

# How to Submit Corrected Data to Fix QA Errors

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## Introduction

After data submission cycles, the NIMH Data Archive (NDA) performs <u>Quality Assurance and Quality Control (QA/QC) checks</u> across records, ensuring basic information is accurate. If any errors are found in submissions, NDA notifies the Principal Investigator(s) (PI), via email, with a QA errors report containing a summary and detailed QA/QC issues. PIs should correct each error using <u>Detailed QA/QC Issue</u> Resolutions.

The following step-by-step instructions provide guidance on how to submit corrected data to fix identified QA errors.

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# Resubmit using the HTML Validation and Upload Tool

1. Navigate to the NIMH Data Archive (NDA) homepage: <a href="https://nda.nih.gov/">https://nda.nih.gov/</a>

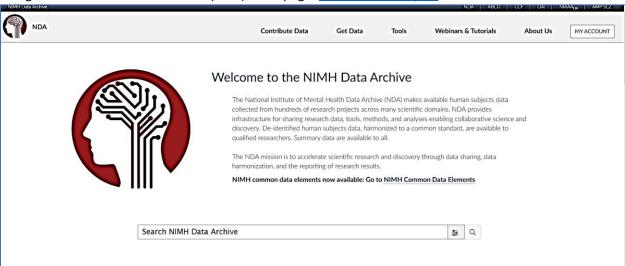


Figure 1: NIMH Data Archive Homepage

2. Navigate to "Tools" > "Validation & Upload Tool"

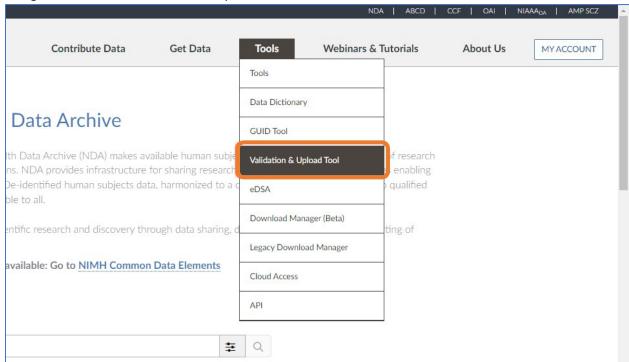


Figure 2: Tools Menu - Validation & Upload Tool Menu Item

### 3. Select "Launch the HTML Validation and Upload Tool"

AWS-RDS database. There are currently three different versions of the tool. Please review them below to determine the rappropriate one for your situation. If in doubt, please use the first option: the HTML version.

HTML Validation and Upload Tool

This version of the tool allows you to use it a webpage, validating the quality of your data and upload it directly through your web browser. Chrome, Firefox, Safari, and recent versions of Internet Explorer are supported

Launch the HTML Validation and Upload Tool

Python Validation and Upload Tool

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The tool is also available as a Python package.

Figure 3: HTML Validation and Upload Tool Link

4. On the HTML Validation and Upload Tool landing page, click the "Fix QA Errors" button.

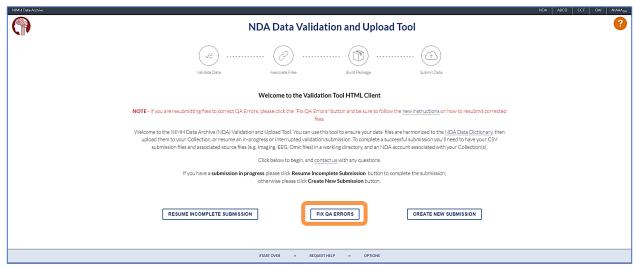


Figure 4: HTML Validation and Upload Tool Landing Page

5. If you are not already signed into NDA, sign into your NDA account using your Research Auth Service (RAS) Identity (NIH, eRA Commons, or Login.gov).

