmont, CO	×																		×		×											
6	KMJ Investments II (Holding Co. for SparkIP stock) Longmont, CO	×																		×	×												
7	Boston Scientific																															60,000 Brd. Fees	
8	AES Corporation																															58,700 Brd. Fees	
9	Nortel Corporation-Board Fees																															\$39,637	
	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so	lely , ma	tha ark t	t of	the	filer r hiş	's sp gher	cate	e or	dep	end of va	lent due,	chil as	drer	a. If	the	asse	et/in	con	ne is	eith	er ti	nat d	of th	e fil	ler c	or jo	intly	y he	ld		

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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Othe Incom (Speci Type Actua Amour	ne fy &	Date (Mo., Day, Yr.) Only if Honoraria
1	Minerals Technologies																															48,700 Brd. Fe		
2	Johns Hopkins University, Baltimore, MD																															\$528,66 Salary	00	
3	Honoraria: Baylor College of Medicine Houston, TX Dates: 3/25-26/08 and 9/18/08																												1			\$750		
4	RealD (3D cinematic graphics corporation) Beverly Hills, CA	×																		×								×						
5	University of Colorado at Boulder Boulder, CO	×																×		×					×									
6	Minerals Technologies Phantom Stock Compensation for Board Membership					×															×													
7	AES Corporation Phantom Stock Compensation for Board Membership						×														×													
8	Nortel Restricted Stock Compensation for Board Membership					×															×													
9	Boston Scientific Restricted Stock Compensation for Board Membership					×															×													
1	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so	lely	tha ark t	t of	the	filer r hiş	's sp gher	cate	e or	dep ies o	end f va	ent lue,	chil as a	dren	n, If	the	ass	et/i	ncon	ne is	eith	er t	hat	of th	ne fi	ler o	er jo	intly	hel	d			

Assets and Income \$1,001 - \$15,000 \$15,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,000 -	000'00	ck C		item.
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less than \$1,001) \$15,000 - \$50,000 - \$100,000 - \$100,000 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000,000 001 - \$25,000 001 - \$25,000 \$15,000 \$15,000 - \$1,000,000 - \$1,000,000 - \$1,000,000 - \$1,000,000	001 - \$5,000,000	000		
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None (or less \$1,001 - \$15, \$1,001 - \$15, \$1,001 - \$15, \$1,0001 - \$1,000,001 - \$1,000,001 - \$1,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$25,000,001 - \$1,000 - \$2,501 - \$1,001 - \$1,001 - \$1,001 - \$1,000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,001 - \$1,0000,0000,0000,0000,0000,0000,0000,0	\$1,000,	Over \$5,000,000	(Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
SparkiP Unsecured Debt Instrument				
TA & Associates Strat. Partners FdBoston MA X .				
Vista Ventures Fund (Private Equity Fund) Boulder, CO-Has holdings in these Companies:				
Market Force (Marketing Company) Boulder, CO X				
MX Logic (Spam Control Software Company) Englewood, CO X				
Newsgator (Computer Software Company) Denver, CO X				
7 Nimsoft (Internet Service Company) X . X . X		7.		
Rally (Computer Software Company) Boulder, CO				
9 SiGe (Integrated Circuit Manufacturer) X X X				

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-	Assets and Income		a							sse g pe		d					I	nc	o m	e: (ypo o o	e ar	nd a	amo	oun y is	nt. I	f "l	Nor	ne (or l	ess k C	than \$20 for that)1)" is item.
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		None (or less than \$1.001)		1	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over. \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day Yr.) Only if Honoraria
3	Vista Ventures Fund Holdings (continued):	T	T	Γ										-																			
2	Stratavia (Database Company) Denver, CO	T	×	T													ŀ				×												
3	Strion Air (Air Purification Manufacturer) Louisville, CO		×																		×							1					
4	Tendril Networks (Residential Energy Management Company) Boulder, CO			×				-													×							-					
5	Thought Equity (Internet Artwork Graphics Company) Denver, CO	T		×																	×												
6	Ventria Bioscience (Human Health Biotechnology Company) Ft. Collins, CO		×																		×												
7	Lefthand Networks (no longer heldsold position in 2008)	×																								×							
8																																	
g																-				-													
	* This category applies only if the asset/incom by the filer with the spouse or dependent ch	e is s Ildre	olely n, m	y tha	at of the	the othe	filer r hi	's sp gher	oous	se or	dep	end of va	ent lue,	chil	ldre:	n. If	the	as	set/i	ncor	ne is	eiti	ner t	hat	of t	he f	iler	or jo	ointl	y he	ld		

