

## A G E N D A

The National Collegiate Athletic Association

Division III Strategic-Planning and Finance Committee

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November 19, 2009  
11 a.m. Eastern time

1. Welcome and review roster. [Supplement No. 1]
2. Budget.
  - a. 2008-09 Budget – Final. [Supplement No. 2]
  - b. 2009-10 – Budget-to-Actual. [Supplement No. 3]
  - c. Six year projection. [Supplement No. 4]
  - d. Biennial Budget process timeline. [Supplement No. 5]
  - @ e. Tentative 2010-12 budget initiatives. [Supplement No. 6]
3. Division III Strategic Positioning Platform. [Supplement No. 7]
4. Drug Education and Testing Pilot. [Supplement No. 8]
5. Academic Reporting Pilot. [Supplement No. 9]
6. Championships Program.
  - a. Requests for reallocation and inflationary adjustments. [Supplement No. 10]
  - b. Division III Men's Volleyball. [Supplement No. 11]
7. Arbiter Sports. [Supplement No. 12]
8. Conference Grant Program Revisions.
  - a. Tier one funding for Ethnic Minority Professional Development. [Supplement No. 13]
  - c. Tier one annual sports information director funding. [Supplement No. 14]
  - d. Tier one funding for Senior Woman Administrators. [Supplement No. 15]
  - e. Tier two revised requirements. [Supplement No. 16]

9. FAR Institute. [Supplement No. 17]
10. NCAA Leadership Conference programming. [Supplement No. 18]
11. Other business.
  - a. NCAA Review of Programs Supporting Gender Initiatives, Diversity and Inclusion. [Supplement No. 19]
  - b. Conduct Foul Update. [Supplement No. 20]
12. Adjournment.

@ Denotes Action Items

REPORT OF THE  
NCAA DIVISION III STRATEGIC PLANNING AND FINANCE COMMITTEE

1. *ACTION ITEMS.*

- None.

2. *INFORMATIONAL ITEMS.*

- a. **2009-10 Division III Budget.** [Attachment A] The budget-to-actual schedule provides a financial snapshot of the Division III budget and expenditures as of November 2009. The results include \$22,578,000 in revenue and \$3,343,361 in expenses. The expense total is comprised primarily of grant programs which pay out at the beginning of each fiscal year. The current six-year projection includes requested new initiatives that may be approved for 2010-12. The Division III reserve balance remains especially relevant, pending the expiration (no later than 2012-13) of the Association's current broadcast agreements.
- b. **Preliminary 2010-12 Budget Proposal.** The committee endorsed the 2010-12 preliminary budget initiatives described in Attachment B. A final recommendation for the 2010-12 budget will be presented to the Management and President Councils in April for formal approval.
- c. **Division III Identity Initiative.** The committee endorsed a funding plan for the next biennium that provides for campus and conference level credits to purchase Division III Identity related items (\$500,000), as well as funding for the NCAA to create platform activation tools (\$100,000). It is expected that funding for this initiative will taper off significantly after the 2010-12 biennium when the division will move beyond the preliminary launch and use the platform for standard division operations and communications. The committee discussed the current status of the Identity Initiative, where recent work included the development of the creative expression of the Division III platform and an outreach effort designed to share the position platform with the leadership of key constituents prior to Convention. The outreach effort has focused on Division III presidents, and senior administrators to whom athletics reports, and has also included leadership of FARA, CoSIDA, NADIIIAA, the Division III Commissioner's Association, and chairs of Division III Committees.
- d. **Drug Education and Testing Pilot.** The committee reviewed the status of the Drug Education and Testing Pilot and amended timeline. Specifically, the committee noted that the Presidents Council decided to delay the release of the Drug Education and Testing Pilot results until after the 2010 Convention for the following reasons:

- More time is needed to explore the results of the report, including the comparability of findings to students generally.
- Discussion related to the report should include the identification of specific policy options.
- The report should include an Executive Summary that captures the main points of the report.
- The Council wants to avoid diverting the primary focus at 2010 Convention from the Division III Identity Initiative.

