

XAVIER BECERRA  
Attorney General of California  
NICKLAS A. AKERS  
Senior Assistant Attorney General  
JUDITH A. FIORENTINI (CA Bar No. 201747)  
Supervising Deputy Attorney General  
JON F. WORM (CA Bar No. 248260)  
LAUREL M. CARNES (CA Bar No. 285690)  
Deputy Attorneys General  
600 West Broadway, Suite 1800  
San Diego, CA 92101  
Telephone: (619) 738-9325  
Email: judith.fiorentini@doj.ca.gov  
Email: jon.worm@doj.ca.gov  
Email: laurel.carnes@doj.ca.gov

*Attorneys for Plaintiff the People of the State of California*

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

IN RE: CHRYSLER-DODGE-JEEP  
ECODIESEL MARKETING, SALES  
PRACTICES, AND PRODUCTS  
LIABILITY LITIGATION

This matter relates to:  
*People of the State of California v. Fiat  
Chrysler Automobiles, et al.*  
3:19-CV-00151

No. 3:17-md-02777-EMC

**CALIFORNIA'S NOTICE OF LODGING OF  
FIRST CALIFORNIA PARTIAL CONSENT  
DECREE**

Hon. Edward M. Chen

1 The People of the State of California, both by and through Xavier Becerra, Attorney  
 2 General of the State of California, and by and through the California Air Resources Board  
 3 (“CARB”), represented by the Office of the California Attorney General (together, “California”),  
 4 respectfully request that the proposed First California Partial Consent Decree, attached to this  
 5 Notice, be lodged with the Court. As set forth in the consent decree, if accepted and entered by  
 6 the Court, this consent decree will resolve certain claims asserted by the People of the State of  
 7 California against Fiat Chrysler Automobiles N.V. (“FCA NV”), FCA US LLC (“FCA US”),  
 8 V.M. Motori S.p.A (“VM Italy”), and V.M. North America, Inc. (“VM North America”).

9 California intends to submit a motion requesting entry of the First California Partial  
 10 Consent Decree at a future date.

11 Dated: July 10, 2019

Respectfully submitted,

XAVIER BECERRA  
 Attorney General of California  
 NICKLAS A. AKERS  
 Senior Assistant Attorneys General  
 JUDITH A. FIORENTINI  
 Supervising Deputy Attorney General

/s/ Jon F. Worm  
 JON F. WORM  
 LAUREL M. CARNES  
 Deputy Attorneys General  
*Attorneys for the People of the State of  
 California*

**CERTIFICATE OF SERVICE**

I hereby certify that, on January 10, 2019, I electronically filed the foregoing Notice of Lodging and attachment with the Clerk of the Court and all parties of record using the ECF system.

/s/ Jon F. Worm  
JON F. WORM

XAVIER BECERRA  
Attorney General of California  
NICKLAS A. AKERS  
Senior Assistant Attorney General  
JUDITH A. FIORENTINI (CA Bar No. 201747)  
Supervising Deputy Attorney General  
JON F. WORM (CA Bar No. 248260)  
LAUREL M. CARNES (CA Bar No. 285690)  
Deputy Attorneys General  
600 West Broadway, Suite 1800  
San Diego, CA 92101  
Telephone: (619) 738-9325  
Email: judith.fiorentini@doj.ca.gov  
Email: jon.worm@doj.ca.gov  
Email: laurel.carnes@doj.ca.gov

*Attorneys for Plaintiff the People of the State of California*

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

PEOPLE OF THE STATE OF  
CALIFORNIA,

Plaintiff,

v.

FIAT CHRYSLER AUTOMOBILES  
N.V., FCA US LLC, V.M. MOTORI  
S.p.A., and V.M. NORTH AMERICA,  
INC.,

Defendants.