SF 278 (Rev. 03/2000)	
5 C.F.R. Part 2634	
III'S Office of Covernment	Ethic

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Johnson, Kristina M.	SCHED	ULI	E B	3								Page	Num	her	f /	15	
				***************************************	,			-				L	10		,	_	\dashv
Part I: Transactions Report any purchase, sale, or exchange	Do not report a transaction involving	None															
by you, your spouse, or dependent children during the reporting period of	property used solely as your personal residence, or a transaction solely between	Tra	nsact ype (;	ion		T			Am	ount	of Tr	ransa	ction	(x)			ᅥ
real property, stocks, bonds, commodity futures, and other securities when the		1	ype (;	x)	Date		1						*****	-	07-	0	Jo
amount of the transaction exceeded \$1, Include transactions that resulted in a le	,000. to indicate sales made pursuant to a	Purchase	d)	Exchange	(Mo., Day, Yr.)	\$1,001 -	- 000,	000,000	0,000	0,000	00,000	Over \$1,000,000*	\$1,000,001	\$5,000,001 -	000,000	\$50,000,000	Certificate of divestiture
ldent	fication of Assets	Pun	Sale	EXC		\$1,0	\$15	\$50	\$10	\$25	\$50	\$1,0	\$1.0	\$5,	\$25	\$200	P Ser
Example Central Airlines Common		х			2/1/99			х									
2																	
3			-			\vdash			7	- 1							
4		1															\Box
5		1				1								1			
For you, your spouse and dependent ch tion, and the value of: (1) gifts (such as food, or entertainment) received from (2) travel-related cash reimbursements than \$260. For conflicts analysis, it is h as personal friend, agency approval un- authority, etc. For travel-related gifts as	stangible items, transportation, lodging, one source totaling more than \$260, and independent received from one source totaling more the delepful to indicate a basis for receipt, such total	S. Gov red fro renden onor's	m re t of t resid	elativ their denc one	; given to yo yes; received r relationshi e. Also, for source, exc	p to	your you; ooses	or p	rovid ggre	or de ded : gatir	pendas pe	dent ersoi ifts t	chil nal h o de	d tot lospi term insti	ally tality ine t	at he ons	
Source (Name and Address)		В	rief l	Descr	iption									-	V	alue	
Examples Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to nation	nal conf	erenc	e 6/1	5/99 (persona	activ	rity u	prelat	ed to	duty))		- Laurent		S	500	
Frank Jones, San Francisco, CA	Leather briefcase (personal friend)														S	300	
1	4																
2						***************************************	***************************************	********			-		-				
3																	
4			***************************************		-												
5						-	-				-			~	-		