The Presidents Council intends to review the results of the report, with additional information described above, during its January meeting, as well as throughout 2010. Detailed discussions of the report and specific options will be presented to the Division III membership for feedback at the 2011 NCAA Convention, and the Strategic Planning and Finance Committee will plan for potential changes to the Division III Drug Education and Testing Program not earlier than 2012-14 budget biennium.

e. **Academic Reporting.** The committee reviewed the current status of the Division III Academic Reporting Pilot:

- This is a voluntary two-year pilot program beginning spring 2010 and ending spring 2011 designed to assess feasibility and burden issues related to the data collection.
- The pilot launch will take place in March 2010.
- The pilot has been embedded in the NCAA Graduation Rates Data Collection System which is the tool used by all NCAA Division III schools to meet the required annual submission of student-body graduation information.
- Schools will submit the required student-body graduation information to the NCAA during spring 2010 and 2011. On each of those occasions, schools will have the option to submit student-athlete information as well.
- Reporting of graduation information will be done in aggregate (e.g., no individual school public reports).

- To date, more than four dozen schools and conferences have expressed interest in taking part.
  - Fifty thousand dollars has been budgeted to establish honorariums for schools that participate in the pilot program. The details of the honorarium have not been decided.
  - Correspondence to all Division III members outlining the details of the information to be requested in the pilot will be distributed in January.
  - The official launch of the program will commence with correspondence to all Division III members in late February. This is the same correspondence that announces the opening of the NCAA Graduation Rates Data Collection cycle for 2010.
- f. **Championships Program.** The committee endorsed a funding model to support the creation of a Division III Men's Volleyball Championship, pending that sport maintaining 50 sponsoring institutions and a favorable membership vote on any legislative proposal to add this championship. The committee also endorsed the use of inflationary and reallocated dollars to fund previously approved field size expansions in men's and women's swimming and diving, men's and women's outdoor track and field, and men's golf, which also received an increase in the per diem for teams making the cut to six and a half days.
- g. **ArbiterSports.** The committee endorsed a continuation of its financial support for ArbiterSports, but tabled the model by which those funds will be distributed.

ArbiterSports was acquired by the NCAA in September 2008, to further expand and enhance officiating improvements including:

- Training and continuing education for officials;
- Encouraging national and inter-level consistency;
- Recruiting and development; and
- Improving the student-athlete experience.

Existing 2009-10 funding includes up to \$150,000, to subsidize a pilot in which officials will be encouraged to voluntarily register with Arbiter Sports and receive education and other benefits through the service. The 2009-10 program includes football, basketball, softball and baseball and specifies that half of the \$100 registration fee would be subsidized by the division, and all fund distribution is managed by ArbiterSports through the electronic registration process. Football is

being subsidized through College Football Officiating (CFO) and basketball through "Good of the Game" monies. Softball and baseball subsidies are being provided by the Division III subsidy, with a match from NCAA Association-wide funding.

The committee considered a proposal to allocate \$150,000 annually to Tier One of the Conference Grant Program to support the professional development of officials via registration with ArbiterSports, where the average addition to Tier One would be approximately \$3,500 per conference. The committee received feedback that it may be premature to link ArbiterSports with the Conference Grant Program, since officials in some conferences have yet to learn about the full benefits of the ArbiterSports training and continuing education program. As such, the committee will consider a different funding model in its March meeting, which may include an effort to concentrate on enhancing participation in a select number of conferences, so that the conference-wide benefit of this program may be demonstrated for the entire division.

- h. Conference Grant Program Revisions: Tier One Funding For Ethnic Minority Professional Development.** In response to a previously approved action to provide funding for ethnic minority professional development in the grant program, the committee endorsed a proposal indicating that the racial and ethnic minority component of Tier One should function in the same manner as the senior woman administrator (SWA) and faculty athletics representations (FAR) components, where conferences must support at least one individual member of this category over the specified time period (in this case, at least every other year). The following list of events could be supported through the new Conference Grant Program Tier One category of racial and ethnic minority professional development:

- (1) Professional development programming sponsored by the Minority Opportunities Athletic Association (MOAA).
- (2) An NCAA hosted event to be conducted by diversity and inclusion and governance staff in conjunction with the conference commissioners and sports information directors (SIDs) meeting in the summer of 2011 (and subsequent odd-year summers). This event would include a significant networking session with the conference commissioners and SIDs and would also cover such topics as the NCAA governance structure, Division III hot topics, leadership, mentoring, budgeting, and professional development resources such as NCAA Diversity and Inclusion office professional development programs.

