

Financial and Trade Regulation

The financial industry is one of the most highly regulated in the United States, with multiple federal agencies in charge of different aspects of finance. It can be a challenge to sort out how each agency regulates the flow of goods and capital around the world. That maze of regulation is deepened when the businesses involved in a matter are global. For example, the Committee on Foreign Investment in the United States (CFIUS), a federal body, reviews transactions by foreign nationals for any national security concerns. Multiple U.S. agencies are responsible for imports and exports.

Any federal regulator has the power to stop or slow a transaction, grinding business to a halt. Enforcement actions can drag on for quite some time, creating uncertainty. Over all of it, there hangs the threat of expensive civil or criminal penalties.

Trusted Advice

The Eren Law Firm offers tailored, knowledgeable advice in this vitally important area of the law. Using our deep experience as former federal officials and career finance lawyers, we guide clients through the regulatory maze. Our attorneys can help with matters pertaining to:

- All major bank and securities regulators
- CFIUS and the Office of Foreign Assets Control
- Money laundering regulations, including the US Bank Secrecy Act, the USA PATRIOT Act and other laws
- The U.S. Customs and Border Protection Office of Trade
- Export control and treaty compliance regulation through the Commerce Department's Bureau of Industry and Security and the State Department's Arms Control Export Act (the source of the U.S. government's International Traffic in Arms Regulations)
- The Foreign Corrupt Practices Act

We help financial companies, international businesses and others with:

- Understanding the regulatory landscape
- Training, risk assessment, and compliance audits
- Positioning themselves as well as possible for interaction with a regulator
- Defense against regulatory actions before administrative bodies and courts
- Defense of individuals facing civil or criminal actions
- Applications for exceptions or special consideration
- Meeting the requirements of an existing regulatory action
- Issues regarding trade between China and the United States

Global businesses move quickly—and often require discretion. To speak in confidence with the Eren Law Firm about your situation and your options, [contact us](#) about a consultation.