

**STATEMENT OF FINANCIAL INTERESTS**Nominee or Member's Name (*Print last, first, middle initial*) Pappalardo, John, WName of Council or Council Committee NEFMCType of Appointment (list Nominee or Member) MEMBERType of Filing:  Annual       Supplemental       Nominee**Instructions**

1. Carefully read the questions for Parts 1-3 on the following pages.
2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
5. After you have completed the form, sign and date the form on the last page.
6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

**Paperwork Reduction Act**

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3<sup>rd</sup> Floor, Silver Spring, Maryland 20910.

**Public Access to Information**

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

**Part 1: Personal Financial Interest**

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**Section 1.1: Assets and Ownership**

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## Question 1.1.1

Do you have any **stock, equity or ownership interest** (whole or partial ownership) in a **company or business** engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

*Check all that apply:*

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.*

## Question 1.1.2

Do you have **stock, equity or ownership interest** (whole or partial ownership) in any **fishing vessel** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

*Check all that apply:*

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.*

Question 1.1.3

Do you have **stock, equity or ownership interest** (whole or partial ownership) in any **other entity** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Harvesting
- Processing
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.*

Question 1.1.4

Do you have **any stock, equity or ownership interest** (whole or partial) in a **company, business, or other entity** that provides any of the following services related to any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Consulting
- Lobbying
- Advocacy
- Legal
- Representational Services

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.*

Question 1.1.5

Do you have have any stock, equity or ownership interest in **any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity** that provides any of the following services for any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Consulting
- Legal
- Representational Services
- Lobbying
- Advocacy
- Marketing
- Harvesting
- Processing
- Marketing
- Provides equipment essential to any of the listed activities
- Provides other services essential to any of the listed activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include company or business name, date the interest began, and fishery or fisheries of interest.*

## Section 1.2: Employment

### Question 1.2.1

Do you have employment with any **company or business** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Harvesting     Provides equipment essential to any of the listed activities  
 Processing     Provides other services essential to any of the listed activities  
 Marketing

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name and fishery or fisheries of interest.*

### Question 1.2.2

Do you have employment with a **fishing vessel** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type and fishery or fisheries of interest.*

## Question 1.2.3

Do you have employment with **any other entity** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

My employer owns fishery permits managed by the NEFMC. List of permits attached.

## Question 1.2.4

Do you have employment with **any company, business, or other entity** that provides any of the following services related to any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Lobbying       Consulting  
 Advocacy       Legal  
 Representational Services

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

Employed since 1996 at the CCCFA. The Alliance advocates on behalf of its members in the following ways: 1) Manage for a healthy and balanced ecosystem, 2) Support existing businesses while building access for the next generation, 3) Undertake affordable and useful experiments and cooperative research, 4) Simplify fishery management. We provide written and oral testimony on new and pending regulatory changes.

## Question 1.2.5

Do you have employment with **any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity** providing any of the following services, or providing equipment or services essential to harvesting, processing, lobbying, advocacy, or marketing activities, in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Consulting       Harvesting  
 Legal       Processing  
 Representational Services       Marketing  
 Provides equipment essential to any of the listed activities  
 Provides other services essential to any of the listed activities

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

### Question 1.2.6

Do you have employment with an **association or organization** whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

No

Yes

*Check all that apply:*

- Harvesting
- Processing
- Lobbying
- Advocacy
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, association name and fishery or fisheries of interest.*

Employed continuously since 1996 with CCCFA.

Members of the Fishermen's Alliance engage in advocacy and harvest of Skate, Multispecies, scallop, Monkfish, small mesh Multispecies and Dogfish.

## Section 1.3: Other Service with an association or organization

### Question 1.3.1

Are you **servicing as an officer, director, or trustee** of any organization or association whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

No

Yes

*Check all that apply:*

- Harvesting
- Processing
- Lobbying
- Advocacy
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include beginning date of service, entity name and fishery or fisheries of interest.*

Since 2010, as CEO of CCCFA. I am a non-voting officer. The Alliance leases quota to industry members, advocates and lobby on behalf of and with its members.

## Part 2: Financial Interest of Spouses, Partners, and Minor Children

If you have no spouse, partner, or minor children, check the box below and proceed to Part 3.

- I have no spouse, partner, or minor children

### Section 2.1: Assets and Ownership

#### Question 2.1.1

Does your spouse, partner, or minor child have any **stock, equity or ownership interest** (whole or partial ownership) in a **company or business** engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Harvesting
- Processing
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.*

#### Question 2.1.2

Does your spouse, partner, or minor child have any **stock, equity or ownership interest** (whole or partial) in any **fishing vessel** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

Check all that apply:

- Harvesting
- Processing
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.*

## Question 2.1.3

Does your spouse, partner, or minor child have any **stock, equity or ownership interest (whole or partial)** in any **other entity** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

*Check all that apply:*

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.*

## Question 2.1.4

Does your spouse, partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a **company, business, or other entity** that provides any of the following services for any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

*Check all that apply:*

- Consulting  
 Legal  
 Representational Services  
 Lobbying  
 Advocacy  
 Marketing

*Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest.*

## Section 2.2 Employment of Spouse, Partner, or Minor Child

## Question 2.2.1

Does your spouse, partner, or minor child have employment with any **company or business** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes

*Check all that apply:*

- Harvesting  
 Processing  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities



*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name and fishery or fisheries of interest.*

**Question 2.2.2**

Does your spouse, partner, or minor child have employment with a **fishing vessel** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Harvesting
- Processing
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type and fishery or fisheries of interest.*

**Question 2.2.3**

Does your spouse, partner, or minor child have employment with **any other entity** engaged in the following activities in any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Harvesting
- Processing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

**Question 2.2.4**

Does your spouse, partner, or minor child have employment with **any company, business, or other entity** that provides any of the following services related to any fishery under the jurisdiction of the Council concerned?

