

## Matador Privacy Policy

The following information contains the privacy policies of Matador Trading LLC (“Matador Trading”), a wholly-owned subsidiary of TapX Trading & Analytics, Inc. (“TapX Inc.”), doing business as T3 Securities, Inc. (T3S) which is a registered broker-dealer and member of FINRA and SIPC. Matador Trading provides online and mobile application-based discount stock brokerage services to self-directed investors. The privacy policy is designed to protect the confidentiality of current and former clients (“you”) of Matador Trading and T3S. Matador Trading and TS3 have established a relationship with you as a result of your opening an account with Matador Trading and TS3. This means we receive your nonpublic personal information for the sole purpose of servicing your account.

This information is presented to you in conformity with 15 U.S.C. 6801-6810 and the Securities and Exchange Act of 1934 as amended by 15 U.S.C. 6801-6810. The nonpublic personal information which identifies you and your account is hereafter referred to as “personal information”.

Maintaining the security and confidentiality of your personal information is a Matador Trading and TS3 priority. We take precautions to safeguard client personal information at all times, and we will remain vigilant in protecting that information. The following information will explain our privacy policy in more detail.

### YOUR NONPUBLIC PERSONAL INFORMATION

MATADOR TRADING AND T3S DO NOT SELL YOUR NONPUBLIC PERSONAL INFORMATION. WE WILL ONLY DISCLOSE YOUR NONPUBLIC PERSONAL INFORMATION, WHICH INCLUDES ANY PERSONALLY IDENTIFIABLE INFORMATION ABOUT YOU, AS INDICATED IN THIS POLICY, IF WE HAVE OBTAINED YOUR CONSENT IN EITHER THIS DOCUMENT OR OTHER AGREEMENTS OR WE ARE REQUIRED BY LAW TO DISCLOSE SUCH INFORMATION. NOT INCLUDED IN THIS IS ANY INFORMATION WILLINGLY SHARED BY A CLIENT VIA THE APP, WEBSITE OR FUTURE PLATFORMS, INCLUDING BASIC PROFILE INFORMATION, AND AGGREGATED DATA (DEFINED FURTHER BELOW):

### NONPUBLIC PERSONAL INFORMATION COLLECTED

1. Information from you
  1. Information you provide on an application for an account, or any other financial product or service, whether in writing, in person, by telephone, electronically or by any other means, such as your name, address, social security number, assets, income and debt; and
  2. Information we obtain for the purpose of tax reporting to you and the various agencies to which we report as required by law, including disclosures on various Internal Revenue Service (IRS) forms that we collect for tax reporting purposes.
2. Information about your transactions with us

1. Information that relates to account balances, payment history, trading activity and any other such transactions for which T3S provides brokerage services and Matador Trading provide technology. Trading information shared by users via the social feed will be considered public information and not subject to this privacy policy.
2. Information we collect as part of authentication for purposes of servicing your account in a secure and confidential fashion; and
3. Information we collect through Internet “cookies” (an information collecting device from a web server).
3. Information about your transactions with nonaffiliated third parties
  1. Information provided to other nonaffiliated third parties as required by law, including information shared in connection with a subpoena or other legal document compelling our compliance; and
  2. Information related to servicing your account for purposes of providing clearing services.
4. Information from a consumer-reporting agency
  1. Information from a consumer reporting agency regarding your creditworthiness or credit history or other information with regard to lending;
  2. Information about the fact that you are a customer of Matador Trading and T3S and we have provided you a financial product or service; and
  3. Information from other outside sources regarding their employment of, credit to, or other relationship with you, or verifying representation made by you, such as your employment history, loan or credit card balances.

#### AGGREGATED DATA

MATADOR TRADING AND T3S’S USE OF AGGREGATED DATA WILL FALL OUTSIDE OF THIS POLICY. AGGREGATED DATA (ON THE GLOBAL FEED AND OUTSIDE) DISPLAYS TRADING DATA OF THE MATADOR COMMUNITY AT LARGE AND DOES NOT CONTAIN REFERENCES TO THE IDENTITY OF SPECIFIC INDIVIDUALS. THIS DATA WILL BE USED TO PROVIDE PERTINENT INFORMATION TO CLIENTS AND IS FOR INFORMATIONAL PURPOSES ONLY, NOT TO BE CONSIDERED INVESTMENT ADVICE OR AN ENDORSEMENT OF ANY KIND BY EITHER MATADOR TRADING OR T3S.

Matador Trading and T3S restrict access to your personal account(s) and information to those employees who need to know that information to provide products and services to you. We maintain physical, electronic, and procedural safeguards that meet or exceed industry standards to safeguard your nonpublic personal information. Third parties who have access to such personal information must agree to follow appropriate standards of security and confidentiality. Clients should be advised that in order to better serve you, telephonic communications may be recorded and monitored for quality control.

Matador Trading may at any time revise this Privacy Policy by updating this document. You will receive advanced notice of any changes. You agree to be bound by subsequent revisions and agree to review this Privacy Policy periodically for changes. The most updated version of this document will be available for your review on the Matador Website.

We trust this clarifies our position on client confidentiality, and look forward to being of service to you.