- (3) The Black Coaches and Administrators Convention;
- (4) General professional development opportunities offered by the affiliate groups of NADIIAA, NACWAA, MOAA and NACDA;
- (5) The NCAA Regional Rules Seminars; and
- (6) The NCAA Convention.

Some conferences may not have a racial or ethnic minority administrator available to attend one of these events. If all options for racial or ethnic minority candidates have been exhausted, conferences may provide funding for any administrator to attend an event specifically designed to increase campus or conference diversity or to assist campuses or conferences gain strategies to generate diverse candidate pools (e.g., events offered by MOAA, BCA).

Some conferences may not have a racial or ethnic minority administrator available to attend one of these events. Conferences may also send a coach to an event other than a coaching convention or send a student-athlete to the NCAA Convention. In the latter case, the student-athlete must have an interest in pursuing a career in athletics, and the conference must commit to fulfill specific expectations to make the Convention a meaningful experience for the participating student-athlete.

If all options for racial or ethnic minority candidates have been exhausted, conferences may provide funding for any administrator to attend an event specifically designed to increase campus or conference diversity or to assist campuses or conferences gain strategies to generate diverse candidate pools (e.g., events offered by MOAA, BCA).

- i. **Conference Grant Program Revisions: Tier One Annual Funding For Sports Information Directors.** In response to a previously approved action to provide annual professional development funding for SIDs in the grant program, the committee endorsed a proposal indicating that conferences should use grant funds to continue to support SID attendance at the biannual meeting conducted by the NCAA staff in conjunction with the Division III Commissioners Association. These meetings occur in odd years (e.g., 2009, 2011, 2013).

In addition, conferences should use Tier One funding to send either the conference SID, or select an SID from a conference member campus to send to professional development programming during interim years.

Approved events for SID funding in even years (e.g., 2012, 2014, 2016) may include:

- CoSIDA annual meeting;
- ECACSIDA annual meeting;
- NCAA Convention; or
- NADIIIAA summer forum.

- j. **Conference Grant Program Revisions: Tier One Funding For Senior Woman Administrators.** The committee reviewed and adopted a recommendation submitted by a Division III conference commissioner to amend Tier One policies to state that the senior woman administrator (SWA) professional development funds may not be used to support a female athletic director (AD) to attend the NCAA Convention.

While some individuals are both ADs and SWAs, the point of this Tier One program is to provide professional development opportunities to female administrators who may not have the chance for such development without grant funding. The Convention should be viewed as a general responsibility for Division III ADs to attend, and should not take away from funding that would otherwise be used to provide an SWA with professional development.

- k. **Conference Grant Program Revisions: Tier Two Format Revisions.** In July, the committee amended Tier Two of the Conference Grant Program to minimize the prescriptive amounts that must be spent on each topical area and to allow conferences to determine the amount to allocate to each of the current Tier Two priorities (sportsmanship, diversity and gender equity, and student-athlete well-being) plus a new Tier Two priority - integration institutes. Feedback received by the committee after its July meeting expressed concern over loss of flexibility in the grant program, adequate input of the Division III Commissioners Association in the grant policy development process, and suggestions to lengthen the Tier Two rotation beyond its current four-year format. Based on this feedback, the committee approved the following changes to the grant program:

- (1) Rename the theme of "Integration Institutes" to "Identity and Integration Symposiums". This will help distinguish the event from the specific Integration Institute model offered by the College Sports Project and also more closely link the event to the new Division III Identity Initiative and Strategic Positioning Platform.

- (2) Supplement the discretionary Tier Three funding to replace the previous Tier Two "flex year" funding (\$258,000 total or \$6,000 per conference) and base the total Tier Three allocation on the size of the conference. This is in addition to the four percent inflationary adjustment applied to the program and represents more than the average "flex year" funding. The average Tier Two allocation in 2009-10 was \$18,255. Because the flex year only happens once in a four year period, the flexible portion viewed on an annual basis averages to \$4,464, which is less than the \$6,000 annual addition to Tier Three. Essentially, this offers a way for a conference to maintain an opportunity to put significant resources behind the project of its choice, while Division III gains greater emphasis on its identity initiative and integration across all conferences.

Also related to Tier Three, the amount of tier funding offered to a conference shall be a function of conference size. Previously, Tier Three was the only tier that included a uniform allocation for all conferences (\$11,006 in 2009-10). Based on concerns submitted by member conferences that this tier provides services to member institutions more than just the singular conference office, it is logical to base this allocation on conference size.