CASE NO. 3:19-CV-00151

FIRST CALIFORNIA PARTIAL CONSENT  
DECREE

**WHEREAS**, Plaintiff the People of the State of California (the “State of California”),  
acting by and through Xavier Becerra, Attorney General of the State of California (“the California  
Attorney General,” as specifically defined below), and the California Air Resources Board  
(“CARB,” as specifically defined below) (collectively “California”) filed a complaint (the

1 “California Complaint”) in this action on January 9, 2019, against Fiat Chrysler Automobiles  
 2 N.V., FCA US LLC, V.M. Motori S.p.A, and V.M. North America, Inc. (collectively,  
 3 “Defendants,” as defined specifically below), alleging in relevant part that, in connection with the  
 4 certification, marketing, distribution, and sale in California of approximately 14,000 model year  
 5 (“MY”) 2014 to 2016 Ram 1500 and MY 2014 to 2016 Jeep Grand Cherokee vehicles equipped  
 6 with 3.0 liter EcoDiesel engines (the “Subject Vehicles,” as specifically defined below),  
 7 Defendants violated 42 U.S.C. § 7604(a)(1); California Health and Safety Code §§ 43016, 43151,  
 8 43152, 43153, 43154, 43205, 43211, and 43212; 13 C.C.R. §§ 1961, 1961.2, 1965, 1968.2, and  
 9 2037 and the 40 C.F.R. sections incorporated therein by reference; and California Business and  
 10 Professions Code §§ 17200 et seq., 17500 et seq., and 17580.5 (the “California Claims”);

11 **WHEREAS**, the California Complaint alleges, in relevant part, that each Subject Vehicle  
 12 contains, as part of the electronic control module, certain software functions and calibrations that  
 13 cause the emission control system of those vehicles to perform differently (i.e., to underperform  
 14 or shut off) during normal vehicle operation and use, as compared to during emissions testing.  
 15 The California Complaint alleges that these software functions and calibrations are undisclosed  
 16 Auxiliary Emission Control Devices (“AECDS”) in violation of California and federal law, and  
 17 that they are also prohibited defeat devices under California and federal law. The California  
 18 Complaint also alleges that during normal vehicle operation and use, the Subject Vehicles emit  
 19 increased levels of oxides of nitrogen (“NOx”). The California Complaint seeks, among other  
 20 things, civil penalties, injunctive relief, mitigation, costs and other equitable relief related to the  
 21 presence of the defeat devices in the Subject Vehicles;

22 **WHEREAS**, Defendants and California (together, the “Parties”) intend to resolve certain  
 23 aspects of the California Claims through the entry of a consent decree among the United States,  
 24 California, and the Defendants (the “FCA/US/CA Consent Decree”) concerning the Subject  
 25 Vehicles, lodged on January 10, 2019, that, among other things, establishes a recall program  
 26 offering consumers an approved emissions modification to be applied to the Subject Vehicles,  
 27 establishes a post-entry testing program to ensure continued compliance and durability of  
 28 modified Subject Vehicles, requires Defendants to implement certain corporate compliance

1 reforms, and requires Defendants to make a civil penalty payment of \$42,700,000 to CARB and a  
 2 separate penalty payment of \$3,175,200 to CARB for certain additional on-board diagnostic  
 3 (“OBD”) non-compliances;

4 **WHEREAS**, Defendants and California have agreed to resolve certain remaining aspects  
 5 of the California Claims related to the Subject Vehicles without the need for litigation through  
 6 this partial consent decree (“First California Partial Consent Decree”);

7 **WHEREAS**, this First California Partial Consent Decree (referred to herein as the  
 8 “Consent Decree”) provides relief to California in the form of environmental mitigation funds  
 9 that are intended to fully mitigate the total lifetime excess NOx emissions from Subject Vehicles  
 10 in California, as claimed by California;

11 **WHEREAS**, nothing in this Consent Decree shall constitute an admission of any fact or  
 12 law by any Party, including as to any factual or legal assertion set forth in the California  
 13 Complaint, except for the purpose of enforcing the terms or conditions set forth herein;

14 **WHEREAS**, the Parties recognize, and the Court by entering this Consent Decree finds,  
 15 that this Consent Decree has been negotiated by the Parties in good faith and will avoid litigation  
 16 among the Parties regarding certain aspects of the California Claims, and that this Consent Decree  
 17 is fair, reasonable, and in the public interest; and

18 **WHEREAS**, this Consent Decree and the FCA/US/CA Consent Decree together form an  
 19 integrated resolution of certain aspects of the California Claims, and that, as set forth herein, this  
 20 Consent Decree will not become effective unless and until the FCA/US/CA Consent Decree is  
 21 entered or the Court grants a motion entering the FCA/US/CA Consent Decree.

