

1 **Diagnostic Accuracy and Potential Resource Savings of Pooled Sputum Testing with Xpert**
2 **MTB/RIF Ultra for Tuberculosis among adults in Vietnam: A Cross-Sectional Study**

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35 **Abstract**

36 **Objectives:**

37 A pooled testing algorithm for tuberculosis (TB), in which sputum specimens from multiple individuals
38 are tested in pools with individual testing of positive pools, can optimise diagnostic resources. This study
39 evaluated the diagnostic accuracy and cartridge savings of pooled testing with the Xpert MTB/RIF Ultra
40 assay (Xpert-Ultra) relative to individual Xpert-Ultra testing.

41 **Methods:**

42 We conducted a cross-sectional study among 2,396 adults (≥ 15 years) with presumptive TB enrolled
43 between July 2024 and February 2025, through facility-based case finding (FBCF) and community-based
44 case finding (CBCF). Participants submitted two sputum specimens. The first underwent individual
45 Xpert-Ultra testing; remnant specimens were combined into four-specimen pools and tested again with
46 Xpert-Ultra. The second specimen was used to inoculate liquid culture (BACTEC MGIT). Data were used
47 to simulate an up-front pooled testing strategy; sensitivity and specificity of this approach was estimated
48 against culture, and cartridge use was compared with individual Xpert-Ultra testing.

49 **Results:**

50 Of 2,396 participants, 395 (16.5%) had a positive Xpert-Ultra and/or culture, including 360/912 (39.5%)
51 in FBCF and 35/1484 (2.4%) in CBCF. The pooled testing approach had sensitivity of 82.4% (95%
52 confidence interval [CI], 77.9-86.3) and specificity of 98.5% (97.8-99.0) compared to culture, with lower
53 sensitivity than individual Xpert-Ultra testing (86.5%, 82.4-89.9) but high specificity (98.1%, 97.4-98.7).
54 Sensitivity of pooled testing was lower in CBCF (59.1%, 36.4-79.3) than in FBCF (84.0%, 79.5-87),
55 whereas cartridge savings were greater in CBCF (69.1% vs 9.6%). The pooling strategy reduced Xpert-
56 Ultra cartridge use by 46.5%, saving USD 14,447.

57 **Conclusions:**

58 Pooled Xpert-Ultra testing among adults appears resource-efficient for TB screening in Vietnam. As
59 sensitivity is lower compared to individual Xpert-Ultra testing, particularly for paucibacillary disease,
60 these losses should be carefully weighed against gains in affordability and expand access to molecular

61 testing. Careful, context-specific implementation is essential to maximise programmatic benefit while
62 minimising missed persons with TB.

63 **Keywords:**

64 Pooled Xpert; Diagnostic pooling; Xpert MTB/RIF Ultra; Community-based case finding; Xpert Ultra;
65 cost avoidance; diagnostic coverage

66 **Introduction**

67 Tuberculosis (TB) remains a significant global health threat. In 2024, 10.7 million people developed TB,
68 and 1.2 million died (1). Vietnam is among the highest TB burden countries, with an estimated incidence
69 rate of 182 per 100,000 population (1).

70 The Xpert MTB/RIF Ultra (Xpert-Ultra) assay is a World Health Organization (WHO) -recommended
71 rapid molecular test for TB (2) with superior performance to smear microscopy (3). However, its use in
72 screening campaigns, particularly in congregate (4), decentralised or community settings (5), and low-
73 incidence settings (6), remains limited due to cost (7), tightening global funding for TB programmes and
74 operational

75 constraints limiting large-scale deployment, including infrastructure and workforce requirements (8).

