



# Crowdfunding IRA Fee Schedule

Effective July 1, 2018

## Crowdfunding IRA for Crowdfunding Portal Investments

To qualify for this fee schedule, the company sponsoring the crowdfunding investment portal must also sponsor and/or issue the underlying investments. For portals that host investments sponsored and/or issued by others, our standard **IRA Fee Schedule** would apply.

Account may hold Equity or Debt Crowdfunding portal accounts which invest in:

- Limited Partnerships
- Limited Liability Companies
- Real Estate
- Convertible or Promissory Notes
- Closely-held Private Stocks
- Other alternative investments permitted by STRATA

|  |                     |
|--|---------------------|
| Account Set-up Fee (Due upon account opening)  |                     |
| If opened using our secure Online Portal   | \$25                |
| If opened using a Paper Application  | \$50                |
| Annual Account Fee (Due at account opening and each year on the anniversary of account opening)                                  | \$100               |
| Paper Statements Mailed (Electronic statements provided at no cost)  | \$30                |
| Late Fee (Charged for every 30 days past due)  | \$15                |
| <b>Annual Asset Holding Fees</b> Charged with Annual Account Fee after first year.   |                     |
| Private Investment Holding (per asset - capped at 4; maximum fee = \$200)  | \$50                |
| <b>Processing Fees</b> Charged at time transaction processed.  |                     |
| Initial Private Investment Purchase or Sale  | \$50                |
| Additional Private Investment Purchase or Sale   | \$25                |
| <b>Service Fees</b> Charged at time service is provided; Service Fees subject to change without notice.                          |                     |
| Overnight (if charged to our account or to another party's account when a pre-filled FedEx or UPS airbill/label is not provided) | \$20 + cost         |
| Stop Payment   | \$25                |
| Return Check   | \$25                |
| Wire - Domestic  | \$25                |
| Wire - International   | \$50                |
| Duplicate Statement/5498/1099-R  | \$15                |
| Distribution/Withdrawal by ACH (if scheduled monthly or quarterly)   | No charge           |
| Distribution/Withdrawal by Check   | \$5                 |
| Distribution/Withdrawal by Wire  | \$25                |
| Federal Withholding / State Withholding  | \$5 each            |
| Cashier's Check  | \$25                |
| Roth Conversion or Recharacterization (per asset)  | \$50/asset          |
| 990-T Processing   | \$50                |
| Late FMV   | \$50                |
| Corrected 5498 or 1099-R   | \$100               |
| Account Reinstatement  | \$100               |
| Note Modification  | \$50                |
| Partial Termination (cash or per in-kind asset)  | \$100               |
| Account Termination  | \$250               |
| Research or Special Services (per hour; 1 hour minimum)  | \$75                |
| Minimum Cash Requirement   | No minimum required |

STRATA reserves the right to charge for other services not shown above.

**Cash Account Information for Un-invested Cash:** Funds received on behalf of an IRA account are automatically deposited into a Custodial NOW account held with Horizon Bank, an affiliate of STRATA Trust Company ("STRATA"), and/or other depository banks selected by STRATA, where they are FDIC-insured up to \$250,000 per depositor, pending further investment direction. Interest rates are subject to change in accordance with market conditions at the sole discretion of STRATA. Please refer to the *Terms for Uninvested Cash* section in your IRA Accountholder Agreement for more information.

**INVESTMENT PRODUCTS: NOT FDIC-INSURED · NO BANK INVESTMENT GUARANTEE · MAY LOSE VALUE**

# Signature Summary Information

The details for how this form package was electronically signed is summarized below.

## Form Package Details

**FormViewUNID:**7uOT8EbLII7QWcYL304AEbOATBfw2t0vUjraU+F7o7Kvj8+0Ng5PvgZ4Q2V+KI7x

**Quik! Forms Engine Version:**5.4.6583.33761

## Included Forms

| Quik! FormID | Form Owner           | Form Name                     | Lit Number | Pages |
|--------------|----------------------|-------------------------------|------------|-------|
| 57326        | Strata Trust Company | Crowdfunding IRA Fee Schedule | 57326      | 1     |

## Signers