

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status (Check appropriate boxes) Incumbent <input type="checkbox"/> New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/>	Calendar Year Covered by Report	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
Reporting Individual's Name Last Name Brainard First Name and Middle Initial Lael				<b>Reporting Periods</b> <b>Incumbents:</b> The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable. <b>Termination Filers:</b> The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable. <b>Nominees, New Entrants and Candidates for President and Vice President:</b> <b>Schedule A—</b> The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. <b>Schedule B—</b> Not applicable. <b>Schedule C, Part I (Liabilities)—</b> The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. <b>Schedule C, Part II (Agreements or Arrangements)—</b> Show any agreements or arrangements as of the date of filing. <b>Schedule D—</b> The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
Position for Which Filing Title of Position Under Secretary for International Affairs Department or Agency (If Applicable) Department of the Treasury				
Location of Present Office (or forwarding address) Address (Number, Street, City, State, and ZIP Code) 1775 Massachusetts Avenue, Washington DC 20036 Telephone No. (Include Area Code) 202-797-6021				
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) Title of Position(s) and Date(s) Held none				
Presidential Nominees Subject to Senate Confirmation Name of Congressional Committee Considering Nomination Senate Finance Committee Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<b>Certification</b> I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual		Date (Month, Day, Year)	
			3/19/2009	
<b>Other Review</b> (If desired by agency)	Signature of Other Reviewer		Date (Month, Day, Year)	
			3/25/09	
<b>Agency Ethics Official's Opinion</b> On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in box below).	Signature of Designated Agency Ethics Official/Reviewing Official		Date (Month, Day, Year)	
			3/26/09	
<b>Office of Government Ethics Use Only</b>	Signature		Date (Month, Day, Year)	
			3/27/09	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)				
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>				
(Check box if comments are continued on the reverse side) <input type="checkbox"/>				
				<b>Agency Use Only</b>
				<b>OGE Use Only</b>
				MAR 26 2009

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Reporting Individual's Name

Brainard, Lael

# SCHEDULE A continued

(Use only if needed)

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																								
BLOCK A		BLOCK B										BLOCK C																								
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount								Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria									
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000					
1	(S) The O'Gara Group vested stock options: 150 shares at \$0.866, expire 1/2014	Value not readily ascertainable																					X													
2	3,000 shares at \$4.04, expire 9/2015 3,000 shares at \$3.33, expire 12/2016 3,000 shares at \$3.33, expire 4/2017																																			
3	IRA - Fidelity Investments Fidelity Capital & Income Fund		X											X								X														
4	IRA - Fidelity Investments Fidelity Asset Manager 50% Fund		X											X								X														
5	IRA - Fidelity Investments Fidelity Puritan Fund		X											X								X														
6	IRA - Fidelity Investments Mutual Beacon Fund			X										X								X														
7	Nat'l Bureau of Economic Research 401a Vanguard 500 Index Fund		X											X								X														
8	Nat'l Bureau of Economic Research 401a Vanguard International Value Fund		X											X								X														
9	MIT Basic Retirement Plan (defined benefit plan)			X																																Monthly payment approx. \$1,600 after 2/2027

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None

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Brainard, Lael

**SCHEDULE A continued**

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1	Campbell & Brainard Associates Pension Plan - assets are listed below:																																				
2	- Boeing Company common		X														X					X															
3	- CIA Vale Do Rio Doce Ad common (RIO)		X														X				X																
4	- Dow Chemical Co common		X														X					X															
5	- Du Pont E/De Nemours common		X														X					X															
6	- Goodrich Corp common		X														X					X															
7	- Lockheed Martin Corp common			X													X					X															
8	- Northrop Grumman Corp common			X													X					X															
9																																					

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1	Campbell & Brainard Associates Pension Plan (continued):																																				
2	- Sasol Ltd Spons ADR (SSL)		X														X					X															
3	- CMA Money Fund (money market fund)		X										X								X																
4	- Petroleo Bras SA ADR (PBR)		X														X				X																
5	- American Funds: Growth Fund of America CL B (AGRBX)			X									X								X																
6	- American Funds: Growth Fund of America CL C (GFACX)					X							X								X																
7	- BlackRock Value Opportunities (MBSPX)		X										X								X																
8	- Davis NY Venture					X							X									X															
9	- Lord Abbett Mid-Cap Value (LAVLX)					X							X									X															