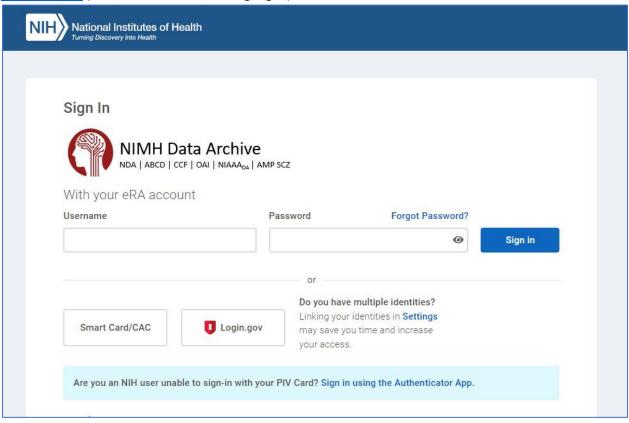


Figure 5: NDA RAS Sign-In Page

6. Once logged in, the table displays all the QA errors found in previous data submissions for all NDA Collections you have access to.

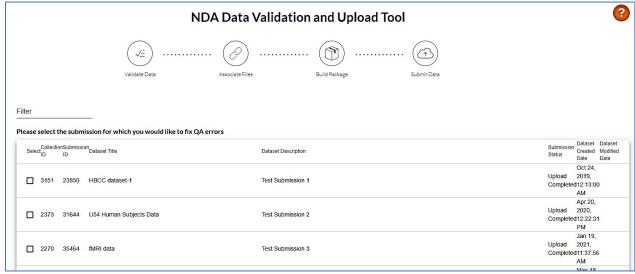


Figure 6: Submissions with QA Errors Table

7. Select one submission you have corrected data for and click "Submit."

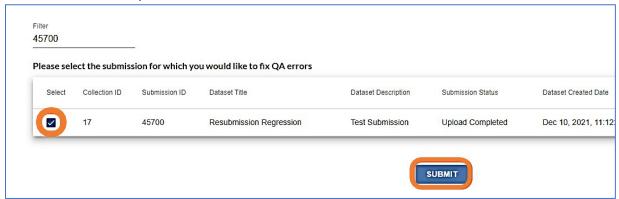


Figure 7: Select Submission & Click Submit Button

a. You can also filter the table listings using the Submission ID in your QA errors report.

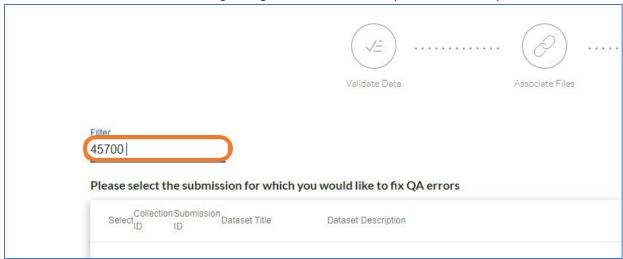


Figure 8: Filter Submission ID Field

- 8. It's time to revalidate your corrected data files.
  - a. Only resubmit data for the **data structures that have identified QA errors** in the selected submission.
    - Example: Your selected submission contains 3 different data structures. Only 1 of the 3 data structures were identified to contain QA errors in your QA errors report. You should only resubmit data for the 1 data structure that contained QA errors.
    - ii. Refer to your QA errors report to confirm the data structures that have QA errors and need resubmitting.
    - iii. New data should never be submitted until all QA Errors have been resolved.
    - iv. Please note: The tool provides all data structures contained in the selected submission.

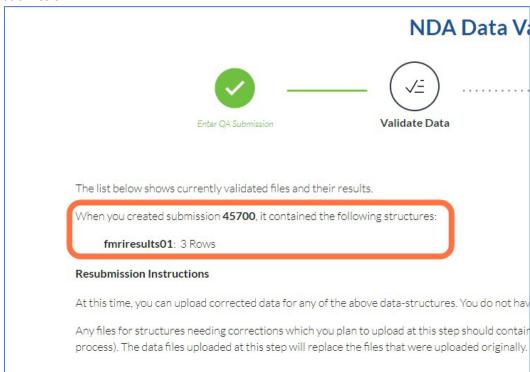


Figure 9: List of All Data Structures in Selected Submission

b. Select "Choose Files" and choose your corrected data file(s). Alternatively, you can drag and drop your files.