22 **NOW, THEREFORE**, before the taking of any testimony, without the adjudication of  
 23 any issue of fact or law, and with the consent of the Parties, **IT IS HEREBY ADJUDGED,**  
 24 **ORDERED, AND DECREED** as follows:

#### 25 **I. JURISDICTION AND VENUE**

26 1. The Court has jurisdiction over the subject matter of this action pursuant to 28  
 27 U.S.C. § 1331, and over the Parties to the extent limited by this paragraph. Venue lies in this  
 28 District pursuant to 28 U.S.C. § 1391(b), 28 U.S.C. § 1407, and the MDL Panel’s Transfer Order,

1 dated April 5, 2017. *In re Chrysler-Dodge-Jeep EcoDiesel Mktg., Sales Practices & Prod. Liab.*  
 2 *Litig.*, 273 F. Supp. 3d 1377 (U.S. Jud. Pan. Mult. Lit. 2017) (MDL No. 2777). The Court has  
 3 supplemental jurisdiction over California's state law claims pursuant to 28 U.S.C. § 1367.

4 Defendants consent to the Court's jurisdiction over entry of this Consent Decree and over any  
 5 action against Defendants to enforce this Consent Decree, and consent to venue in this judicial  
 6 district for such purposes. Defendants reserve the right to challenge and oppose any claims to  
 7 jurisdiction by California that (i) do not arise from the Court's jurisdiction over this Consent  
 8 Decree or (ii) are not made in an action by California to enforce this Consent Decree.

9 2. Solely for purposes of this Consent Decree, without admission of any legal or  
 10 factual assertion set forth in the California Complaint, and without prejudice to their ability to  
 11 contest the legal sufficiency or merits of a complaint in any other proceeding, Defendants do not  
 12 contest that the California Complaint states claims upon which relief may be granted pursuant to:  
 13 42 U.S.C. § 7604(a)(1); California Health and Safety Code §§ 43016, 43151, 43152, 43153,  
 14 43154, 43205, 43211, and 43212; 13 C.C.R. §§ 1961, 1961.2, 1965, 1968.2, and 2037, and the 40  
 15 C.F.R. sections incorporated therein by reference; and California Business and Professions Code  
 16 §§ 17200 et seq., 17500 et seq., and 17580.5.

## 17 **II. APPLICABILITY**

18 3. The obligations of this Consent Decree apply to and are binding upon the State of  
 19 California, including the California Air Resources Board, and upon Defendants, as applicable,  
 20 and any of their respective successors, assigns, or other entities or persons otherwise bound by  
 21 law.

22 4. Defendants' obligations to comply with the requirements of this Consent Decree  
 23 are joint and several. In the event of the insolvency of any Defendant or the failure by any  
 24 Defendant to implement any requirement of this Consent Decree, the remaining Defendants shall  
 25 complete all such requirements.

26 5. Defendants shall do all things within their power and authority to ensure that any  
 27 legal successor or assign of any Defendant shall remain jointly and severally liable for the  
 28 payment and other performance obligations hereunder. Defendants shall include an agreement to

so remain liable in the terms of any sale, acquisition, merger, or other transaction changing the ownership or control of any of the Defendants, to which any of them is a party, and no change in the ownership or control of any Defendant shall affect the obligations hereunder of any Defendant without modification of this Consent Decree in accordance with Section XI.

6. In any action to enforce this Consent Decree, Defendants shall not raise as a defense the failure by any of their respective officers, directors, employees, agents, or contractors to take any actions necessary to comply with the provisions of this Consent Decree.