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BLOCK A		BLOCK B										BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	(S) IRA: Fidelity Fund (FFIDX)	X												X								X											
2	(S) 403b plans with Center for Strategic and International Studies and Harvard University																																
3	Assets listed below: - TIAA Traditional Annuity					X												X					X										
4	- CREF Stock				X									X							X												
5	- TIAA Real Estate			X										X							X												
6	- CREF Bond Market			X										X							X												
7	- CREF Money Market Fund	X												X							X												
8																																	
9																																	

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**SCHEDULE A continued**

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Assets and Income BLOCK A		Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$3,000,000	\$3,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	(S) Brookings Institution																														Honorarium \$2,500	6/17/08 9/22/08	
2	(S) Duke University																														Honorarium \$1,500	11/7/08	
3	(S) Goldman Sachs																														Honorarium \$10,000	6/19/08	
4	(S) Lockheed Martin																														Honorarium \$25,000	7/30/08	
5																																	
6	(S) Book royalties "Nuclear Tipping Point" Brookings Institution Press																X					X											
7																																	
8																																	
9																																	

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Reporting Individual's Name

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# SCHEDULE A continued

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
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1	Brookings Institution 403(b) - assets listed below:																																
2	- TIAA Traditional Annuity			X														X				X											
3	- CREF Stock			X									X								X												
4	- CREF Growth		X										X								X												
5	- CREF Equity Index			X									X								X												
6	- CREF Global Equities		X										X								X												
7	- TIAA Real Estate		X										X								X												
8	- CREF Bond Market		X										X								X												
9	- CREF Money Market Fund				X								X									X											

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Brainard, Lael

**SCHEDULE A continued**

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# SCHEDULE A continued

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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B											BLOCK C																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$3,000,000	\$3,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria						
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000				
1	- Federal National Mortgage Association P899509 bond		X																X			X													
2	- U.S. Treasury Bond		X																			X													
3	- Kellogg Co. bond		X																			X													
4	- Duke Energy Corp. bond		X																			X													
5	- Caterpillar Financial Services bond		X																			X													
6	- John Deere Capital Corp. bond		X																			X													
7	- IStar Financial Inc. bond (sold)		X																			X													
8	- Wells Fargo Co. bond		X																			X													
9																																			

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**SCHEDULE A continued**

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**SCHEDULE A continued**

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Brainard, Lael

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	None <input type="checkbox"/>															Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1			X																	X													
2				X																X													
3			X																	X													
4			X																	X													
5			X																	X													
6				X																X													
7				X																X													
8			X																	X													
9			X																	X													

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1	- Brookfield Properties Corp. common		X													X														
2	- CAL Dive International Inc. common	X														X														
3	- Calpine Corp common	X														X														
4	- Camden Property Trust common		X													X														
5	- Canadian Natural Resources Ltd common		X													X														
6	- Canon Inc. common	X														X														
7	- Cardinal Health Inc. common		X													X														
8	- Caterpillar Inc. common		X													X														
9	Chesapeake Energy Corp. common		X													X														

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Assets and Income  BLOCK A		Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C										Date (Mo., Day, Yr.)  Only if Honoraria											
												None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount					
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1	- Delta Petroleum Corp common	X																			X												
2	- Developers Diversified Realty Corp common	X																			X												
3	- Devon Energy Corp common		X																		X												
4	- Disney Co. common (sold)	X															X					X											
5	- Dr. Pepper Snapple Group common		X																		X												
6	- Dresser Rand Group Inc. common	X																			X												
7	- Duke Energy Corp common		X																		X												
8	- Dupont Fabros Technology common (sold)	X																			X												
9	- Eaton Corp. common	X																			X												

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# SCHEDULE A continued

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Assets and Income BLOCK A		Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C										Other Income (Specify Type & Actual Amount)	Date (Mo. Day Yr.)  Only if Honoraria										
												None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount					
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	- El Paso Corp common (sold)	X																			X												
2	- Emerson Electric Co. common		X																		X												
3	- Encore Acquisition Co. (sold)	X																			X												
4	- Energizer Holdings Inc. common		X																		X												
5	- Eni SPA Sponsored common	X																			X												
6	- Equifax Inc. common	X																			X												
7	- Equity Residential common		X																		X												
8	- Erste Group Bank SP common (sold)	X															X				X												
9	- Exelon Corp common		X																		X												