- (3) Establish an Advisory Committee of Division III Commissioners to assist in vetting the impact of program policy changes in advance of Strategic Planning and Finance Committee meetings. In this way, committee discussion may benefit from a broader understanding of the impact of recommendations before it is asked to formally endorse or reject them.
- (4) Maintain the four-year funding cycle of the Conference Grant Program. While the Commissioners Association requested consideration of a five or six year cycle, the program will maintain a four-year cycle, consistent with the Division III philosophy that the athletics experience is a four-year endeavor for student-athletes. Ideally, student-athletes may benefit from enhanced focus on each of the division's strategic priorities at least once during their four-year collegiate athletics career. Further, the NCAA budget process operates on a biennium, so any grant cycle should include an even number of years, to include an established number of whole budget periods.

- I. **FAR Institute.** The committee received a preliminary proposal from the Division III Leadership of FARA for the launch in 2010 of a pilot FAR Institute. The committee requested that staff work with FARA leadership to further refine the proposal, noting that any institute agenda should include a focus on the Division III Identity Initiative and Strategic Positioning Platform.

- m. **NCAA Leadership Conference Programming.** The committee reviewed and endorsed proposed changes to both the Association-wide and Division III approach to student-athlete development programming. Beginning in 2010-11, the previous format of five divisional leadership conferences is being replaced by one Super-Regional Conference, where student-athletes from all divisions will be invited to engage in personal development and leadership programming in a collective setting. While some sessions will be conducted jointly between all three divisions, portions of the conference will retain a divisional focus. The new format will generate greater efficiency and cost savings. The annual Super-Regional Conferences will be supplemented by the establishment of opportunities for related campus-based programming.

Also, the National Student-Athlete Development Conference is changing its name to the Career in Sports Forum beginning in 2010. The forum, which is sponsored by the educational affairs department, will be conducted May 11-14, 2010, in Indianapolis and will expose student-athletes to careers in sports, specifically collegiate athletics. The shift in programming is an effort to diversify the experience of NCAA student-athletes during their time in college. While previous programming focused on personal development (life during college), the forum will focus on career development (life in college and beyond). The forum will teach foundational skills such as communication, networking resume writing, interviewing skills, recruiting, managing culture change, transitioning and budgeting. Participants will develop action plans for personal growth, learn realistic views of the roles of coaches and administrators and will discuss careers in college athletics.

From a budgetary perspective, the economies of scale resulting from the creation of the Super Regional Conference provides the division with an opportunity to reallocate funds from the Leadership Conference Program to the Identity Initiative (\$200,000). Further, the division will provide an annual allocation of \$80,000 to support the campus-based programming mentioned above.

- n. **NCAA Review of Programs Supporting Gender Initiatives, Diversity and Inclusion.** The committee received a report from Delise O'Meally on the current status of the NCAA's Review of Programs Supporting Gender Initiatives, Diversity and Inclusion. The goals of this internal review are to ensure programs are aligned strategically to meet Association goals, to establish a definition of diversity, and to develop a vision statement to guide and inform the development of future programs.

The NCAA plans to develop data gathering tools to adequately measure realigned objectives and the vision statement, and will also develop a periodic evaluation of the Association's entire portfolio of related diversity and gender programs.

On completion of this Association-wide review, the division will engage in a process to ensure the Division III Strategic Plan and funded programs support the newly developed definition and vision.

- o. **Conduct Foul Update.** The committee reviewed an Executive Summary of the Spring 2009 Conduct Foul Report, which included the following:
- Real-time tracking for baseball and softball are not as effective since the national averages per member institution are below one ejection. This means that, on the average, an ejection occurs and is not repeated. One could assume that if a member is tracking real-time, the incident is immediately addressed but there is no data that could support this notion.
  - Participation rate for all three sports continues to climb and are now pushing close to 90 percent response. The participation rate for baseball, men's lacrosse and softball have increased significantly in the three-year period. Participation in all sports is starting to plateau as the rate is very high and the program has been embraced by the membership.
  - All conferences formed over the past three years have participated in the program.
  - We continue to have a few conferences who simply have not participated in the program. We should consider ways to engage them more in participating.

