

## **Curriculum Vitae**

**John. J. Puthenpurackal**  
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### **Academic background:**

Ph.D. Finance, 1997-2002. Texas A & M University, College Station, Texas, United States.

P.G.D.M. (MBA), 1993–1995. Indian Institute of Management, Ahmedabad, India.

B-Tech. Electronics & Communication, 1986–1990. College of Engineering, Trivandrum, India.

### **Academic work experience:**

#### University of North Texas:

Department Chair of Finance, Insurance and Risk Management, Real Estate and Business Law;  
Professor of Finance with tenure, July 2019 – present.

#### University of Nevada – Las Vegas:

Department Chair of Finance, July 2015 – June 2019.

Professor of Finance with tenure, July 2015 – June 2019

Associate Professor of Finance with tenure, July 2009 – June 2015.

Assistant Professor of Finance, August 2006 – June 2009.

#### National University of Singapore (NUS):

Senior Visiting Fellow, Summer 2009.

#### Ohio University:

Assistant Professor of Finance, September 2002 – July 2006.

#### Texas A & M University:

Ph.D. Finance student; Research and Teaching Assistant, September 1997 – August 2002.

## **Non-Academic work experience:**

### The World Bank:

Summer Intern – Worked on a cross-country study that examined whether the resolution choice of financially distressed firms is related to country and institutional factors, Summer 2000.

### Credit Analysis and Research (CARE), Mumbai, India:

Credit Rating Analyst – Rated debt instruments issued by firms from a variety of industries. Also worked on a consulting assignment from the Government of India for potential privatization of public sector enterprise, 1995-1997.

### HCL-HP, India and Computer Garage, Bangalore, India:

Marketing executive involved in sales and maintenance of computers, 1991-93.

## **Teaching experience:**

### Texas A & M University:

Managerial Finance I, Spring 2002.

### Ohio University:

FIN 606; Managerial Finance (MBA), Spring 2006.

FIN 455/555; International Finance, Fall 2002/2003; Spring 2005; Winter 2006.

FIN 651; Seminars in International Finance, Spring 2004/2005; Winter 2006.

EMFE 651; Seminars in International Finance (Executives), Spring 2005.

FIN 325/525; Foundations of Finance, Winter 2003/2004; Spring 2003/2004.

### National University of Singapore (NUS):

BMA 5302 Investment Analysis and Management (MBA), Summer 2009

### University of Nevada – Las Vegas:

FIN 750; International Financial Management, Fall 2015/2017.

FIN 308; International Financial Management, Fall 2006/2007/2008/2009/2010/2011/2012/2013/2014; Spring 2007/2008/2008/2010/2012/2013/2015/2017/2018.

FIN 301; Principles of Managerial Finance, Fall 2010/2011/2012/2016/2018; Spring 2013/2016.

FIN 307; Investments, Spring 2011.

University of North Texas:

FINA 4500; International Finance, Spring 2020/2021/2022.

## **Research experience:**

### **Publications:**

**“The costs, wealth effects and determinants of public yankee bond offerings”** (with Darius Miller). *Journal of Financial Intermediation*, Volume XI, No. 4, 2002, 455-485.

**“Security fungibility and the cost of capital: Evidence from global bonds”** (with Darius Miller). *Journal of Financial and Quantitative Analysis*, Volume 40(4), December 2005, 849-872.

**“Explaining premiums in restricted DR markets and their implications: The case of Infosys”** (Sole-author). *Financial Management*, Summer 35 (2) 2006, 93-116.

**“Stock options and total payout”** (with Charles Cuny and Gerald Martin). *Journal of Financial and Quantitative Analysis*, April 2009, 44(2), 391-410.

**“Are interlocked directors effective monitors?”** (with Erik Devos and Andrew Prevost). *Financial Management* Winter 2009, 861-887.

**Repurchases of convertible preferred stock and shareholder wealth** (with Saeyoung Chang). *Journal of Business Research*, 67(4), April 2014, 623-630.

**Board ties and the cost of corporate debt** (with Tuugi Chuluun and Andrew Prevost). *Financial Management*, 43(3) Fall 2014, 533-568.

**Target management involved buyouts: Impact on takeover competition, litigation risk and shareholder returns** (with Sridhar Gogineni). *Journal of Financial Research*, Vol. XXXVII, No.3, Fall 2014, 323-356.

**Controlling shareholders, board structure, and firm performance: Evidence from India** (with Melvin Jameson and Andrew Prevost). *Journal of Corporate Finance* 27, 2014, 1-20 (Lead Article).