Figure 10: Choose Files Link

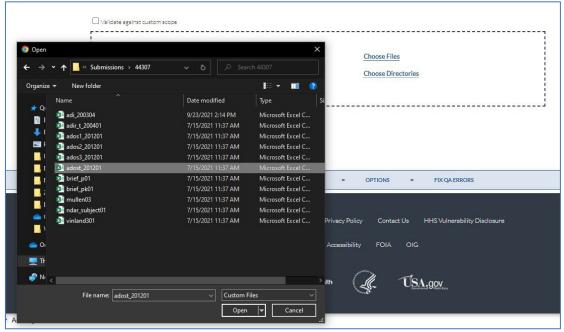


Figure 11: Choosing File Dialog

- 9. When you have selected your file(s) for resubmission,
  - a. Make sure there are zero errors. If any errors are found, you should correct and save your data file locally. Then click "Revalidate" to implement your corrections in the tool.
    - i. Alternatively, you can remove the file from the tool. Correct your data, then reupload your corrected data files.

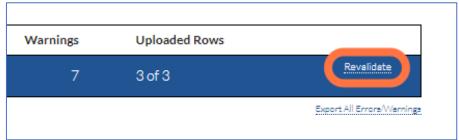


Figure 12: Revalidate Link

b. You can continue with resubmission even if warnings are found.

c. Your corrected data file **must have the exact number of rows** as indicated in the original submission.

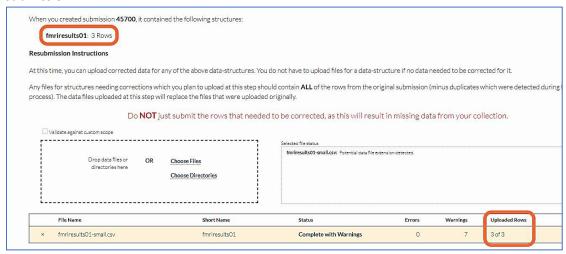


Figure 13: Number of Rows in Data Structure and Uploaded Data File

d. Once you have confirmed this, click "Next: Build Package"

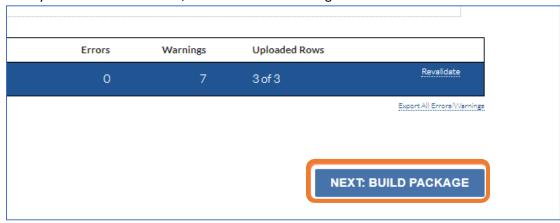


Figure 14: Next: Build Package Button

- 10. The information entered in the original submission will display on the Build Package step.
  - a. Check to ensure the information shown is for the submission you intend to correct.
  - b. Once confirmed, click "Build Package."

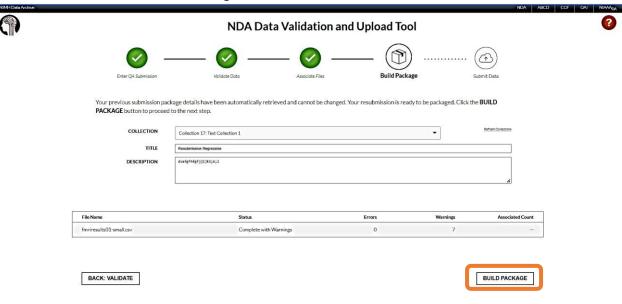


Figure 15: Build Package Step

- 11. The last step in the tool is the Submit Data step. Verify all the information in the Resubmission Summary is correct.
  - a. If any information needs to be changed, click "Edit".



Figure 16: Edit Button

- b. Once the information is correct, confirm your submission does not include any Personally Identifiable Information (PII) by checking the acknowledgment check box.
- c. Click "Submit Data" to submit your corrected data files.