### III. DEFINITIONS

7. Terms that are defined in this Consent Decree are defined for purposes of this Consent Decree only and are not applicable for any other purpose. Whenever the terms set forth below are used in this Consent Decree, the following definitions shall apply:

a. “California” means the People of the State of California, acting by and through Xavier Becerra, Attorney General of the State of California, and the California Air Resources Board;

b. “California Complaint” means the complaint filed by California in this action;

c. “CARB” means the California Air Resources Board and any of its successor departments or agencies;

d. “Consent Decree” or “First California Partial Consent Decree” means this partial consent decree;

e. “Day” or “day” means a calendar day unless expressly stated to be a business day. In computing any period of time under this Consent Decree, where the last day would fall on a Saturday, Sunday, or federal holiday, the period shall run until the close of business of the next business day;

f. “Defendants” means FCA US LLC, Fiat Chrysler Automobiles N.V., V.M. Motori S.P.A., and V.M. North America, Inc.;

g. “DPF System” means all hardware, components, parts, sensors, subassemblies, software, AECDs, calibrations, and other elements of design that collectively



constitute the system for controlling emissions of particulate matter by trapping such particulates in a filter and periodically oxidizing them through thermal regeneration of the filter;

h. “Effective Date” has the meaning set forth in Section VII below;

i. “Original Configuration” means the configuration of software and calibrations in the ECU and TCU of the Subject Vehicles that will be updated and replaced by Final Carryback Configuration in accordance with the terms of the Consent Decree;

j. “Subject Vehicles” means each and every MY 2014 to 2016 Jeep Grand Cherokee and Ram 1500 equipped with a 3.0 liter EcoDiesel engine and sold or offered for sale, or introduced or delivered for introduction into commerce, or registered in the United States or its Territories, or imported into the United States or its Territories, and that was identified by Defendants as covered by one of the following test groups: ECRXT03.05PV, FCRXT03.05PV, or GCRXT03.05PV.

#### IV. CALIFORNIA MITIGATION PAYMENT

8. **California Mitigation Payment.** Within 30 days of the Effective Date (as described in Section VII below), or 30 days of the entry of this Consent Decree, whichever comes later, Defendants shall pay directly to CARB the sum of \$19,035,000 to be used to fund mitigation actions or projects that reduce NOx emissions in California. These funds, as well as all funds paid to CARB in the FCA/US/CA Consent Decree, shall be deposited into the Air Pollution Control Fund to ensure that CARB staff can continue the integrity of its mobile source emissions control program through additional certification review, in-use evaluation, real-world testing, and enforcement actions.

9. **Stipulated Penalties for Late California Mitigation Payment.** If Defendants fail to pay the California Mitigation Payment required under Paragraph 8 when due, then Defendants shall, in addition to the California Mitigation Payment, pay stipulated penalties directly to CARB as follows:

<u>Penalty Per Day</u>	<u>Period of Noncompliance</u>
\$20,000	1st through 30th day
\$40,000	31st through 45th day
\$80,000	46th day and beyond.

10. Payments required to be made under this Consent Decree shall be issued to CARB by check, accompanied by a Payment Transmittal Form (which CARB will provide to the addressee listed in Section VI (Notices) after the Effective Date), mailed to:

Air Resources Board, Accounting Branch  
P.O. Box 1436  
Sacramento, CA 95812-1436;

or by wire transfer, in which case Defendants shall use the following wire transfer information and send the Payment Transmittal Form to the above address prior to each wire transfer:

State of California Air Resources Board  
c/o Bank of America, Inter Branch to 0148  
Routing No. 0260-0959-3 Account No. 01482-80005  
Notice of Transfer: Yogeeta Sharma Fax: (916) 322-9612

Defendants are responsible for any bank charges incurred for processing wire transfers.

11. For purposes of the identification requirement of Section 162(f)(2)(A)(ii) of the Internal Revenue Code, 26 U.S.C. § 162(f)(2)(A)(ii), performance of Paragraphs 8-10 (California Mitigation Payment) is required to come into compliance with law.