76 Pooled testing, whereby sputum specimens from multiple individuals are combined and tested as a single
77 specimen, has been proposed to improve testing efficiency (9- 11) and reduce costs (12, 13). This testing
78 strategy has been successfully used in infectious disease programmes, particularly during the COVID-19
79 pandemic (15) and to support TB service continuity (16). Abdurrahman et al. (17) showed that three- and
80 four-specimen pooled testing using the Xpert MTB/RIF (Xpert) assay retained high positive percent
81 agreement (PPA) with individual Xpert testing, while significantly reducing cartridge consumption during
82 an evaluation in Nigeria. Iem et al. (18) reported similar performance and cost savings using pooled
83 testing during community-based case finding (CBCF) in Laos. In light of this growing body of evidence,
84 pooled testing was recently endorsed by the WHO to improve efficiency in TB diagnosis (14).

85 Adoption of pooled testing in high-burden settings such as Vietnam remains limited. The country's
86 National TB Control Programme (NTP) primarily relies on facility-based case finding (FBCF), with
87 CBCF as a complementary strategy to reach underserved populations. Therefore, evidence on the real-
88 world implementation of pooled Xpert-Ultra testing is needed to inform national policy and guide scale-
89 up decisions.

90 **Methods**

91 **Design and aim**

92 We conducted a cross-sectional, multi-site study to compare the diagnostic accuracy and cartridge savings
93 of a theoretical upfront pooled testing strategy using four-specimen pools versus individual Xpert-Ultra
94 testing between July 2024 and February 2025. Four-specimen pools were selected based on prior
95 evidence demonstrating acceptable sensitivity and efficiency (5). Sample size followed the multi-country
96 study protocol, which targeted approximately 600 participants per site; in Vietnam, four sites were
97 included (two FBCF and two CBCF sites), yielding a total sample size of 2,396 participants.

98 **Setting**

99 Study recruitment occurred in Hanoi and Ho Chi Minh City (HCMC), Vietnam's most populous
100 provinces, with a combined population of approximately 20 million and more than 25,000 TB treatment
101 notifications reported in 2024 (19). FBCF was conducted at the Vietnam National Lung Hospital (VNLH)
102 in Hanoi and Pham Ngoc Thach Hospital (PNTH) in HCMC. CBCF events were organised across Hanoi
103 (Ba Dinh, Hoan Kiem, Hoang Mai) and HCMC (Districts 06, 08, Binh Chanh) (Figure 1). All samples
104 collected in Hanoi were processed at the VNLH, and all samples collected in HCMC were processed at
105 PNTH.

106 **Participant recruitment**

107 During FBCF, individuals aged ≥ 15 years presenting with a cough of any duration were eligible for
108 recruitment. Twenty CBCF events were conducted (10 in each province), where individuals aged ≥ 15
109 years were similarly eligible for enrolment. Community members were mobilised by government health
110 staff and local organisations (20, 21). At CBCF, participants underwent verbal WHO-aligned four-
111 symptom screening and chest X-ray (CXR) in accordance with Ministry of Health guidelines (22). CBCF
112 participants were eligible for enrolment if they met any of the following criteria: 1) cough of any duration,
113 fever, weight loss and/or night sweats, 2) abnormal CXR (radiologist and/or qXR computer-aided
114 detection software, Qure.ai, India, threshold ≥ 0.3), 3) contact with a person who had pulmonary TB, and/or

115 4) self-reported HIV infection. Exclusion criteria included receipt of TB treatment within 60 days,
116 inability to produce sputum, and/or severe illness. All participants provided written informed consent.

117 **Sample Collection and Testing**

118 Each participant provided two sputum specimens, collected at least 30 minutes apart. The first specimen
119 was individually tested using the Xpert-Ultra according to manufacturer instructions. The remaining
120 material from the first sputum specimens was then pooled in groups of four (0.5 mL each; total 2 mL) and
121 tested using Xpert-Ultra (Supplementary Figure S1). The second specimen underwent liquid culture
122 (MGIT 960, BACTEC, BD Diagnostics, USA) following standard procedures (23).

