

TRIBAL INTERNAL CONTROL STANDARDS (TICS)

OSAGE NATION GAMING COMMISSION

October 26, 2020

Request for ONGC Commissioner Approval to allow the following Change to the Tribal Internal Control Standards (TICS) of the Osage Nation Gaming Commission posting the following to the Osage Nation website as TICS Revision #20-01:

ONGC NOTICE OF PROPOSED TICS REVISION

Comment Period: 30 Days

Osage Nation Gaming Commission Notice of TICS Revision dated September 9, 2020: #20-01

The Osage Nation Gaming Commission is proposing the following TICS revision.

Comments may be made by interested parties for 30 days. Comments need to be mailed to the Osage Nation Gaming Commission at 612 Leahy Avenue, Pawhuska, Ok. 74056 or emailed to ehembree@osagenation-nsn.gov.

Proposed Amendments to the Osage Nation Gaming Regulations: Section 350.17

350.17 Unclaimed and Voided Jackpots.

- (a) Unclaimed jackpot documentation shall be held in the Vault until the Patron provides required information. If the jackpot remains unclaimed after sixty (60) days the information shall be noted on the Unclaimed Jackpot Log and proceeds shall be forfeited toward gross revenue. The unclaimed jackpot funds shall be deposited daily and shown on the daily paperwork.
- (b) A forfeited jackpot must be treated as an unclaimed jackpot.
- (c) A jackpot won by a banned Patron must be void stamped. The voided jackpot ticket must have at least two (2) employee signatures verifying the voided jackpot. The voided jackpot ticket, a screenshot of the banned Patron, and a copy of the banned Patron's identification shall be deposited daily and shown on the daily report. The documentation shall be sent to the OC Revenue Audit Department daily and the banned Patron is not provided any jackpot documentation.
 - i. Security shall be notified at the moment the Patron is determined to be in violation of an imposed ban in accordance with SICS 0180-001 Security Section 11: Bans and Exclusions.
- (d) A jackpot won by an underage Patron must be void stamped. The voided jackpot ticket must have at least two (2) employee signatures verifying the voided jackpot. The voided jackpot ticket and a copy of the underage Patron's identification shall be deposited daily and shown on the daily report. The documentation shall be sent

to the OC Revenue Audit Department daily and the underage Patron is not provided any jackpot documentation.

- i. Security shall be notified at the moment the Patron is determined to be in violation of the Osage Casinos age requirement in accordance with the Osage Casinos House Rules.
- (e) Unclaimed jackpot requests after the sixty (60) day period must have the following prior to payout:
 - (1) Within thirty (30) days requires written approval from the General Manager (which shall include the reason for authorizing the payout exception).
 - (2) More than thirty (30) days requires written approval from Osage Nation Gaming Commission (which shall include the reason for authorizing the payout exception).
- (g) On a monthly basis, the OC Revenue Audit Department shall audit the Unclaimed and Voided Jackpot Logbook by balancing it back to the unclaimed jackpot accounts.

Section 350.17 – Before Proposed Amendment Change

350.17 Unclaimed jackpots.

- (a) Unclaimed jackpot documentation shall be held in the Vault until the Patron provides required information. If the jackpot remains unclaimed after sixty (60) days the information shall be noted on the Unclaimed Jackpot Log and proceeds shall be forfeited toward gross revenue. The unclaimed jackpot funds shall be deposited daily and shown on the daily paperwork.
- (b) A jackpot won by an underage Patron must be treated as an unclaimed jackpot.
- (c) A forfeited jackpot must be treated as an unclaimed jackpot.
- (d) Unclaimed jackpot requests after the sixty (60) day period must have the following prior to payout:
 - (1) Within thirty (30) days requires written approval from the General Manager (which shall include the reason for the exception).
 - (2) More than thirty (30) days requires written approval from Osage Nation Gaming Commission (which shall include the reason for the exception).
- (e) On a monthly basis, the OC Revenue Audit Department shall audit the Unclaimed Jackpot Logbook by balancing it back to the unclaimed jackpot accounts.