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R	eporting Individual's Name											Page	Numb	er		
Jo	ohnson, Kristina M.	St	CHED	ULE (,								11	of	1	3
1	Part I: Liabilities eport liabilities over \$10,000 owed	a mortgage on your personal residence unless it is rented out; loans secured by	None [
	o any one creditor at any time	automobiles, household furniture						(atego	ry of A	moun	t or Va	lue (x)	_	
y	uring the reporting period by you, our spouse, or dependent children. heck the highest amount owed uring the reporting period. Exclude	or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term If	\$15,000 -	\$15,001 -	\$50,001 -	\$250,000	\$500,000	\$500,001 -	Over \$1,000,000*	\$5,000,000-	\$5,000,001 -	\$25,000,001 -	Over \$50,000,000
Γ	Creditors (Name and Address)	Type of Liability	Incurred	Rate	applicable	\$21	55.55	\$5	\$1	\$2	\$5	82	\$5	\$5	\$22	55
E	camples First District Bank, Washington, DC. John Jones, 123 J St., Washington, DC.	Mortgage on rental property, Delaware Promissory note	1991	8% 10%	25 yrs. on demand			х		x						F
1	Wachovia Bank, Ourham NC	Mortgage- Rental Property, Hillsborough, NC	2007	6.75%	15				X							
2	Wachovia Bank, Durham NC Mortgage- Rental Property, Hillsborough, NC 2007 6.75% 15															
3																
4																
5			1													
Γ	*This category applies only if the liability is with the spouse or dependent children, ma	solely that of the filer's spouse or dependent childs rk the other higher categories, as appropriate.	ren. If the li	ability is th	nat of the fil	er or a	joint	liabīli	ty of t	he file	er					
Re	mployee benefit plan (e.g. pension, 40	r Arrangements ts for: (1) continuing participation in an 1k, deferred compensation); (2) continua- (including severance payments); (3) leaves			4) future ens for any								ing th	ie r e p	ort- None	2
-	The sures?	erms of any Agreement or Arrangement	***************************************		T				Partie	96				-	Tr	Date
Б		, will receive lump sum payment of capital account & pa	rtnership sh	are	Doe Jones	& Smit	h, Hon	etown							-	7/85
1	r	ill not make contributions or receive contributions from	n former em	ployers	Johns Hop	kins U	nivers	ity, Du	ike Un	iversit	у				02	2/09
2	Minerals Technologies: Phantom Stock. Be	gan accumulating phantom stock in May 2000 as boa	ırd member		Minerals T	echno	logies					***************************************			5/	00
3	Payout in common shares of Minerals Tech	nologies available upon termination of employment as	board men	nber	-											
4	Boston Scientific: Restricted Stock, Began	accumulating restricted stock in May 2005 as board n	nember. Sto	ock vests	Boston Sc	ientific									5/0	05
5	May 5, 2009 and will be distributed within 60	days of termination of employment as a board member	per											-	1	
6	In accordance with pre-existing contractual	arrangement, will receive lump sum of one year's sala	ary as sever	ance pmt.	Johns Hop	kins U	nivers	ity							3/0	09

