<table>
<thead>
<tr>
<th>Date of Transaction</th>
<th>11/14/09</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date of Report</td>
<td>11/14/09</td>
</tr>
</tbody>
</table>

**Office of Government Ethics**

**Agency**

**Official**

**Position**

**State**

**Department**

**Division**

**Incumbent**

**Title of Position**

**Reporting Period**

**Date of Report**

**Date of Transaction**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**

**Incumbent**

**Signature**

**Date**
<table>
<thead>
<tr>
<th>Amount</th>
<th>Type</th>
<th>Valuation of Assets</th>
<th>Reporting Period</th>
<th>Income: Type and Amount</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000,000- $4,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$5,000,000- $9,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$10,000,000- $14,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$15,000,000- $19,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$20,000,000- $24,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$25,000,000- $29,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$30,000,000- $34,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$35,000,000- $39,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$40,000,000- $44,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$45,000,000- $49,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$50,000,000- $54,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$55,000,000- $59,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$60,000,000- $64,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$65,000,000- $69,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$70,000,000- $74,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$75,000,000- $79,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$80,000,000- $84,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$85,000,000- $89,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$90,000,000- $94,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$95,000,000- $99,999,999</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
<tr>
<td>$100,000,000- $100,000,000</td>
<td>Other</td>
<td>$60,000,000</td>
<td>1/1/1995-10/31/1995</td>
<td>$50,000- $74,999</td>
<td>$14,000</td>
</tr>
</tbody>
</table>

**Note:** Income and Other are not required if reporting period is less than 12 months.
<table>
<thead>
<tr>
<th>Amount</th>
<th>Type</th>
<th>BLOCK A</th>
<th>BLOCK B</th>
<th>Valuation of Assets</th>
<th>Liabilities and Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Over $50,000,000</td>
<td>Over $50,000,000</td>
<td>Over $50,000,000</td>
<td>Over $50,000,000</td>
<td>Over $50,000,000</td>
<td>Over $50,000,000</td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td>$50,001 - $100,000</td>
<td>$50,001 - $100,000</td>
<td>$50,001 - $100,000</td>
<td>$50,001 - $100,000</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td>$100,001 - $250,000</td>
<td>$100,001 - $250,000</td>
<td>$100,001 - $250,000</td>
<td>$100,001 - $250,000</td>
<td>$100,001 - $250,000</td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td>$250,001 - $500,000</td>
<td>$250,001 - $500,000</td>
<td>$250,001 - $500,000</td>
<td>$250,001 - $500,000</td>
<td>$250,001 - $500,000</td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td>$500,001 - $1,000,000</td>
<td>$500,001 - $1,000,000</td>
<td>$500,001 - $1,000,000</td>
<td>$500,001 - $1,000,000</td>
<td>$500,001 - $1,000,000</td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$1,000,001 - $5,000,000</td>
</tr>
<tr>
<td>$5,000,001 - $10,000,000</td>
<td>$5,000,001 - $10,000,000</td>
<td>$5,000,001 - $10,000,000</td>
<td>$5,000,001 - $10,000,000</td>
<td>$5,000,001 - $10,000,000</td>
<td>$5,000,001 - $10,000,000</td>
</tr>
<tr>
<td>$10,000,001 - $25,000,000</td>
<td>$10,000,001 - $25,000,000</td>
<td>$10,000,001 - $25,000,000</td>
<td>$10,000,001 - $25,000,000</td>
<td>$10,000,001 - $25,000,000</td>
<td>$10,000,001 - $25,000,000</td>
</tr>
<tr>
<td>$25,000,001 - $50,000,000</td>
<td>$25,000,001 - $50,000,000</td>
<td>$25,000,001 - $50,000,000</td>
<td>$25,000,001 - $50,000,000</td>
<td>$25,000,001 - $50,000,000</td>
<td>$25,000,001 - $50,000,000</td>
</tr>
<tr>
<td>$50,000,001 - $100,000,000</td>
<td>$50,000,001 - $100,000,000</td>
<td>$50,000,001 - $100,000,000</td>
<td>$50,000,001 - $100,000,000</td>
<td>$50,000,001 - $100,000,000</td>
<td>$50,000,001 - $100,000,000</td>
</tr>
<tr>
<td>$100,000,001 - $250,000,000</td>
<td>$100,000,001 - $250,000,000</td>
<td>$100,000,001 - $250,000,000</td>
<td>$100,000,001 - $250,000,000</td>
<td>$100,000,001 - $250,000,000</td>
<td>$100,000,001 - $250,000,000</td>
</tr>
<tr>
<td>$250,000,001 - $500,000,000</td>
<td>$250,000,001 - $500,000,000</td>
<td>$250,000,001 - $500,000,000</td>
<td>$250,000,001 - $500,000,000</td>
<td>$250,000,001 - $500,000,000</td>
<td>$250,000,001 - $500,000,000</td>
</tr>
<tr>
<td>$500,000,001 - $1,000,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
</tr>
<tr>
<td>$1,000,000,001 - $2,500,000,000</td>
<td>$1,000,000,001 - $2,500,000,000</td>
<td>$1,000,000,001 - $2,500,000,000</td>
<td>$1,000,000,001 - $2,500,000,000</td>
<td>$1,000,000,001 - $2,500,000,000</td>
<td>$1,000,000,001 - $2,500,000,000</td>
</tr>
<tr>
<td>$2,500,000,001 and over</td>
<td>$2,500,000,001 and over</td>
<td>$2,500,000,001 and over</td>
<td>$2,500,000,001 and over</td>
<td>$2,500,000,001 and over</td>
<td>$2,500,000,001 and over</td>
</tr>
</tbody>
</table>