**The determinants and performance impact of outside board leadership** (with Steven Balsam and Arun Upadhyay). *Journal of Financial and Quantitative Analysis*, 51 (4), 2016, 1325-1358.

**The impact of go-shop provisions in mergers agreements** (with Sridhar Gogineni). *Financial Management*, 46(1) Spring 2017, 275-315.

**Related party transactions, corporate governance and CEO compensation** (with Steven Balsam and Richard Gifford). *Journal of Business Finance and Accounting*, 44(5-6), May/June 2017, 854-894.

**Why do takeover targets protect acquirers? Evidence from force-the-vote provisions** (with Sridhar Gogineni). *Financial Management*, Autumn (Fall), 2021, 50(3), 805-843.

**Corporate opacity and the effectiveness of independent female directors** (with Steven Balsam and Arun Upadhyay). *Journal of Corporate Finance* 69, 102007, August 2021.

### **Working Papers:**

**The impact of matching rights provisions in mergers agreements** (with Sridhar Gogineni). Under review at *Journal of Banking and Finance*.

### **Conference Presentations:**

**The Costs, Wealth Effects and Determinants of Public Yankee Bond Offerings** (with Darius Miller) Presented at the following:

- The 2000 Northern Finance Association Meeting
- The 2000 International Finance Georgia-Tech Conference
- The 2001 Europe Financial Management Association Meeting

**Security fungibility and the cost of capital: Evidence from Global Bonds** (with Darius Miller). Presented at the following:

- The 2002 Financial Management Association meeting
- Third Workshop organized by the European Central Bank and Center for Financial Studies in Athens, Greece, 2003, on “Capital Markets and Financial Integration in Europe”.

**Explaining premiums in restricted DR markets and their implications: The case of Infosys** (Sole-author). Presented at the following:

- The Ohio State University Finance Department Seminar Series
- The 2004 Financial Management Association meeting

**Warren Buffett and Berkshire Hathaway** (with Gerald Martin). Presented at:

- The 2005 Financial Management Association meeting

**Stock Options and Total Payout** (with Charles Cuny and Gerald Martin). Presented at:

- The 2007 Financial Management Association meeting

**Are Interlocked Directors Effective Monitors?** (with Erik Devos and Andrew Prevost).  
Presented at:

- The 2008 Financial Management Association meeting

**The effects of management involvement on takeover competition and shareholder returns** (with Sridhar Gogineni). Presented at:

- The 2010 Financial Management Association meeting

**Board networks and the cost of corporate debt** (with Tuugi Chuluun and Andrew Prevost).  
Presented at:

- The 2010 Financial Management Association meeting

**Repurchases of convertible preferred stock and shareholder wealth** (with Saeyoung Chang).  
Presented at:

- The 2011 Financial Management Association meeting

**The determinants and performance impact of outside board leadership** (with Steven Balsam and Arun Upadhyay). Presented at the following:

- The 2012 European Financial Management Association meeting, Barcelona
- The 2012 Financial Management Association meeting

**Family founders, board structure, and firm performance: Evidence from India** (with Andrew Prevost and Mel Jameson). Presented at:

- The 2012 Financial Management Association meeting

**Board gender diversity and firm performance: The impact of information environment** (with Arun Upadhyay). Presented at the following:

- The 2013 European Financial Management Association meeting, UK
- University of Bath Journal of Empirical Finance Conference, UK
- The 2013 Financial Management Association meeting

**The impact of go-shop provision in mergers and acquisitions** (with Sridhar Gogineni).  
Presented at:

- The 2014 European Financial Management Association meeting

**Controlling shareholders and CEO compensation: Evidence from India** (with Tuugi Chuluun and Andrew Prevost). Presented at:

- The 2015 Financial Management Association meeting

**Why do takeover targets protect acquirers? Evidence from force-the-vote provisions** (with Sridhar Gogineni). Presented at:

- The 2019 Financial Management Association meeting

**The impact of matching rights provisions in mergers agreements** (with Sridhar Gogineni). Presented at:

- The 2021 Financial Management Association meeting

**Journal referee work:**

- Journal of Corporate Finance
- Journal of Banking and Finance
- Financial Review
- Journal of Business Research

**Academic Awards:**

- FMA Doctoral Student Seminar participant, 2001.
- Dean's Award for Outstanding Research by a Ph.D. Student, Texas A&M, 2001.
- Regent's Fellowship, Texas A & M University, 1997-2000.
- Gardner Fellowship at Ohio University, 2002-2006.
- College of Business Intellectual Contributions Award, Ohio University, 2005.
- Nominated for College of Business Researcher of the Year Award, University of Nevada, Las Vegas, 2007.
- Beam Research Fellowship, University of Nevada, Las Vegas, 2010-2012.
- Nominated for Lee Business School Service of the Year Award, University of Nevada, Las Vegas, 2011.
- Nominated for Lee Business School Teacher of the Year Award, University of Nevada, Las Vegas, 2013.
- Lee School of Business Researcher of the Year Award, University of Nevada, Las Vegas, 2014.
- Nominated for Lee Business School Researcher of the Year Award, University of Nevada, Las Vegas, 2016.