123 **Statistical analysis**

124 For descriptive analyses of participant recruitment and testing (Tables 1, 2 and 3), we constructed an
125 expanded microbiological reference standard (eMRS) to classify participants as having TB or not having
126 TB. Participants were defined as TB-positive if they had at least one positive result from individual
127 Xpert-Ultra and/or positive culture. Participants were defined as TB-negative if they had two non-positive
128 results from individual Xpert-Ultra and culture testing. This eMRS was not used to assess the diagnostic
129 accuracy of pooled vs individual Xpert-Ultra testing (Table 4); instead, MGIT culture results were used as
130 the reference standard, in line with World Health Organization guidance on TB diagnostic assay
131 evaluations (2).

132 Participant characteristics were summarised and compared by setting (FBCF vs CBCF) and province
133 (Hanoi vs HCMC), using the chi-square and Mann-Whitney U tests. Pooled test results were summarised
134 by the number of individually positive specimens per pool. Among participants with TB according to the
135 eMRS, pooled semi-quantitative grades were compared with their paired individual test grades.
136 Diagnostic accuracy for a simulated upfront pooled testing strategy, whereby only individuals with
137 positive pooled test results were eligible for follow-on individual testing, was estimated using culture as
138 the reference standard (excluding NTM/contaminated cultures) and compared against the sensitivity and
139 specificity of an individual testing strategy, using generalised estimating equations with robust standard
140 errors, accounting for clustering by participant and stratified by setting and province (24).

141 We estimated cartridge use under an upfront pooled Xpert-Ultra testing approach relative to individual
142 testing. Costs were calculated using the local cartridge price (VND 340,200 per cartridge as of 13/8/2025,
143 Nam Phuong Technique Company Limited; Hanoi, Vietnam; equivalent to USD 12.98 at an exchange
144 rate of USD 1=VND 26,210 per www.xe.com).

145 All analyses were conducted using Stata version 15 (StataCorp, College Station, USA). Statistical tests
146 were two-tailed, and statistical significance was defined as a $p < 0.05$.

147 **Results**

148 Among the 2,396 participants enrolled, 912 (38.1%) were from FBCF and 1,484 (61.9%) from CBCF.
149 Overall, 395 (16.5%) were classified as having TB according to the eMRS, with prevalence of 39.5% in
150 FBCF and 2.4% in CBCF (360/912 vs 35/1,484; $p < 0.001$). The median age was 55 years (interquartile
151 range [IQR] 39.5-66), and 51.9% of participants were male (Table 1).

152 Of the 599 pooled tests performed, 171 (28.5%) had positive Xpert-Ultra results (Table 2). Detection rates
153 increased with the number of positive component specimens: 77.9% (74/95) for pools containing one
154 positive component specimen, 95.9% (47/49) for two, 100% for three (36/36) and four (12/12). Among
155 the 407 pools composed entirely of component specimens that were individually negative on Xpert-Ultra,
156 two (0.5%) produced positive pooled Xpert-Ultra results. Both were from CBCF participants in HCMC
157 and included culture-positive specimens.

158 Among 395 participants with TB according to the eMRS, pooled Xpert-Ultra test results were negative in
159 45 (11.4%) (Table 3). Of the 46 participants with culture-positive but individual Xpert-Ultra-negative
160 results, 20 (43.5%) would never have been individually tested in an upfront pooled testing strategy
161 because their pooled test result was negative, while the remaining 26 (56.5%) would have been
162 individually tested and had their diagnosis missed during individual testing. Similarly, among the 49
163 participants with Trace individual Xpert-Ultra results, pooled test results were negative for 18 (36.7%),
164 resulting in exclusion from follow-on testing (and missed diagnosis) if an upfront pooled testing strategy
165 had been employed. Positive concordance between individual and pooled test results was high for higher
166 semi-quantitative grades. Among participants with Very Low and Low individual results, pooled test

167 results were positive in 36/37 (97.3%) and 129/135 (95.6%), respectively. All participants with Medium
168 (57/57, 100%) and High (71/71, 100%) individual grades had positive pooled test results.