*Committee Chair: Jim Harris, Widener University*

*Staff Liaison: Beth Barnett, Finance*

*Dan Dutcher, Division III Governance*

*Amy Huchthausen, Academic and Membership Affairs*

*Leah Kareti, Division III Governance*

*Andrea Worlock, Finance*

<u>The National Collegiate Athletic Association</u>				
<u>2009-10 Division III Budget-to-Actual (as of November 3, 2009)</u>				
	2008-09 Year-to-date Actual	2009-10 Budget	2009-10 Year-to-date Actual	Difference
<b>Revenue:</b>				
Division III 3.18% Revenue Allocation	21,791,000	22,578,000	22,578,000	-
<b>Total Revenue</b>	21,791,000	22,578,000	22,578,000	-
<b>Expenses:</b>				
Championship Expenses				
Men's Championships				
Baseball	1,424,978	1,716,500	4,070	1,712,430
Basketball	652,496	787,500	571	786,929
Cross Country	377,112	507,500	1,494	506,006
Football	1,314,081	1,326,500	5,791	1,320,709
Golf	416,876	431,500	6,072	425,428
Ice Hockey	243,319	312,000	19	311,981
Lacrosse	283,915	311,480	3,649	307,831
Soccer	869,504	971,500	243	971,257
Swimming & Diving	391,019	430,500	531	429,969
Tennis	420,347	497,500	515	496,985
Indoor Track	357,227	378,500	(1,103)	379,603
Outdoor Track	501,172	542,500	3,446	539,054
Wrestling	215,356	269,500	15	269,485
Championship Webcasting	15,000	15,000	-	15,000
Total Men's Championships	7,482,402	8,497,980	25,312	8,472,668
Women's Championships				
Basketball	751,409	982,500	102	982,398
Cross Country	379,526	527,500	1,494	526,006
Field Hockey	324,437	372,000	568	371,432
Golf	255,973	279,500	2,351	277,149
Ice Hockey	139,613	227,500	13	227,487
Lacrosse	457,266	543,000	37	542,963
Rowing	207,910	441,000	30	440,970
Soccer	835,655	962,500	346	962,154
Softball	1,050,033	1,214,500	1,391	1,213,109
Swimming & Diving	377,814	447,500	(741)	445,149
Tennis	448,281	565,000	(844)	565,844
Indoor Track	337,145	386,500	(572)	387,072
Outdoor Track	491,516	543,500	3,432	540,068
Volleyball	827,353	957,500	754	956,746
Championship Webcasting	15,000	15,000	-	15,000
Total Women's Championships	6,898,931	8,465,000	8,361	8,456,639
Championships Expense	14,381,333	16,962,980	33,673	16,929,307
Overhead	247,400	247,400	61,850	185,550
<b>Total Championships Expense</b>	<b>14,628,733</b>	<b>17,210,380</b>	<b>95,523</b>	<b>17,114,857</b>

Strategic Initiative Conference Grants	1,824,630	1,852,250	1,752,099	100,151
Other Division III Strategic Initiatives				
NAD3AA Partnership	38,302	51,000	-	51,000
SWA Enhancement Grant Program (NACWAA)	47,976	48,000	-	48,000
Division-wide Sportsmanship Initiative	11,000	15,000	2,000	13,000
Strategic Alliance Matching Grant	598,152	670,000	415,282	254,718
Women & Minority Intern Program	858,930	820,000	732,590	87,410
Regional Seminar Planning	15,000	15,000	-	15,000
Financial Aid Education/Enforcement	-	10,000	-	10,000
S-A Leadership Conference	671,728	680,000	49,234	630,766
Drug and Alcohol Education	75,000	125,000	-	125,000
Drug Education and Testing Pilot	250,000	250,000	-	250,000
Conference Commissioners Meeting	7,770	15,000	6	14,994
Town Hall Meetings	7,401	-	-	-
Division III Identity Program	59,913	100,000	-	100,000
ArbiterSports	-	150,000	-	150,000
Division III Financial Recovery Insurance	200,000	200,000	100,000	100,000
Overhead Allocation	786,500	786,500	196,625	589,875
Total Program Expenses	5,452,302	5,787,750	3,247,838	2,539,912
<b>Total Division III Expenses</b>	<b>20,081,035</b>	<b>22,998,130</b>	<b>3,343,361</b>	<b>19,654,769</b>
Excess Revenue over Expense	1,709,965	(420,130)	19,234,639	
Add: Previous Year's Unallocated (Unused) Funds	12,462,791	14,172,756	14,172,756	
<b>Total Division III Funds Available for Reserve/Future Use</b>	<b>14,172,756</b>	<b>13,752,626</b>	<b>33,407,395</b>	
Less: Mandated Reserve (Note 1)	2,179,100	2,257,800	2,257,800	
<b>Total Division III Funds Available for Contingency/Future Use</b>	<b>11,993,656</b>	<b>11,494,826</b>	<b>31,149,595</b>	
Less: Encumbered for future year programs (Note 2)	-	836,000	836,000	
<b>Total Division III Projected Unallocated Funds</b>	<b>11,993,656</b>	<b>10,658,826</b>	<b>30,313,595</b>	
Division III Reserve Cumulative Balance (Actual)	14,172,756		33,407,395	
Division III Reserve Cumulative Balance (Projected)		13,752,626		
<b>Note 1:</b> In any two-year budget cycles, the reserve shall not fall below 10% of the Division III revenue allocation. <b>Note 2:</b> Amount of encumbered for future year programs is based on proposed budget initiatives/increases for the next biennial budget cycle.				