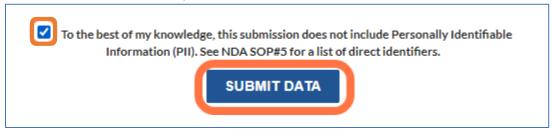


Figure 17: Selecting Acknowledgement Check Box and Submit Data Button

## 12. At this point, your submission will process.

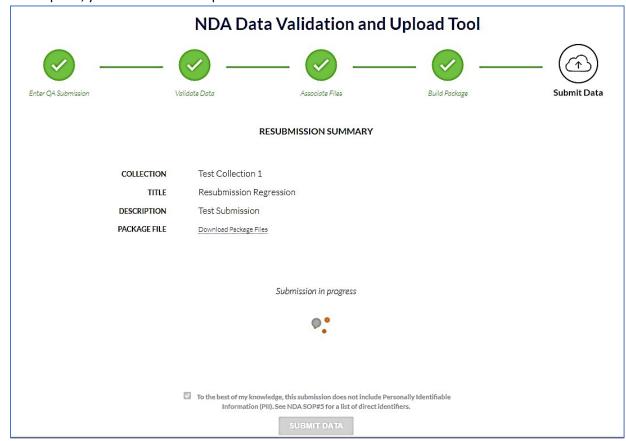


Figure 18: Submission Processing - Submission in Progress Message

- 13. Once your submission is fully processed, you'll receive a confirmation message informing you your submission was successfully submitted.

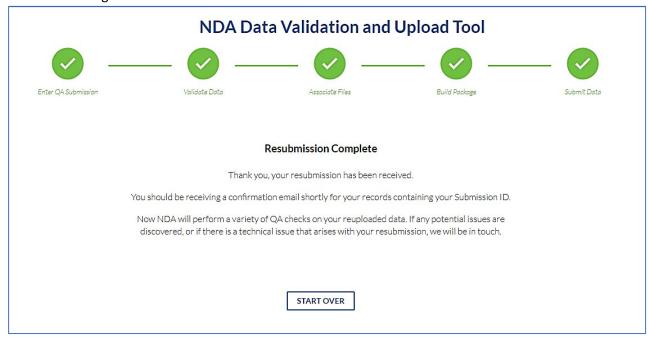


Figure 19: Resubmission Complete Confirmation Message

# Resubmit using the Python NDA-Tools Command Line

**NOTE:** Only resubmit corrected data using this resubmission method if the data was *originally* submitted through the <a href="Python NDA-Tools Command Line">Python NDA-Tools Command Line</a>.

1. Open the terminal and directory previously used to upload their submission <u>NDATools\settings.cfg</u> file.

```
Command Prompt
Microsoft Windows [Version 10.0.19044.1889]
(c) Microsoft Corporation. All rights reserved.
C:\Users\reealkoh>cd .NDATools
C:\Users\reealkoh\.NDATools>dir
Volume in drive C is OSDisk
Volume Serial Number is 1882-ADC1
Directory of C:\Users\reealkoh\.NDATools
08/23/2022 10:40 AM
                       <DIR>
08/23/2022 10:40 AM
                       <DIR>
08/23/2022 10:40 AM
                                 421 logging.yml
08/24/2022 04:33 PM
                                  509 settings.cfg
              2 File(s)
                                  930 bytes
              2 Dir(s) 126,162,411,520 bytes free
C:\Users\reealkoh\.NDATools>
```

Figure 20: Opened Terminal and Location of User's settings.cfg File

2. Review, edit, and confirm your NDA username and password in the NDATools\settings.cfg to confirm you are connected to your NDA account.

Figure 21: Example of a User's settings.cfg File, showcasing Username and Password Locations

a. You **must** use the username found in your NDA profile and your NDA Tools password.

To set or reset your NDA Tools password, click "Reset Password" in your NDA profile.

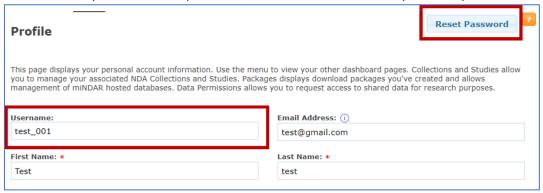


Figure 22: NDA Profile Username Field and Reset Password Button

- b. Do **not** use your RAS identity credentials.
  - i. Your Login.gov, eRA Commons, or NIH credentials cannot be used with NDA-Tools.
- 3. Retrieve the submission ID containing QA errors you are ready to resubmit corrected data from one of the following methods:
  - a. The original submission confirmation message in the Python tool.

```
Uploads complete.
Checking Submission Status.

You have successfully completed uploading files for submission 52812 with status: Submitted_Prototype
```

Figure 23: Example of Submission ID returned by the Python tool

b. The Submissions tab of your NDA Collection.



