Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

<table>
<thead>
<tr>
<th>Filer's Information</th>
<th>First Name</th>
<th>MI</th>
<th>Position</th>
<th>Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last Name</td>
<td>First Name</td>
<td>MI</td>
<td>Position</td>
<td>Agency</td>
</tr>
<tr>
<td>Buttigieg</td>
<td>Peter</td>
<td>P</td>
<td>Candidate for President of the United States</td>
<td></td>
</tr>
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</table>

Other Federal Government Positions Held During the Preceding 12 Months:

<table>
<thead>
<tr>
<th>Name of Congressional Committee Considering Nomination (Nominees only):</th>
</tr>
</thead>
</table>

Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
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<tbody>
<tr>
<td></td>
<td>14 MAY 2019</td>
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</table>

Agency Ethics Official's Opinion – On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below):

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<thead>
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<th>Signature</th>
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<td>5/15/2019</td>
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Other Review Conducted By:

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U.S. Office of Government Ethics Certification (if required):

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<th>Signature</th>
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Comments of Reviewing Officials:

Reviewed for Apparent Compliance with the Federal Election Campaign Act

Page 2 amended by filer. OGE 15. 6/17/19.
**Part 1: Filer's Positions Held Outside United States Government**

<table>
<thead>
<tr>
<th>#</th>
<th>Organization Name</th>
<th>City/State</th>
<th>Organization Type</th>
<th>Position Held</th>
<th>From</th>
<th>To</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>City of South Bend</td>
<td>South Bend, IN</td>
<td>Municipality</td>
<td>Mayor</td>
<td>January 2012</td>
<td>Present</td>
</tr>
<tr>
<td>2</td>
<td>South Bend Symphony Orchestra</td>
<td>South Bend, IN</td>
<td>Non-profit</td>
<td>Board Member</td>
<td>November 2013</td>
<td>Present</td>
</tr>
<tr>
<td>3</td>
<td>Veterans Community Connections</td>
<td>South Bend, IN</td>
<td>Non-profit</td>
<td>Board Member</td>
<td>May 2018</td>
<td>March 2019</td>
</tr>
<tr>
<td>4</td>
<td>South Bend Educational Foundation</td>
<td>South Bend, IN</td>
<td>Non-profit</td>
<td>Board Member</td>
<td>January 2013</td>
<td>December 2018</td>
</tr>
<tr>
<td>5</td>
<td>Accelerate Indiana's Municipalities (AIM)</td>
<td>Indianapolis, IN</td>
<td>IRC sec. 115 entity comprised of Indiana cities and towns</td>
<td>Board Member</td>
<td>January 2012</td>
<td>Present</td>
</tr>
<tr>
<td>#</td>
<td>Description</td>
<td>EIF</td>
<td>Value</td>
<td>Income Type</td>
<td>Income Amount</td>
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<td></td>
</tr>
<tr>
<td>1</td>
<td>Salary as Mayor of South Bend, IN (January 2018 - May 2019)</td>
<td>N/A</td>
<td></td>
<td>Salary</td>
<td>$149,725</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>McKinsey &amp; Company Defined Contribution Retirement Plan</td>
<td>No</td>
<td></td>
<td></td>
<td>None (or less than $201)</td>
<td></td>
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<tr>
<td>2.1</td>
<td>Special Situations Enhanced-Liquidity USD</td>
<td>Yes</td>
<td>$15,001 - $50,000</td>
<td>None (or less than $201)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.2</td>
<td>Passive U.S. Equities</td>
<td>Yes</td>
<td>$50,001 - $100,000</td>
<td>None (or less than $201)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.3</td>
<td>Passive Non-U.S. Equities</td>
<td>Yes</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Recorded Book, Inc. Audio edition book &quot;Shortest Way Home.&quot; (value not readily ascertainable) (See Attachment 1)</td>
<td>N/A</td>
<td></td>
<td>royalties narration fee</td>
<td>None (or less than $201)</td>
<td>$3000</td>
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### Part 3: Filer's Employment Agreements and Arrangements

<table>
<thead>
<tr>
<th>#</th>
<th>Employer or Party</th>
<th>City/State</th>
<th>Status and Terms</th>
<th>Date</th>
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### Instructions for Part 4

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

<table>
<thead>
<tr>
<th>Filer's Name</th>
<th>Page Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peter Buttigieg (Not Applicable to Candidates)</td>
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#### Part 4: Filer's Sources of Compensation Exceeding $5,000 in a Year

<table>
<thead>
<tr>
<th>#</th>
<th>Source Name</th>
<th>City/State</th>
<th>Brief Description of Duties</th>
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</tbody>
</table>
**Part 5: Spouse's Employment Assets & Income and Retirement Accounts**

<table>
<thead>
<tr>
<th>#</th>
<th>Description</th>
<th>EIF</th>
<th>Value</th>
<th>Income Type</th>
<th>Income Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Montessori Academy, Mishawaka, IN</td>
<td>N/A</td>
<td></td>
<td>Salary</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(resigned January 5, 2019)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>South Bend Civic Theatre</td>
<td>N/A</td>
<td></td>
<td>Part-time hourly fee</td>
<td></td>
</tr>
</tbody>
</table>