## **Service:**

### University Level:

- Member of the UNLV Top Tier Initiative Committee.
- Fellow of the UNLV Leadership Development Academy, 2013-2014.
- Served as Lee School of Business Representative on Faculty Senate Scholarship Committee (Fall 2008 to Spring 2009).
- Serve as Lee School of Business Representative on Faculty Senate Scholarship Committee (Fall 2014-).
- Served as an Ex-officio member of the UNLV Foundation Finance and Investment Committee (Spring 2008 and Fall 2008). I attended several meetings to discuss allocation of the UNLV endowment and evaluation of investment managers.

### College/School Committee:

- Co-chair of AACSB accreditation renewal subcommittee
- Served on the College of Business (COB) Research committee (Fall 2009 to Spring 2014). Evaluated summer research grant applications, COB researcher of the year applications and discussed possible changes to the COB journal list.
- Served on the LBS strategic planning group (Spring 2014)
- Serve on the College of Business (COB) Personnel Appeals Committee (Since Fall 2009).
- Served on the Finance Department Representative International Business Advisory Team (Fall 2008 to Spring 2012).
- Served on an ad-hoc committee appointed by the Dean on Assurance of Learning chaired by Steve Miller and Al Smith. (Fall 2008 and Spring 2009).
- Served as College of Business Database Coordinator from Fall 2006 to Spring 2009.
- Served on College of Business Technology Committee (Fall 2007- Spring 2008).

### Department/Unit Committee:

- Department chair, UNT FIREL (July 2019-)
- Department chair, UNLV (July 1, 2015 – June 2019)
- Designing and initiating a Masters in Quantitative Finance program which is planned to start in Fall 2019.
- FMA Student Group Faculty Advisor (Fall 2014-Spring 2018)
- FinTech Group Faculty Advisor (Fall 2017-)
- Department Internship coordinator (Fall 2014 – Fall 2017)
- Peer Review Committee member (Fall 2011–Spring 2013). The peer review committee makes recommendations on annual evaluations of department faculty members as well as summer teaching assignments. The committee has also worked on new guidelines for assigning summer courses.
- Ex-officio member of the faculty search committee in Fall 2017/Spring 2018. The search process resulted in the hiring of Ankur Pareek as a Lee Professor of Finance.

- Search committee chair for hiring an Affiliate Professor in Insurance in Spring 2016.
- Faculty search committee chair, Fall 2010. The search committee comprised Saeyoung Chang, Michael Sullivan and myself. The search process resulted in the hiring of Daniel Chi as an Assistant Professor of Finance.
- Faculty search committee member in Fall 2007. The search process resulted in the hiring of Andrew Zhang as an Assistant Professor of Finance.
- Served as a member of a committee (with Paul Thistle and Jeff Brookman) to evaluate potential Masters Programs in Finance/Financial Economics in 2007.

## References:

**Darius Miller:** Professor and Chair of Finance, SMU, Dallas; phone: (214) 768-4182; email: [dpmiller@cox.smu.edu](mailto:dpmiller@cox.smu.edu)

**Saeyoung Chang:** Professor and Chair of Finance, University of Nevada, Las Vegas; phone: (702) 895-3967; email: [saeyoung.chang@unlv.edu](mailto:saeyoung.chang@unlv.edu)

**Steven Balsam:** Professor of Accounting, Temple University; phone: (215) 204-5574; email: [drb@temple.edu](mailto:drb@temple.edu)

**Nancy Rapoport:** Professor of Law, University of Nevada, Las Vegas; phone: 702-895-5831; email: [nancy.rapoport@unlv.edu](mailto:nancy.rapoport@unlv.edu)

**John White:** Professor of Law, former Dean of the Boyd School of Law, UNLV, Provost of University of Nevada, Las Vegas and Chancellor of the Nevada System of Higher Education (NHSE) system; phone: 702-895-2357; email: [john.white@unlv.edu](mailto:john.white@unlv.edu)