The National Collegiate Athletic Association

Division III 6-Year Protection

	2006-2007	2007-2008	2008-2009	2009-2010	2010-11	2011-12
<b>Revenue:</b>						
Division III 3.18% Revenue Allocation	19,052,000	20,404,000	21,791,000	22,578,000	23,881,800	25,599,000
<b>Expenses:</b>						
Championships Base Budget (Note 1)	13,932,461	14,758,589	14,628,733	17,210,380	17,886,978	18,592,561
Championships Initiatives	-	-	-	-	-	310,000
Division III Insurance	200,000	200,000	200,000	200,000	200,000	200,000
Programs Base Budget	4,326,689	4,925,193	5,252,302	5,587,750	5,661,750	6,187,750
Programs Initiatives	-	-	-	-	526,000	-
<b>Total Division III Expenses</b>	<u>18,459,150</u>	<u>19,883,782</u>	<u>20,081,035</u>	<u>22,998,130</u>	<u>24,274,728</u>	<u>25,290,311</u>
<b>Net Change in Fund Balance</b>	<u>592,850</u>	<u>520,218</u>	<u>1,709,965</u>	<u>(420,130)</u>	<u>(392,928)</u>	<u>308,689</u>
<b>Beginning Fund Balance (Projected Reserve and Unallocated Funds)</b>	<u>\$ 11,349,724</u>	<u>\$ 11,942,574</u>	<u>\$ 12,462,792</u>	<u>\$ 14,172,757</u>	<u>\$ 13,752,627</u>	<u>\$ 13,359,699</u>
<b>Less:</b>						
Net Change in Fund Balance	<u>592,850</u>	<u>520,218</u>	<u>1,709,965</u>	<u>(420,130)</u>	<u>(392,928)</u>	<u>308,689</u>
<b>Ending Fund Balance (Projected Reserve and Unallocated Funds)</b>	<u>\$ 11,942,574</u>	<u>\$ 12,462,792</u>	<u>\$ 14,172,757</u>	<u>\$ 13,752,627</u>	<u>\$ 13,359,699</u>	<u>\$ 13,668,388</u>
<b>Mandated Reserve (Note 3)</b>						
Including the following contingencies:						
Championship Contingency (Note 4)						
<b>Funds Available Less Mandated Reserve (Note 6)</b>	<u>\$1,905,200</u>	<u>\$2,040,400</u>	<u>\$2,179,100</u>	<u>\$2,257,800</u>	<u>\$2,388,180</u>	<u>\$2,559,900</u>
	500,000	500,000	500,000	500,000	500,000	500,000
	\$20,459,766		\$23,488,484		\$22,080,007	

**Note 1:** The championships budget increases each year based on 4% inflation. The conference grant program within the non-championship initiative budget would increase by 4% every two years.

**Note 2:** The 2006-09 amounts listed are actual revenues and expenses. The budgets for 2010-2012 do not contain any new initiatives at this time.

**Note 3:** Beginning with 2006-07, the reserve shall not fall below 10% of the Division III revenue allocation.

**Note 4:** The Championships Contingency fund was created to support year-end championships budget shortfalls. It is not included in the championships base budget or the total Division III budget, but is a portion of the overall Mandated Reserve that has been allocated to championships. Only the unused portions of this contingency will roll over into the next year.