- No
- Yes

Check all that apply:

- Consulting
- Lobbying
- Legal
- Advocacy
- Representational Services

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

## Question 2.2.5

Does your spouse, partner, or minor child have employment with **any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity** providing any of the following services in any fishery under the jurisdiction of the Council concerned?

- No
  Harvesting  
 Yes
  Processing  
*Check all that apply:*
 Lobbying  
 Consulting
  Advocacy  
 Legal
  Marketing  
 Representational Services
  Provides equipment essential to any of the listed activities  
 Provides other services essential to any of the listed activities

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.*

## Question 2.2.6

Does your spouse, partner, or minor child have employment with **an association or organization** whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

- No  
 Yes  
*Check all that apply:*
 Harvesting
  Consulting  
 Processing
  Legal  
 Lobbying
  Representational Services  
 Advocacy  
 Marketing  
 Provides equipment essential to any of the above activities  
 Provides other services essential to any of the above activities

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of employment, association name and fishery or fisheries of interest.*

**Section 2.3: Service with an association or organization by Spouse, Partner, or Minor Child**

**Question 2.3.1**

Does your spouse, partner, or minor child **serve as an officer, director, or trustee** of any organization or association whose members include companies, vessels, or other entities engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

- No
- Yes

*Check all that apply:*

- Harvesting
- Processing
- Lobbying
- Advocacy
- Marketing
- Provides equipment essential to any of the above activities
- Provides other services essential to any of the above activities
- Consulting
- Legal
- Representational Services

*Please list person and relationship and describe each applicable interest, as indicated above. Please include beginning date of service, entity name and fishery or fisheries of interest.*

**Part 3: Information Requested of Other Persons**

In the event any of the required information, including holdings placed in trust, is not known to you but is known to another person, you should request that other person to submit the information on your behalf and should report such request in the space below.

*Please report to whom you have requested information for Part 3. When available, have that person please list and describe each applicable interest. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration. Please include entity name, date the interest began, and fisheries of interest.*

**I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.**

Signature John Pappalardo  
Digitally signed by John Pappalardo  
DN: cn=John Pappalardo, c=us,  
email=john@capecodfishermen.org, c=US  
Date: 2018.02.02 09:50:30 -05'00'

Date (mm/dd/yyyy) 02/02/2018

List of Pemit's Owned by CCCFA

submitted by John Pappalar

11/27/2018

Vessel Name	Permit Type	CPH	Permit	MA Reg
Desire III	Groundfish	CPH	150841	MS5361AU
Carol Ann	Groundfish	CPH	150671	MS4636CC
Marilyn Louise	Groundfish	CPH	151437	MS7990AZ
Sea Holly III	Groundfish	CPH	150877	MS4795AV
Blue Sky	Groundfish	CPH	135589	MS1531AW
Seed	Groundfish	CPH	150187	MS1759BC
Sea Baggins	Groundfish	CPH	221935	945312
Kavanagh/Marauder	Groundfish	CPH	151978	
Fair Wind	Groundfish	CPH	151438	MS4208AZ
Miss Molly	Groundfish	CPH	151436	MS4209AZ
Snafu II	Groundfish	CPH	151429	MS4210AZ
Trouble	Groundfish	CPH	151425	MS4203AZ
Tony K	Groundfish	CPH	151352	MS6667AY
Carole R	Groundfish	CPH	151192	MS4204AX
Sea Winds	Groundfish	CPH	211160	648930
William Gregory	Groundfish	CPH	232043	979710
Headhunter	Groundfish	CPH	223607	1140881
Sturdee	Groundfish	CPH	150706	MS4276AU
Blue Bag	Groundfish	CPH	150853	MS4793AV
Little Rascal	Groundfish	CPH	120962	MS4205AX
Hunteress	Groundfish	CPH	240543	622359
Sam Ryder	Groundfish	CPH	150844	MS9751AU
Monomoy	Groundfish	CPH	150897	MS3522AV
Susie Q	Groundfish	CPH	149988	MS9412AZ
Beacon	Groundfish	CPH	150529	MS3921BB
Boatworks	Groundfish	CPH	151438	MS3919BB
Alicia Ann	Groundfish	CPH	232096	
Paula Jean	Groundfish	CPH	250754	MA583490
Little Sammy III	Groundfish	CPH	151438	MS9540BG
Pamet Skiffs	Groundfish	CPH	151425	MS6273BK
LORI B's	Groundfish	CPH	150426	MS6270BK
Monomoy	Scallop	CPH	150897	MS3522AV
Decisive	Scallop	CPH	230254	591262
Amy A	Scallop	CPH	211859	688791
Joint Venture	Scallop	CPH	150887	MS8038AV
Bearse's II	Scallop	CPH	150616	MS0065AS
Dan Mullins III	Scallop	CPH	150573	MS0065AS
Keller's Pride	Scallop	CPH	410540	553746
Sarah Kelley	Scallop	CPH	150887	MS9945AV
Scooter	Scallop	CPH	150686	MS1224AW