169 A simulated upfront pooled Xpert-Ultra testing strategy had a sensitivity of 82.4% (95% confidence
170 interval [CI]: 77.9-86.3%) and specificity of 98.5% (97.8-99.0%), compared with culture (Table 4).
171 Sensitivity was significantly lower than individual Xpert-Ultra testing (86.5% [82.4-89.9%]; $p < 0.001$),
172 (difference of 4.1%), while specificity was significantly higher (98.1% [97.4-98.7%]; $p = 0.008$)
173 (difference of 0.4%). In FBCF, pooled testing had a sensitivity of 84.0% (79.5-87.8) compared with
174 87.7% (83.6-91.1) for individual testing ($p = 0.001$). During CBCF, sensitivity was 59.1% (36.4-79.3) for
175 pooled testing and 68.2% (45.1-86.1) for individual testing ($p = 0.167$). By province, pooled testing
176 showed a sensitivity of 70.1% (59.0-80.6) in Hanoi and 85.7% (80.8-89.6) in HCMC, compared with
177 81.3% (70.7-89.4) and 87.9% (83.4-91.6), respectively, for individual testing ($p = 0.005$ for Hanoi;
178 $p = 0.014$ for HCMC). Specificity remained high across provinces for both approaches. The distribution of
179 semi-quantitative Xpert-Ultra grades by province is shown in Supplementary Table S1. Compared with
180 HCMC, Hanoi had a higher proportion of culture-positive cases with negative pooled Xpert-Ultra results
181 (26.3% vs 6.7%) and fewer medium/high grades.

182 A simulated upfront pooled Xpert-Ultra testing approach would have reduced cartridge use by 46.5%,
183 from 2,396 to 1,283, yielding an estimated cost saving of USD 14,447, equivalent to USD 6.03 per
184 participant. Reductions in cartridge use and associated costs were more pronounced during CBCF
185 (69.1%) compared to FBCF (9.6%). In FBCF, pooling was associated with cost savings in Hanoi
186 (-34.7%; USD 4.50 per participant) but increased costs in HCMC (+15.4%; USD 1.99 per participant). In
187 contrast, substantial savings were observed in CBCF in both Hanoi (-69.7%; USD 9.05 per participant)
188 and HCMC (-68.4%; USD 8.87 per participant) (Table 5).

189 **Discussion**

190 This cross-sectional diagnostic accuracy study evaluated the performance of four-specimen pooled Xpert-
191 Ultra testing versus individual Xpert-Ultra testing in both community- and facility-based settings. Our

192 findings indicate that pooled testing can substantially reduce cartridge use, but at the expense of
193 sensitivity, particularly in paucibacillary disease.

194 The observed sensitivity of 82.4% for a four-specimen upfront pooled testing strategy relative to culture
195 is comparable to previous studies from Laos (85.7% with four-specimen pools) and Nigeria (86.3% with
196 three- and four-specimen pools); however, those studies reported PPA with Xpert rather than sensitivity
197 against culture (17, 18). Reduced detection among trace-positive results is typically associated with low
198 bacillary burden in asymptomatic or pauci-symptomatic individuals, children, people living with HIV, or
199 after recent TB treatment (<5 years) (25).

200 Whereas the study in Nigeria (17) evaluated pooled testing exclusively in FBCF and the study in Laos
201 (18) focused on CBCF campaigns, our study includes both screening settings. This allowed assessment of
202 pooled Xpert-Ultra performance across heterogeneous TB screening populations, highlighting that
203 pooling may not be appropriate in all settings.