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Assets and Income BLOCK A		Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo. Day Yr.) Only if Honoraria
1	- Extra Space Storage Inc. common	X																			X												
2	- FactSet Research Systems Inc. common	X																			X												
3	- Federal Realty Investment Trust common		X																		X												
4	- Federated Investors common (sold)	X																			X												
5	- First Potomac Realty common	X																			X												
6	- Fiserv Inc. common	X																			X												
7	- Flextronics International Ltd (sold)	X																			X												
8	- Fluor Corp common		X																		X												
9	- FMC Corp common	X																			X												

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria									
BLOCK A		BLOCK B										BLOCK C																				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)			\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000
1	- HCP Inc. common	X																			X											
2	- Healthspring Inc. common	X																			X											
3	- Henkel AG & Co. common	X																			X											
4	- Host Hotels & Resorts common	X																			X											
5	- HRPT Properties common	X																			X											
6	- HSBC Holding common	X																			X											
7	- ICAP PLC Sponsored common	X																			X											
8	- Infosys Technologies Ltd ADR common	X																			X											
9	- International Business Machines common		X																		X											

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**SCHEDULE A continued**

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# **SCHEDULE A continued**

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B										BLOCK C																						
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																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000			\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	- Kimco Realty Corp. common	X																		X														
2	- Kinetic Concepts Inc. (sold)	X															X				X													
3	- Kite Realty Group common	X																		X														
4	- L1 Identity Solutions common	X																		X														
5	- Liberty Property Trust common		X																	X														
6	- Lincoln Electric Holdings Inc. common	X																		X														
7	- Lukoil Sponsored common	X																		X														
8	- Macerich Co. common	X																		X														
9	- Macy's Inc. common		X																	X														

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
BLOCK A		BLOCK B										BLOCK C																							
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount								Other Income (Specify Type & Actual Amount)	Date (Mo. Day Yr.)  Only if Honoraria								
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1	- Marathon Oil Corp common (sold)	X																			X														
2	- Marsh & McLennan Cos. Inc. common		X																		X														
3	- Marui Group Col Ltd common	X																			X														
4	- Mattel Inc. common	X																			X														
5	- McDermott International Inc. common	X																			X														
6	- McDonald's Corp. common		X																		X														
7	- McMoran Exploration (sold)	X															X				X														
8	- Medtronic Inc. common	X																			X														
9	- MGM Mirage Inc. common		X																		X														

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# SCHEDULE A continued

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
BLOCK A		BLOCK B										BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	- Mitsubishi UFJ Financial Group common	X																		X													
2	- Monsanto Co. common	X																		X													
3	- Murphy Oil Corp. common		X																	X													
4	- Nalco Holding Co. common	X																		X													
5	- Nasdaq OMX Group Inc. common		X																	X													
6	- Nationwide Health Properties Inc. common		X																	X													
7	- Nestle S A Rep common	X																		X													
8	- New York Community Bancorp common	X																		X													
9	- Newmont Mining Corp common		X																	X													

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Brainard, Lael

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B										BLOCK C																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$3,000,000	\$3,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	- Oilsands Quest Inc. common	X																			X													
2	- Omega Healthcare Investors common		X																		X													
3	- Omnicom Group common	X																			X													
4	- Owens-Illinois Inc. common		X																		X													
5	- Pactiv Corp common	X																			X													
6	- Patterson Companies Inc. common	X																			X													
7	- Pediatrix Medical Group common	X																			X													
8	- Peoples United Financial Inc. common	X																			X													
9	- Petroleo Brasileiro voting common (PBR)		X																		X													

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
BLOCK A		BLOCK B										BLOCK C																							
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount								Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria								
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000				
1	- Reinsurance Group common	X																			X														
2	- Roche Holding Ltd common	X																			X														
3	- Rofin Sinar Tech Inc. common	X																			X														
4	- Ross Stores Inc. common	X																			X														
5	- Royal Dutch Shell (sold)	X															X				X														
6	- Sandridge Energy Inc. common	X																			X														
7	- SAP AG Sponsored common (SAP)		X																		X														
8	- Schein (Henry) Inc. common	X																			X														
9	- Sepracor Inc. common		X																		X														