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.
Instructions for Part 6

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<table>
<thead>
<tr>
<th>#</th>
<th>Description</th>
<th>EIF</th>
<th>Value</th>
<th>Income Type</th>
<th>Income Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>U.S. credit union account (cash) (joint account)</td>
<td>N/A</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Alphabet Inc Cap Stk Cl A (GOOGL)</td>
<td>N/A</td>
<td>$1,001 - $15,000</td>
<td>Capital Gains</td>
<td>$2,501 - $5,000</td>
</tr>
<tr>
<td>3</td>
<td>Apple Inc (AAPL)</td>
<td>N/A</td>
<td>$15,001 - $50,000</td>
<td>Dividends</td>
<td>$201 - $1,000</td>
</tr>
<tr>
<td>4</td>
<td>USAA Growth Fund (USAA)</td>
<td>Yes</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>USAA Intermediate Term Bond (USIBX)</td>
<td>Yes</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Invesco Exchange Traded Fund S&amp;P 500 Equal Weight (RSP)</td>
<td>Yes</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>I shares Core S&amp;P 500 ETF (IVV)</td>
<td>Yes</td>
<td>$1,001 - $15,000</td>
<td>None (or less than $201)</td>
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<tr>
<td>8</td>
<td>USAA Precious Metals and Minerals (USAGX)</td>
<td>Yes</td>
<td>None (or less than $1,001)</td>
<td>$2,501 - $5,000</td>
<td></td>
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<tr>
<td>9</td>
<td>U.S. brokerage account (cash)</td>
<td>N/A</td>
<td>None (or less than $1,001)</td>
<td>None (or less than $201)</td>
<td></td>
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</table>
# Instructions for Part 7

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<table>
<thead>
<tr>
<th>Filer's Name</th>
<th>Page Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peter Buttigieg (Not Applicable to Candidates)</td>
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## Part 7: Transactions

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<tr>
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<th>Description</th>
<th>Type</th>
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<th>Amount</th>
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</table>
### Part 8: Liabilities

<table>
<thead>
<tr>
<th>#</th>
<th>Creditor Name</th>
<th>Type</th>
<th>Amount</th>
<th>Year Incurred</th>
<th>Rate</th>
<th>Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Stafford loans serviced by American Education</td>
<td>Student Loans</td>
<td>$10,001 - $15,000</td>
<td>2009</td>
<td>6%</td>
<td>228 months</td>
</tr>
<tr>
<td></td>
<td>Services (The loans in lines 1.1 - 1.5 aggregate</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>to more than the reporting threshold, but</td>
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</tr>
<tr>
<td></td>
<td>balances of the individual loans are below the</td>
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<td>reporting threshold.)</td>
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</tr>
<tr>
<td>1.1</td>
<td>Student Loans</td>
<td></td>
<td></td>
<td>2009</td>
<td>6%</td>
<td>228 months</td>
</tr>
<tr>
<td>1.2</td>
<td>Student Loans</td>
<td></td>
<td></td>
<td>2009</td>
<td>6%</td>
<td>154 months</td>
</tr>
<tr>
<td>1.3</td>
<td>Student Loans</td>
<td></td>
<td></td>
<td>2009</td>
<td>6.8%</td>
<td>228 months</td>
</tr>
<tr>
<td>1.4</td>
<td>Student Loans</td>
<td></td>
<td></td>
<td>2009</td>
<td>6.8%</td>
<td>228 months</td>
</tr>
<tr>
<td>1.5</td>
<td>Student Loans</td>
<td></td>
<td></td>
<td>2009</td>
<td>5.6%</td>
<td>152 months</td>
</tr>
<tr>
<td>2</td>
<td>Stafford loans serviced by Great Lakes Higher</td>
<td>Student Loans</td>
<td>$100,001 - $250,000</td>
<td>2010</td>
<td>5.6%</td>
<td>Up to 25 years</td>
</tr>
<tr>
<td></td>
<td>Education Corp. (The loans in lines 2.3-2.15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>under an income</td>
</tr>
<tr>
<td></td>
<td>aggregate to more than the reporting threshold,</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>based repayment</td>
</tr>
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<td></td>
<td>but the balances of the individual loans are</td>
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<td>plan.)</td>
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<td>below the reporting threshold.)</td>
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<tr>
<td>2.1</td>
<td>Student Loans</td>
<td></td>
<td>$15,001 - $50,000</td>
<td>2016</td>
<td>5.31%</td>
<td>Up to 25 years</td>
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<td>under an income</td>
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<td>based repayment</td>
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<td>plan.)</td>
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<tr>
<td>2.2</td>
<td>Student Loans</td>
<td></td>
<td>$15,001 - $50,000</td>
<td>2015</td>
<td>5.84%</td>
<td>Up to 25 years</td>
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<td>plan.)</td>
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<td>2010</td>
<td>5.6%</td>
<td>Up to 25 years</td>
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<td>based repayment</td>
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<td>5.6%</td>
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<td>based repayment</td>
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Part 8: Liabilities

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OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21)

Instructions for Part 8

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.
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</table>
Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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<tbody>
<tr>
<td>Peter Buttigieg (Not Applicable to Candidates)</td>
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### Part 9: Gifts and Travel Reimbursements

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<td>20.</td>
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On June 15, 2017, Mayor Peter Buttigieg agreed to a $75,000 advance against royalties under an agreement between Liveright Publishing Corporation, a wholly owned subsidiary of W. W. Norton & Company, Inc., for a memoir then tentatively entitled *Letters from Flyover Country*, eventually published as *Shortest Way Home*. Mayor Buttigieg received the last advance payment for *Shortest Way Home* in 2019. Royalties for the book are: 10% of the publisher's catalog retail price for the first 5,000 hardcover book sales; 12.5% of the publisher's catalog retail price on the next 5,000 hardcover book sales; 15% of the publisher's catalog retail price on all hardcover book sales thereafter; 7.5% of the publisher's catalog retail price on all paperback book sales, and other standard royalties. *Shortest Way Home* was published on February 12, 2019.

Mayor Buttigieg also has an agreement with Recorded Books, Inc., for an audio version of *Shortest Way Home*. During the reporting period, Mayor Buttigieg received a $3000 narration fee from Recorded Books Inc. No royalties or advance against royalties were received during the reporting period.