Figure 24: Submission ID under Submission Tab of NDA Collection

c. Column C in the .csv QA Results Error Report linked in the NDA QA results email notification.

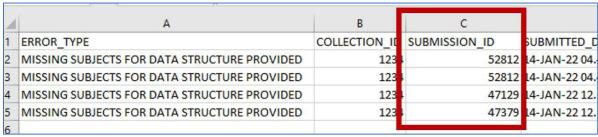


Figure 25: Submission in Column C of QA Results Report

4. Validate your corrected data files by entering the following prompt in your terminal:

```
vtcmd -rs <submissionID> <correctedFiles.cvs> -b
```

- a. Replace "submissionID" with the submission ID & "correctedFiles.cvs" with the full file name and extension.
- b. Only upload files for the data structure that have need corrections.
- c. Do **NOT** resubmit any associated or manifest files.

Figure 26: Example of Files Validating for Resubmission

- 5. Users will only have one chance to resubmit data using this tool. Before resubmitting, make sure:
  - a. All the QA errors are corrected.
  - b. All data structures that need correcting in your submissions are submitted at once.
  - c. All corrected data files have the correct number of rows.

- 6. You will have one last chance to confirm and resubmit with the prompt:
  - a. If your data files need to be corrected at this step due to one of the above, type "N" and press enter. This will cause the script to end.
  - b. If you've confirmed your data files are correct, type "Y" and press Enter.

```
All files have finished validating.

The following files passed validation:

UUID d42ac674-f727-4851-ae4c-8002e5c52377: ndar_subject01.csv

Building Package

Below is a summary of what your submission will look like with the validation files provided:

Short-Name, Number of Rows
ndar_subject01,1
fmriresults01,40

Are you sure you want to continue? (y/N)y
```

Figure 27: Summary of Resubmission & Prompt to Continue

7. If you've followed the previous steps successfully, you'll receive a successful resubmission confirmation message:

```
Are you sure you want to continue? (y/N)
Package Information:
validation results: ['b9cc4f33-100e-4a23-89c6-a818f6d1f5d2',
'd42ac674-f727-4851-ae4c-8002e5c52377']
submission_package_uuid: d9f3ea4d-d647-47f1-b2fe-a727e8dc85c7
created date: 2022-10-12T13:23:03.695-04:00
expiration date: 2022-10-13T13:23:03.695-04:00
Package finished building.
Downloading submission package.
A copy of your submission package has been saved to:
C:\Users\alkohlanyrf\NDA\nda-tools\vtcmd\submission_package
\NDASubmission_alkohlanyrf_1665595384635
Requesting submission for package: d9f3ea4d-d647-47f1-b2fe-a727e8dc85c7
Submission ID: 52812
You have successfully replaced submission 52812.
Process finished with exit code 0
```

Figure 28: Successful Resubmission Confirmation Message

- 8. An unauthorized request message will appear if:
  - a. No QA errors were reported for the submissions ID provided, and resubmission was not necessary.
    - i. If you have not received a "QA Results" email notification from NDA requesting resubmission, no further action is required.
  - b. The wrong submission ID was provided.
    - i. Please check if you are using the correct Submission ID at Step 3 and try again.
  - c. The Incorrect NDA credentials are used.
    - i. Please check if you are using the correct Credentials at Step 1. If so, please check if you are connected to the internet and try again.

submission\_id 52819 is not authorized to be replaced. Please contact the NDA help desk for approval to replace this submission

Figure 29: Unauthorized Request Message

**NOTE:** You can only resubmit their corrected data sets **once**. If you attempt to do so again, they will receive the following error:

Submission 52812 was already replaced by ndar\_administrator on 2022-09-23T13:57:06.599-04:00. If you need to make further edits to this submission, please reach out the the NDA help desk

Figure 30: Resubmission Error - Submission Already Replaced

If you to edit an existing resubmission, please reach out to the NDA Help Desk at <a href="mail.nih.gov">ndahelp@mail.nih.gov</a> with you NDA Collection ID, submission ID and data structures you need to resubmit corrected data for.