#### **V. EFFECT OF SETTLEMENT/RESERVATION OF RIGHTS**

12. Upon the Effective Date, and subject to the reservations in Paragraph 13, Defendants' satisfaction of the requirements in this Consent Decree shall resolve, settle, and release California's claims, asserted by and through CARB, pursuant to the California Health and Safety Code for further injunctive relief to fully mitigate the total lifetime excess emissions in California from the Subject Vehicles against Defendants, their successors, and all affiliates, parents, or subsidiaries of Defendants identified in Appendix F of the FCA/US/CA Consent Decree ("Appendix F"), and any present or former directors, officers, or employees of Defendants or their affiliates, parents, or subsidiaries identified in Appendix F, provided that such persons were acting within the scope of their employment, for: (a) the violations alleged in the California Complaint, (b) violations arising from or relating to the Original Configuration of the emission control system for the Subject Vehicles, (c) violations arising from or relating to the adjustment factor for infrequent regeneration of the DPF System in the Subject Vehicles, and (d) violations

arising from or relating to Defendants' applications for an Executive Order for the Subject Vehicles in MYs 2014 to 2016 and any information provided to CARB for the purpose of securing such Executive Orders.

13. To the extent that any claims released herein are duplicative of claims to be released, settled, or resolved by California under the FCA/US/CA Consent Decree, such claims are not released unless or until the Court enters or grants a motion to enter the FCA/US/CA Consent Decree.

14. California reserves, and this Consent Decree is without prejudice to, all claims, rights, and remedies against Defendants with respect to all matters not expressly resolved in Paragraph 12. Notwithstanding any other provision of this Decree, California reserves all claims, rights, and remedies against Defendants with respect to:

- a. Enforcement of the terms of this Consent Decree;
- b. All rights reserved by California under the FCA/US/CA Consent Decree and the Second California Partial Consent Decree, except as provided under this Consent Decree, including Paragraph 12 of this Consent Decree;
- c. All claims for relief based on Defendants' violations of California Business and Professions Code §§ 17200, 17500, and 17580.5 as alleged in California's Complaint, including civil penalties, injunctive relief, and relief to consumers (including without limitation claims for restitution), which claims shall be fully resolved and released upon entry of the proposed Second California Partial Consent Decree;
- d. Cost and attorneys' fees other than those released in the FCA/US/CA Consent Decree, including as alleged in the California Complaint;
- e. Any criminal liability;
- f. Any part of any claims for the violations of securities laws or the California False Claims Act, California Government Code §§ 12650 *et seq.*;
- g. Any other claims of any officer or agency of the State of California other than CARB; and
- h. Any claims held by individual consumers.

1           15.       This Consent Decree, including the release set forth in Paragraph 12, does not  
2 modify, abrogate, or otherwise limit the injunctive and other relief to be provided by Defendants  
3 under, nor any obligation of any party or person under, the FCA/US/CA Consent Decree.

4           16.       By entering into this Consent Decree, California is not enforcing the laws of other  
5 countries, including the emissions laws or regulations of any jurisdiction outside the United  
6 States. Nothing in this Consent Decree is intended to apply to, or affect, Defendants' obligations  
7 under the laws or regulations of any jurisdiction outside the United States. At the same time, the  
8 laws and regulations of other countries shall not affect Defendants' obligations under this Consent  
9 Decree.

10          17.       This Consent Decree shall not be construed to limit the rights of California to  
11 obtain penalties or injunctive relief, except as specifically provided in Paragraph 12. California  
12 further reserves all legal and equitable remedies to address any imminent and substantial  
13 endangerment to the public health or welfare or the environment arising at any of Defendants'  
14 facilities, or posed by the Subject Vehicles, whether related to the violations addressed in this  
15 Consent Decree or otherwise.