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude Creditors (Name and Address) Type of Liability Date Incurred Promissory note Prom	Reporting Individual's Name		SCHEDULE C Page Number 12 of 15								5						
during the reporting period by your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude Craditors (Name and Address) Craditors (Name and Address) Type of Liability Incurred Report your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude **This category applies only if the liability is solely that of the filter's spouse or dependent children. If the liability is that of the filter applicable with the spouse or dependent children, mark the other higher categories, as appropriate. **This category applies only if the liability is solely that of the filter's spouse or dependent children. If the liability is that of the filter applicable with the spouse or dependent children, mark the other higher categories, as appropriate. **This category applies only if the liability is solely that of the filter's spouse or dependent children. If the liability is that of the filter applicable with the spouse or dependent children, mark the other higher categories, as appropriate. **Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., persons, 401x, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves **Catagory applies only if the liability is solely that of the filter's spouse or dependent children. If the liability is that of the filter or a joint liability of t	Report liabilities over \$10,000 owed unless it is rented out; loans secured by																
First District Bank, Washingron, DC John Jones, 129 St., Washingron, DC Jones, 129 St., Washingron,	to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude		or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge				,0001 - 5,000	- 100,	000,000	00,001-	50,001 -	000,000,	er .000,000*	,000,001-	5,000,000	5,000,0001 -	er 5,000,000
Debt Johns, 123] St., Washington, DC Promissory note 1999 10% on demand	Creditors (Name and Addi	ess)	Type of Liability				\$1	\$1.	\$5	\$22	\$2	\$1	\$20	\$5	\$5	\$2	\$50
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the filer or a joint liability of the filer					SERVICE CONTRACT OF	-	-		_ x _		x						
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*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a Joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is solely that of the filer or a Joint liability of the filer *This category applies only if the liability is share of applied to the filer of a Joint liability of the filer *This category applies only if the liability is that of the filer or a Joint liability of the filer *This category applies only if the liability is that of the filer or a Joint liability of the filer *This category applies only if the liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer of a Joint liability is that of the filer *This category applies only in the liability is that of the f	2							-									
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a Joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. Part II: Agreements or arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Parties Date Example Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. AES Corporation: Phantom Stock. Began accumulating phantom stock in April 2004 as board member. Payout in AES Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member Nortel Corporation: Restricted Stock. Began accumulation of employment as a board member. 11/06	3																
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a Joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. Part II: Agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Calculated on service performed through 1/00.	4																
Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Parties Date Doe Jones & Smith, Hometown, State 7/85 AES Corporation: Phantom Stock. Began accumulating phantom stock in April 2004 as board member. Payout in AES Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member Nortel Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member Parties Date AES Corporation: Phantom Stock. Began accumulating restricted stock in November 2006 as a board member Nortel Corporation 11/06	5					and the same of th											
Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Parties Date Doe Jones & Smith, Hometown, State 7/85 AES Corporation: Phantom Stock. Began accumulating phantom stock in April 2004 as board member. Payout in AES Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member Nortel Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member Parties Date AES Corporation: Phantom Stock. Began accumulating restricted stock in November 2006 as a board member Nortel Corporation 11/06	*This category applies only if the	liability is solely	that of the filer's spouse or dependent child	iren. If the l	ability is t	hat of the fi	er or	a joint	liabili	ty of I	he fil	er	-				_
Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement							- Automatic								-		and the same
Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share 1 AES Corporation: Phantom Stock, Began accumulating phantom stock in April 2004 as board member. Payout in 2 shares of AES common stock upon termination of employment as board member. 3 Nortel Corporation: Restricted Stock, Began accumulating restricted stock in November 2006 as a board member. 4 Payout in common shares of Nortel upon termination of employment as a board member. 5 In the partnership share are calculated on service performed through 1/00. 7/85 7/85	Report your agreements or are employee benefit plan (e.g. pe	angements for	: (1) continuing participation in an eferred compensation); (2) continua-											ing th	ne reg		<u>:</u>
calculated on service performed through 1/00. 1 AES Corporation: Phantom Stock, Began accumulating phantom stock in April 2004 as board member. Payout in 2 shares of AES common stock upon termination of employment as board member. 3 Nortel Corporation: Restricted Stock, Began accumulating restricted stock in November 2006 as a board member 4 Payout in common shares of Nortel upon termination of employment as a board member. 5	Status and Terms of any Agreement or Arrangement				Parties							1	Date				
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Payout in common shares of Nortel upon termination of employment as a board member. 5	shares of AES common stock upon termination of employment as board member.																
5	Nortel Corporation: Restricted Stock. Began accumulating restricted stock in November 2006 as a board member			ember	Nortel Corporation						1	1/06					
6	Payout in common shares of Nortel upon termination of employment as a board member.																
	5											-					
	6																

Reporting Individual's Name				Page Number					
Johnson, Kristina M.		SCHEDULE D		of					
Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, arrustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit Organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None									
Organization (Name		Type of Organization	Position Held	From (Mo., Yr.)) To (Mo.,Yr.)				
Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present				
Doe Jones & Smith, Hometown, State		Law firm	l'artner	7/85	1/00				
JAG LLC, Longmont, Colorado		Limited Liability Corporation/Private Investment	Manager	07/2000	Present				
KMJ Investments I		Registered Limited Liability Limited Partnership	Manager	09/1999	Present				
3 KMJ Investments II		Registered Limited Liability Limited Partnership	Manager	10/2007	Present				
Colorado Education Partners, LLC	4 Colorado Education Partners, LLC		Manager	12/2008	Present				
S SparkIP		Private Corporation	Director	07/2006	2/2009				
AES Corporation		Public Corporation	Director	04/2004	Present				
Part II: Compensation in Excess of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other Do not complete this part if you are an incumbent, Termination Filer, or Vice provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None									
Source (Name an	d Address)	Brie	f Description of Dutles						
Examples Doe Jones & Smith, Hometown, State		Legalservices							
Metro University (client of Doe Jones & S	mith), Moneytown, State	Legal services in connection with university constru	action						
Boston Scientific Corporation, Natick, MA		Board Fees							
Nortel Corporation, Toronto, ON, Canada		Board Fees							
AES Corporation, Arlington, VA		Board Fees							
Johns Hopkins University, Baltimore, MD		Salary							
Minerals Technologies, New York, NY		Board Fees							
Duke University, Durham, NC		Salary							