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# **SCHEDULE A continued**

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B										BLOCK C																						
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																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*			\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	- Siemens AG common	X																		X														
2	- Silgan Holdings common	X																		X														
3	- Silver Wheaton Corp common		X																	X														
4	- Simon Property Group common		X																	X														
5	- Smith & Nephew PLC SP ADR common	X																		X														
6	- Southwest Airlines Co. common	X																		X														
7	- Spectra Energy Corp common		X																	X														
8	- Starwood Hotels common	X																		X														
9	- Stericycle Inc. common		X																	X														

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
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1	- Tokio Marine Holdings common	X																			X														
2	- Total S. A. common	X																			X														
3	- Treehouse Foods Inc. common	X																			X														
4	- Unilever NV reg common		X																		X														
5	- Unum Group common (sold)	X																			X														
6	- Valero Energy Corp. common		X																		X														
7	- Vectren Corp Indiana common (sold)	X																			X														
8	- Vodafone Group PLC common	X																			X														
9	- Vornado Realty Trust common		X																		X														

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
BLOCK A		BLOCK B										BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	- W R Berkley Corp common	X																		X													
2	- Waddell & Reed Financial common	X																		X													
3	- Wal-Mart Stores Inc. common	X																		X													
4	- Washington Post Co. common	X																		X													
5	- Waste Connections Inc. common	X																		X													
6	- WebMD Health Corp. common	X																		X													
7	- Wendy's Arby's Group Inc. common	X																		X													
8	- Westar Energy Inc. common	X																		X													
9	- Westpac Banking ADR common	X																		X													

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Prior Editions Cannot be Used.

Reporting Individual's Name

Brainard, Lael

# SCHEDULE A continued

(Use only if needed)

Page Number

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A		BLOCK B										BLOCK C																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$3,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria	
1	- Wills Gorup Holdings Ltd. common	X																			X													
2	- Worldspace Inc. common	X																			X													
3	- Wynn Resorts Ltd common		X																		X													
4	- XTO Energy Inc. (sold)	X																			X													
5	- Coca-Cola Enterprises corporate bond		X																		X													
6	- General Mills Inc. corporate bond		X																		X													
7	- SBC Communications Inc. corporate bond		X																		X													
8	- American Express corporate bond	X																			X													
9	- Household Finance Corp. corporate bond	X																			X													

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Prior Editions Cannot be Used.



Reporting Individual's Name

Brainard, Lael

# **SCHEDULE A continued**

(Use only if needed)

Page Number

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Assets and Income  BLOCK A		Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	BLOCK C																										
												None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount								Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
																											Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000
1	- iStar Financial Inc. common (sold)	X																			X																			
2	- Harsco Corp common (sold)	X																			X																			
3	- iShares Russell Midcap (sold)	X																			X																			
4	- General Mills Inc. common		X																		X																			
5	- Petroleo Brasileiro voting sponsored ADR	X																			X																			
6	- Syngenta AG ADR	X																			X																			
7	- Intuit Inc. common	X																			X																			
8	- New York Community Bancorp common	X																			X																			
9	- Schlumberger Ltd. common		X																		X																			

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

(Use only if needed)

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

**Prior Editions Cannot be Used.**



Reporting Individual's Name  Brainard, Lael	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number  47 of 51
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Assets and Income  BLOCK A		Valuation of Assets at close of reporting period BLOCK B													Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	BLOCK C																
																Type		Amount												Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria	
None <input type="checkbox"/>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *			\$1,000,001 - \$5,000,000
1	Accounts Receivable (through Campbell & Brainard Associates)	X																			X											
2	(S) Accounts Receivable (through Campbell & Brainard Associates)					X															X											
3	(S) Accounts Receivable (through StratAsia, LLC)				X																X											
4																																
5	(S) Aspen Strategy Group																													Director's Fees		
6	McKesson Corp common	X																			X											
7																																
8																																
9																																

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

**Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate**

Reporting Individual's Name  Brainard, Lael	<b>SCHEDULE B</b>	Page Number  48 of 51
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**Part I: Transactions**