16          18.       In any subsequent judicial proceeding initiated by California for injunctive relief,  
17 civil penalties, or other relief, Defendants shall not assert, and may not maintain, any defense or  
18 claim based upon the principles of waiver, res judicata, collateral estoppel, issue preclusion, claim  
19 preclusion, claim-splitting, or other defenses based upon any contention that the claims raised by  
20 California in the subsequent proceeding were or should have been brought in the instant case,  
21 except with respect to the claims that have been specifically released pursuant to Paragraph 12.

22          19.       This Consent Decree is not a permit, or a modification of any permit, under any  
23 federal, State, or local laws or regulations. Defendants are each responsible for achieving and  
24 maintaining complete compliance with all applicable federal, State, and local laws, regulations,  
25 and permits; and Defendants' compliance with this Consent Decree shall be no defense to any  
26 action commenced pursuant to any such laws, regulations, or permits, except as set forth herein.  
27 California does not, by its consent to the entry of this Consent Decree, warrant or aver in any  
28 manner that Defendants' compliance with any aspect of this Consent Decree will result in

1 compliance with provisions of the Clean Air Act, or with any other provisions of United States,  
2 State, or local laws, regulations, or permits.

3 20. Nothing in this Consent Decree releases any private rights of action asserted by  
4 entities or persons not releasing claims under this Consent Decree, nor does this Consent Decree  
5 limit any defense available to Defendants in any such action.

6 21. This Consent Decree does not limit or affect the rights of Defendants or of  
7 California against any third parties, not party to this Consent Decree, nor does it limit the rights of  
8 third parties, not party to this Consent Decree, against Defendants, except as otherwise provided  
9 by law.

10 22. Except for any person or entity released by Paragraph 12, this Consent Decree  
11 shall not be construed to create rights in, or grant any cause of action to, any third party not a  
12 party to this Consent Decree. No such third party shall be entitled to enforce any aspect of this  
13 Consent Decree or claim any legal or equitable injury for a violation of this Consent Decree.

14 23. Nothing in this Consent Decree shall be construed as a waiver or limitation of any  
15 defense or cause of action otherwise available to Defendants in any action. This Agreement is  
16 made without trial or adjudication of any issue of fact or law, without any admission of fact or  
17 law, and without any finding of liability of any kind.

## 18 VI. NOTICES

19 24. Except as specified elsewhere in this Consent Decree, whenever any notification,  
20 or other communication is required by this Consent Decree, or whenever any communication is  
21 required in any action or proceeding related to or bearing upon this Consent Decree or the rights  
22 or obligations thereunder, it shall be made in writing (except that if any attachment is voluminous,  
23 it shall be provided on a disk, hard drive, or other equivalent successor technology), and shall be  
24 addressed as follows:

25 As to California: CARB and California Attorney General at the email  
26 and mail addresses below, as applicable

27 As to CARB by email: ellen.peter@arb.ca.gov  
28

<p>1 As to CARB by mail:</p> <p>2</p> <p>3</p> <p>4 As to the California Attorney</p> <p>5 General by email:</p> <p>6</p> <p>7 As to California Attorney General</p> <p>8 by mail:</p> <p>9</p> <p>10</p> <p>11</p> <p>12</p> <p>13 As to one or more of the</p> <p>14 Defendants by email:</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19 As to one or more of the</p> <p>20 Defendants by mail:</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p>	<p>Chief Counsel California Air Resources Board Legal Office 1001 I Street Sacramento, CA 95814</p> <p>judith.fiorentini@doj.ca.gov jon.worm@doj.ca.gov laurel.carnes@doj.ca.gov</p> <p>Judith Fiorentini Supervising Deputy Attorney General Consumer Law Section California Department of Justice 600 West Broadway, Suite 1800 San Diego, CA 92101</p> <p>Senior Assistant Attorney General Natural Resources Section Office of the Attorney General P.O. Box 944255 Sacramento, CA 94244-2550</p> <p>Robert J. Giuffra, Jr. Scott D. Miller Granta Nakayama Joseph A. Eisert</p> <p>giuffrar@sullcrom.com millersc@sullcrom.com gnakayama@kslaw.com jeisert@kslaw.com</p> <p>Robert J. Giuffra, Jr. Scott D. Miller Sullivan &amp; Cromwell LLP 125 Broad Street New York, New York 10004</p> <p>Granta Y. Nakayama Joseph A. Eisert King &amp; Spalding LLP 1700 Pennsylvania Ave., N.W., Suite 200 Washington, DC 20006</p>
--	---

26 25. Any party may, by written notice to the other parties, change its designated notice

27 recipient or notice address provided above.