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Reporting Individual's Name Johnson, Kristina M.			CCHEDILLED		Page Number					
		SCHEDULE D		14 01	15					
Repor sated truste	t any positions held during the a or not. Positions include but are e, general partner, proprietor, re	Outside U.S. Gover: applicable reporting period, whether not limited to those of an officer, depresentative, employee, or consults other business enterprise or any no	r compen- irector, social, fraternal, or political ant of nature.	institution. Exclude positions entities and those solely of an	honorary	one				
uni) iii	Organization (Name		Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,Yr.)				
	Nat'l Assn. of Rock Collectors, NY, NY		Non-pmfit education	President	6/92	Present				
Example	Doe Jones & Smith, Hometown, State		Law firm	Pariner	7/85	1/00				
lns	titute for Emerging Issues		Public Policy Institute	Advisory Board Member	05/2006	9/2008				
² Co	yote Ridge Farm, LLC, Longmont GO		Partnership	Manager	01/2004	Present				
3 So	ciety of Photo-Optical Instrumentation I	Engineers (SPIE)	Scientific Professional Organization	Director	09/2006	12/2008				
4 Ce	nter Stage, Baltimore MD		Non-Profit Community Theatre	Trustee	09/2008	Present				
S Bo	ston Scientific		Science and Technology Corporation	Director	05/2005	Present				
6 No	rtel Corporation		Telecommunications Corporation	Director	11/2006	Present				
Part II: Compensation in Excess of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other Do not complete this part if you are incumbent, Termination Filer, or Vincumbent, Termination Filer, or Vincumbe										
	Source (Name a	and Address)	Brief Description of Duties							
Examp	Doe Jones & Smith, Hometown, State		Legal services							
Examp	Metro University (client of Doe Jones &	Smith), Moneytown, State	Legal services in connection with university construction							
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4		CONTRACTOR OF THE CONTRACTOR O								
S		V-V-V-V-V-V-V-V-V-V-V-V-V-V-V-V-V-V-V-				· · · · · · · · · · · · · · · · · · ·				
6		- Company of the Comp								
	- Y.Balana Connect No. 11-2									

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Reporting Individual's Name Johnson, Kristina M.		SCHEDULE D	Page Number 15 of et 15						
Part I: Positions Held Report any positions held during the a sated or not. Positions include but are trustee, general partner, proprietor, re any corporation, firm, partnership, or	pplicable reporting period, whethe not limited to those of an officer, of presentative, employee, or consult	er compen- director, social, fraternal, or politica tant of nature.	l institution, Exclude position l entitics and those solely of an	honorary	one				
Organization (Name	and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,Yr.)				
Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present				
Examples Doe Jones & Smith. Hometown, State		Law firm	Parmer	7/85	1/00				
Minerals Technologies		Manufacturing Corporation	Director	05/2000	Present				
Johns Hopkins University		Non-profit educational institution	Provost and Professor	09/2007	Present				
Duke University		Non-profit educational institution	Dean and Professor	07/1999	09/2007				
4 UNYOS		Web-based Educational Service Company	Board Member	12/2008	Present				
5	-								
6									
Part II: Compensation Report sources of more than \$5,000 co business affiliation for services provide the reporting period. This includes the corporation, firm, partnership, or other	ompensation received by you or you ed directly by you during any one names of clients and customers o	year of you directly provided the of any services generating a fee or	payment of more than \$5,000	tion Filer, o idential Can . You	r Vice				
Source (Name an	nd Address)	Br	ief Description of Duties						
Examples Doe Junes & Smith, Hometown, State Metro University (client of Doe Jones & S	mith), Moneytown, State	Legal services Legal services in connection with university construction							
1									
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