204 Pooled Xpert-Ultra showed higher sensitivity in FBCF than in CBCF. However, efficiency gains from
205 pooling were greater in CBCF, where TB prevalence was lower. Sensitivity varied by province, with
206 lower pooled sensitivity observed in Hanoi than in HCMC, consistent with geographic variation in TB
207 burden in Vietnam (26). This difference appeared to be driven primarily by variation in bacillary burden,
208 reflecting differences in the populations screened, as a higher proportion of lower semi-quantitative
209 grades in Hanoi may increase the likelihood of false-negative pooled test results due to dilution
210 (Supplementary Table S1). These findings suggest that bacillary burden, in addition to TB prevalence,
211 should inform implementation of pooled testing. Pooled testing performed well among individuals with
212 higher bacillary burden, with detection rates of 95.6% for low-grade and 100% for medium and high
213 grades, consistent with prior evidence (27). In contrast, sensitivity declined among participants with trace-
214 positive results and those who were culture-positive but Xpert-Ultra-negative, reflecting the known
215 challenges of detecting paucibacillary disease across diagnostic approaches. The same challenge has been
216 reported with the evaluation of oral and sputum swab, near-point of care molecular assays(28). This

217 supports targeted follow-up or repeat screening after negative pooled results, particularly among
218 individuals with abnormal CXR findings.

219 In FBCF, higher TB prevalence and more advanced disease increase both bacillary burden and the
220 likelihood that pools contain more than one individually positive specimen, thereby partially offsetting
221 the effects of dilution inherent to pooled testing. In contrast, CBCF typically identifies earlier-stage, and
222 often paucibacillary TB. Nearly all positive pools from CBCF contained only a single positive specimen,
223 increasing the risk of false-negative pooled test results due to dilution (8,9). In such settings,
224 complementary strategies, including CXR-based triage or repeat testing among high-risk individuals, may
225 help mitigate missed diagnoses.

226 Beyond diagnostic performance, pooled testing can offer efficiency gains. Our modelling analysis
227 estimated a 46.5% reduction in cartridge use overall, with greater reductions in CBCF (69.1%) than in
228 facility-based screening (9.6%). However, efficiency varied substantially by province within facility-
229 based settings. These findings suggest that pooled testing may be best prioritised in high-volume, low-
230 prevalence settings, such as CBCF campaigns, where a large number of individuals were screened with
231 low TB prevalence, compared to more targeted testing in FBCF. In FBCF, pooling remained cost-saving
232 in Hanoi but was associated with increased costs in HCMC, indicating that pooled testing may be less
233 efficient in higher-burden settings with a greater proportion of positive pools. Our results are consistent
234 with Abdurrahman et al. (17), who reported a 31% cartridge reduction in Nigeria, and Iem et al. (18), who
235 demonstrated a 46% reduction in cartridge use during CBCF campaigns in Laos. These findings highlight
236 that, beyond overall TB prevalence, the distribution of positive pools and underlying bacillary burden are
237 key determinants of pooled testing efficiency. Overall, pooled testing remains economically attractive in
238 resource-limited settings, particularly in low-prevalence contexts.

239 Efficiency gains could be further optimised by leveraging chest radiography and AI-generated risk scores
240 to triage individuals to individual or pooled Xpert-Ultra testing. A modelling study showed greater
241 cartridge savings than universal upfront testing through risk stratification, concentrating pooled testing in
242 lower-risk groups (29). This strategy may be particularly relevant in higher-burden facility-based settings,

243 where indiscriminate pooled testing may be inefficient. It may also extend pooled testing to higher-
244 prevalence settings; however, real-world performance and feasibility warrant prospective evaluation (29).
245 Additional strategies, including repeat or targeted re-screening of high-risk groups and adjunctive testing
246 (eg, urine LAM in people living with HIV) (29), may also help mitigate missed cases in CBCF settings
247 (30). Similar challenges occur with other approaches for paucibacillary disease, including oral swab-
248 based testing, suggesting no single strategy fully addresses this limitation (28).