**Note 5:** Beginning with 2006-07, the Executive Committee approved a 25 percent budget reduction for all NCAA committees. The reduction from the championship committees has been added back into the Division III reserve total.

**Note 6:** The Funds Available balance is figured on the ending fund balance for both years in the two-year budget cycle less the mandated reserve for those same two years.

Future Budget Initiatives (new funding only since inflationary amounts have already been factored in):

2010-11 \$21,500 - Strategic Initiative Conference Grant Ethnic Minority Professional Development

\$21,500 - Strategic Initiative Conference Grant SID Professional Development

\$258,000 - Strategic Initiative Conference Grant - Tier III Additional Flex Funds

\$150,000 - Division III Identity additional dollars

\$75,000 - PAR Institute

2011-12 \$310,000 - Division III Men's Volleyball

2010-11 2011-12

Revenue Allocations	23,881,800	25,599,000
Minimum Reserve Balance	2,388,180	2,559,900

Division III reserve policy is to maintain a reserve balance of at least 10% of annual revenue allocation. As of the end of 2008-09, the reserve balance is approximately \$13 million.

Initiative	2010-11	2011-12	Funding Type	Comments
Division III Identity	500,000		Reallocation/New funds	Reallocation (\$350k) from: Drug Education and Testing budget (\$150k) and Student-Athlete Regional Leadership Conference (\$200k). New funding: \$150k. With prior 09-10 reallocation of \$100k, total funds available each year of the biennium: \$600,000. Proposed annual allocations: \$450k to member institutions (\$1k per institution); \$50k to conferences/independents (\$1k per conference); \$100k for national activation (e.g., videos, tool kits, etc.). The annual total allocation likely will be reduced for 2012-13.
Drug Education and Testing				There was \$350,000 budgeted for the education/testing in 2009-10. This money will be reallocated to support the D3 identity, ArbiterSports and academic reporting initiatives in 2010-12. The establishment of any future testing program would be contingent on a favorable membership vote at the 2012 Convention, with implementation no earlier than 2012-13. The division will continue to fund annually \$125K of separate drug and alcohol programming.
Strategic Initiative Conference Grant Inflationary Increase	80,000		Inflationary	Rather than an annual inflationary increase, the grant program is set to increase by 4 % inflation every 2 years.
Strategic Initiative Conference Grant - Ethnic Minority Prof. Development	21,500		New Funds	These funds would be an addition to the grant budget and carry forward to future years.
Strategic Initiative Conference Grant - SID Prof. Development	21,500		New Funds	These funds would be an addition to the grant budget and carry forward to future years.
Strategic Initiative Conference Grant - Tier III Additional Flex funds	258,000		New Funds	These funds would be an addition to the grant budget and carry forward to future years.
Strategic Initiative Conference Grant - ArbiterSports	150,000		Reallocation	These funds were reallocated from Drug Education and Testing budget for 2009-10.
FAR Institute	75,000		New Funds	These funds would support a pilot and likely carry forward to future years.
Academic Reporting Stipend	50,000		Reallocation	These funds would be a one time reallocation from the current Drug Education and Testing budget and would be reallocated back to the Drug Education and Testing budget for 2011-12.
Campus-based Student-Athlete Leadership Programming	80,000		Reallocation	These funds will be reallocated from the Div. III Regional Leadership budget.
Div. III Men's Volleyball		310,000	New Funds	Cost has been estimated at this time. Cost includes overhead allocation of 25%.
Championship Per Diem Increase (\$5 over course of 2 years)	200,000	150,000	Inflationary	Cost has been estimated at this time. Funds will be covered through existing 4% inflationary increase for Division III.
Other championship initiatives	475,600	208,000	Inflationary/Reallocation	Cost has been estimated at this time. Funds will be covered through the 4% inflationary increase for Division III or reallocation of existing budgets. Initiatives may include change in Golf championship format, increase in officials' fees, volunteer apparel, and field size increases for Wrestling, Field Hockey, Swimming and Diving and Track & Field.

Total Requested Initiatives	1,911,600	668,000
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Funding Summary:		
New Funds	526,000	310,000
Reallocations	919,600	150,000
Inflationary	466,000	208,000
	1,911,600	668,000

Increase in Revenue Allocation	1,303,800	1,717,200
Total New and Inflationary funds	992,000	518,000