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

Identification of Assets				Purc	Sale	Exch		\$1,000	\$15,000	\$15,000	\$50,000	\$50,000	\$100,000	\$100,000	\$250,000	\$250,000	\$500,000	\$500,000	\$1,000,000	Over \$1,000,000	\$1,000,000	\$5,000,000	\$5,000,000	\$25,000,000	\$25,000,000	Over \$50,000,000	Certification	
Example:	Central Airlines Common			x			2/1/99					x																
2																												
3																												
4																												
5																												

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

**Part II: Gifts, Reimbursements, and Travel Expenses**

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

Source (Name and Address)	Brief Description	Value
Examples: Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$300
1		
2		
3		
4		
5		



Reporting Individual's Name <b>Brainard, Lael</b>	<b>SCHEDULE C</b>	Page Number <b>49 of 51</b>
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### Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

Category of Amount or Value (x)

Creditor's (Name and Address)		Type of Liability	Date Incurred	Interest Rate	Term if applicable	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
1	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs on demand			x		x						
2																
3																
4																
5																

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

### Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

Status and Terms of any Agreement or Arrangement	Parties	Date
1. Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
2. I will continue to participate in the Brookings Institution 403(b) plan, but neither I nor Brookings will make any further contributions to the plan.	Brookings Institution Washington, DC	3/01
3. I will make a final contribution to my pension from Campbell & Brainard Associates, after which I will continue to participate in the plan with no further contributions.	Campbell & Brainard Associates Washington, DC	12/02
4. I will continue to participate in the 403(b) plan with my former employer MIT, but neither I nor MIT will make any further contributions to the plan.	Massachusetts Institute of Technology Cambridge, MA	7/90
5. I will continue to participate in the 401(a) plan with my former employer National Bureau of Economic Research, but neither I nor NBER will make any further contributions to the plan.	National Bureau of Economic Research Cambridge, MA	7/94
6.		

Reporting Individual's Name  Brainard, Lael	<h2 style="margin: 0;">SCHEDULE D</h2>	Page Number  50 of 51
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### Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Organization (Name and Address)		Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Natl. Assn. of Rock Collectors, N.Y., N.Y.	Non-profit/education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Brookings Institution Washington, DC	Non-profit think tank	Vice President	7/05	present
2	Zurich Financial Services Washington, DC	Corporation	International Advisory Council Member	3/07	present
3	Sidwell Friends School Washington, DC	Independent School	Trustee (uncompensated)	9/07	present
4	Wesleyan University Middletown, CN	University	Trustee (uncompensated)	6/03	present
5	Aspen Strategy Group Washington, DC	Non-profit think tank	Member (uncompensated)	7/05	present
6	Mercy Corps Hunger Action Center Portland, OR	Non-profit	Advisory Board Member (uncompensated)	1/08	present

### Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

Source (Name and Address)		Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (Client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Brookings Institution	Services as executive officer
2	Commonfund Forum	Consulting Services - Campbell & Brainard Associates
3	Zurich Financial Services	Consulting Services - Campbell & Brainard Associates
4	Campbell & Brainard Associates	Consulting Services
5	American Wire	Consulting Services - Campbell & Brainard Associates
6		



Reporting Individual's Name  Brainard, Lael	<h2 style="margin: 0;">SCHEDULE D</h2>	Page Number  51 of 51
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### Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Organization (Name and Address)		Type of Organization	Position Held	6	To (Mo., Yr.)
Examples:	Natl Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
	Australian American Leadership Dialogue Washington, DC	Non-profit	Advisory Board Member (uncompensated)	5/07	present
2	Trilateral Commission Washington, DC	Non-profit	Member (uncompensated)	1/09	present
3	American Security Project Washington, DC	Non-profit think tank	Board Member (uncompensated)	1/06	present
4	Global Institute—Brookings Institution Washington, DC	Non-profit affiliate of Brookings Institution	Secretary/Treasurer (uncompensated)	1/06	present
5	Campbell & Brainard Associates Washington, DC	Consulting business	Partner	12/02	present
6	Campbell & Brainard Associates Washington, DC	Pension Plan	Trustee (uncompensated)	12/02	present

### Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

Source (Name and Address)		Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		