

# DriveWealth

## Fee Schedule

### Commission Rates

#### DriveWealth Classic

**Whole Shares:** \$0.0125 per share (minimum of \$2.99 per transaction)

**Fractional Shares:** \$0.99 per transaction

**Broker Assisted (Phone):** \$10.00

#### DriveWealth Unlimited

**Monthly:** \$5.99 per month at \$0.01 per share\*

**Quarterly:** \$14.99 every 3 months at \$0.01 per share\*

#### Margin Interest

Current Fed Funds Rate + 400 basis points

### Transfers

**ACAT Out (USA):** \$50.00\*\*

**ACAT Out (Intl.):** \$100.00\*\*

**ACAT Incoming:** FREE\*\*

\*\*ACAT pass-through fee: \$0.10 per position for both inbound and outbound transfers.

**DWAC Transfer:** \$75.00 per position

**DTC Deliveries / Receives:** \$15.00 per position

**DRS Transfer Incoming:** \$50.00

### Miscellaneous

**Returned Checks:** \$20.00

**Check Stop Payments:** \$25.00

**Returned ACH:** \$20.00

**Overnight Check Delivery:** \$20.00

**Returned Wire Transfers:** \$25.00  
(applies to attempted third-party wires)

**Tax Certification (W-8):** \$5.00 per account

**1099 Request for Exempt Accounts:** \$50.00

**Tax Document Request:** \$25.00  
(Fax & Regular Mail)

**Physical Copy of Trade Confirmations:** \$3.00 per confirmation

**Physical Copy of Monthly Account Statements:** \$5.00 per statement

### Withdrawal Fees

**Paper Check | e-Check (USD):** \$1.49

**ACH (Dwolla):** \$1.49

**China Union Pay:** \$25.00

**Outgoing Domestic Wire Transfer:** \$25.00

**Outgoing International Wire Transfer:** \$35.00

#### \*Pass-Through Fees

**SEC Fee (sell side only):** \$0.231 per \$10,000 of sales proceeds

**TAF Fee (sell side only):** \$0.000119 per share (maximum of \$5.95)

**ADR Fee:** The amount varies by ADR. Please see following link for additional details: [ADR FEE](#)

Pass-through fee's are rounded up to the nearest penny