249 Our findings highlight the need to balance diagnostic sensitivity against efficiency gains. At an individual
250 level, pooled testing is less sensitive, particularly for people with paucibacillary disease. At a programme
251 level, its value depends on whether efficiency gains are used to expand access to molecular testing. If
252 pooled testing replaces, rather than expands, existing testing capacity, reduced sensitivity may result in
253 fewer individuals being diagnosed with TB. Effective programmatic implementation requires careful
254 integration within diagnostic algorithms, including clinical assessment, CXR-based triage, and follow-up
255 testing after negative pooled results. Linkage to care and treatment follow-up should be ensured.
256 Acceptability and ethical implications of reduced sensitivity warrant further study.

257 Strengths of this study include its large sample, the inclusion of both community- and facility-based
258 screening, and the use of culture as the reference standard. Several limitations should be acknowledged.
259 First, children and other priority populations like PLHIV were not included, limiting generalisability to
260 specific populations. Given the reduced sensitivity of pooled Xpert-Ultra testing for paucibacillary
261 disease and the challenges of specimen collection in children, caution is warranted when considering
262 pooled testing in this vulnerable group. Second, the study population was subject to selection bias, with a
263 high proportion of TB-positive individuals recruited from high-volume, urban health facilities who are
264 likely to have been pre-screened for TB at lower-level healthcare facilities and referred. Third, facility-
265 based screening primarily included symptomatic individuals with cough, which may have contributed to
266 higher detection rates compared with lower-level facilities or routine programmatic testing. Consequently,
267 the performance of pooled testing observed here may not be generalisable to other facility-based settings
268 with lower TB prevalence or milder disease profiles. Fourth, the economic analysis was limited to

269 cartridge savings and did not account for broader health system costs or consequences of missed
270 diagnoses, underscoring the need for future cost-effectiveness evaluations under routine programmatic
271 conditions.

272 **Conclusion**

273 Upfront pooled Xpert-Ultra testing can improve programmatic efficiency by reducing cartridge use and
274 costs, but lowers sensitivity, particularly for people with paucibacillary disease. Sensitivity losses were
275 most pronounced during CBCF, whereas efficiency gains were limited in FBCF with higher TB
276 prevalence. These findings highlight the importance of careful, context-specific implementation,
277 supported by risk stratification, to balance efficiency gains against missed diagnoses. Pooled testing
278 strategies should be integrated with complementary approaches, including repeat or targeted re-screening
279 of high-risk groups and adjunctive testing. To achieve population-level benefit, efficiency gains from
280 pooled testing should be used to expand screening coverage rather than replace existing testing capacity.

281 **Declaration**

282 **Ethics Approval and Consent to Participate**

283 Ethics approval was obtained from the institutional review boards of the Liverpool School of Tropical
284 Medicine (22-084), the World Health Organisation (ERC.0003921 for the master protocol and
285 ERC.0004084 for Vietnam protocol), the Vietnam National Lung Hospital (Approval No. 66/23/CN-
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287 **Conflict of interest**

288 HTN, AJC, LNQV, NTTN, RF, AICA, RLB, VI and TW were funded through the Start4All project. All
289 other authors declare no competing interests.

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309 **Access to data**

310 De-identified participant data were accessed and analysed within approved research collaborations. Data
311 are not publicly available, and any further access is subject to approval by the relevant Vietnamese
312 authorities.

313 **Contributors**

314 AJC, HN, LNQV, and TW conceived the study and drafted the protocol. HN and AJC led manuscript
315 preparation and jointly conducted the analyses. HN, LNQV, and AJC oversaw enrolment and
316 coordination. NBH, DVL, and TW, together with LNQV, supervised the work. HBN, LVD, and HTD
317 provided resources and facilitated study implementation through the National TB Programme. TW and
318 LNQV were responsible for funding acquisition and resource mobilisation. HN, AJC, LNQV, and LDF
319 verified the data. All authors contributed to data interpretation, reviewed the manuscript critically for
320 important intellectual content, and approved the final version.

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