Note: If less than $750,000, block C is used instead of block A or B.
<table>
<thead>
<tr>
<th>Amount</th>
<th>Type</th>
<th>Block A</th>
<th>Block B</th>
<th>Assets and Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Over $500,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $250,000,001 - $500,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $100,000,001 - $250,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $50,000,001 - $100,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $10,000,001 - $50,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $2,500,000 - $10,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $500,000 - $2,500,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $25,000 - $500,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $10,000 - $25,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $2,500 - $10,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $500 - $2,500</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $100 - $500</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $20 - $100</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $5 - $20</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $1 - $5</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$100,000 or Less</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Note</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: This is a continued schedule. Please refer to the main document for more information.
### Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

<table>
<thead>
<tr>
<th>Creditors (Name and Address)</th>
<th>Category of Amount or Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date</th>
<th>Interest</th>
<th>Term if applicable</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Creditors (Name and Address)</th>
<th>Date</th>
<th>Interest</th>
<th>Term if applicable</th>
<th>Category of Amount or Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>First District Bank, Washington, DC</td>
<td>1991</td>
<td>8%</td>
<td>25 yrs.</td>
<td>$100,000 -</td>
</tr>
<tr>
<td>John Jones, 123 J St, Washington, DC</td>
<td>1999</td>
<td>10%</td>
<td>on demand</td>
<td>$100,000 -</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Creditors (Name and Address)</th>
<th>Date</th>
<th>Interest</th>
<th>Term if applicable</th>
<th>Category of Amount or Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Citigroup</td>
<td>2007</td>
<td>Variable</td>
<td></td>
<td>x</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Creditors (Name and Address)</th>
<th>Date</th>
<th>Interest</th>
<th>Term if applicable</th>
<th>Category of Amount or Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

### Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

<table>
<thead>
<tr>
<th>Status and Terms of any Agreement or Arrangement</th>
<th>Parties</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example: Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share</td>
<td>Doe Jones &amp; Smith, Hometown, State</td>
<td>7/85</td>
</tr>
<tr>
<td>My Citigroup restricted stock will accelerate upon separation from Citigroup. I am also eligible to receive discretionary compensation for 2008 which I will receive prior to assuming the duties of Deputy Secretary, Department of State. I will retain my 401(k) account and cash balance pension plan with Citigroup.</td>
<td>Citigroup</td>
<td>06/08</td>
</tr>
<tr>
<td>Continued participation in the New York University TIAA-CREF retirement plan; no further contributions by former employer.</td>
<td>New York University</td>
<td>2001</td>
</tr>
</tbody>
</table>

Prior Editions Cannot Be Used.
**Schedule D**

<table>
<thead>
<tr>
<th>Position Held Outside U.S. Government</th>
<th>Non-Portfolio Stocks</th>
<th>Non-Portfolio Investment Real Estate</th>
<th>Non-Portfolio Business</th>
<th>Income from Real Estate</th>
<th>Income from Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note**

- If more than $5,000, you need not report the U.S. Government as a source.
- If you are an employee, do not complete this part.
- If you are a stockholder, director, officer, or employee of any organization other than your own, do not complete this part.

**Part II: Compensation in Excess of $5,000 Paid by One Source**

<table>
<thead>
<tr>
<th>Source</th>
<th>Compensation Paid By</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Schedule**

<table>
<thead>
<tr>
<th>Non-Portfolio Stocks</th>
<th>Non-Portfolio Investment Real Estate</th>
<th>Non-Portfolio Business</th>
<th>Income from Real Estate</th>
<th>Income from Business</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note**

- If more than $5,000, you need not report the U.S. Government as a source.
- If you are an employee, do not complete this part.
- If you are a stockholder, director, officer, or employee of any organization other than your own, do not complete this part.