28

1 **VII. EFFECTIVE DATE**

2 26. The Effective Date of this Consent Decree shall be deemed to be the Effective  
3 Date of the FCA/US/CA Consent Decree. If the Effective Date of the FCA/US/CA Consent  
4 Decree occurs before this Consent Decree is entered or the Court grants a motion to enter this  
5 Consent Decree, the Parties shall have no obligations under this Consent Decree until it is entered  
6 or the Court grants a motion to enter this Consent Decree.

7 **VIII. RETENTION OF JURISDICTION**

8 27. The Court shall retain jurisdiction over this case until termination of this Consent  
9 Decree, for the purpose of resolving disputes arising under this Consent Decree, entering orders  
10 modifying this Consent Decree, or effectuating or enforcing compliance with the terms of this  
11 Consent Decree.

12 **IX. SIGNATORIES/SERVICE**

13 28. Each undersigned representative of Defendants and California certifies that he or  
14 she is fully authorized to enter into the terms and conditions of this Consent Decree and to  
15 execute and legally bind the Party he or she represents to this document. The California Attorney  
16 General and CARB represent that they have the authority to execute this Consent Decree on  
17 behalf of the State of California and that, upon entry, this Consent Decree is a binding obligation  
18 enforceable against the State of California under applicable law.

19 29. This Consent Decree may be signed in counterparts, and its validity shall not be  
20 challenged on that basis. For purposes of this Consent Decree, a signature page that is  
21 transmitted electronically (*e.g.*, by facsimile or e-mailed “PDF”) shall have the same effect as an  
22 original.

23 **X. INTEGRATION**

24 30. This Consent Decree constitutes the final, complete, and exclusive agreement and  
25 understanding among the Parties with respect to the settlement embodied in this Consent Decree  
26 and supersedes all prior agreements and understandings, whether oral or written, concerning the  
27 settlement embodied herein, with the exception of the FCA/US/CA Consent Decree. The Parties  
28 acknowledge that there are no documents, representations, inducements, agreements,

1 understandings or promises that constitute any part of this Consent Decree or the settlement it  
2 represents other than those expressly contained or referenced in this Consent Decree.

3 **XI. MODIFICATION**

4 31. The terms of this Consent Decree may be modified only by a subsequent written  
5 agreement signed by the Parties. Where the modification constitutes a material change to this  
6 Decree, it shall be effective only upon approval by the Court. California will file any non-  
7 material modifications with the Court.

8 **XII. TERMINATION**

9 32. Termination of this Consent Decree shall occur upon Defendants' submission of  
10 the California Mitigation Payment and any stipulated penalties required under Paragraphs 8  
11 and 9.

12 **XIII. FINAL JUDGMENT**

13 33. Upon approval and entry of this Consent Decree by the Court, this Consent  
14 Decree shall constitute a final judgment of the Court as to California and the Defendants. The  
15 Court finds that there is no just reason for delay and therefore enters this judgment as a final  
16 judgment under Fed. R. Civ. P. 54 and 58.

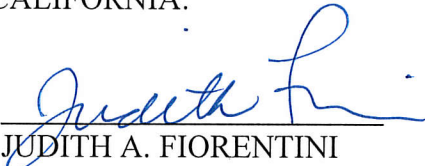
17  
18 Dated and entered this \_\_ day of \_\_\_\_\_, 2019,

19  
20  
21 EDWARD M. CHEN  
22 UNITED STATES DISTRICT JUDGE  
23  
24  
25  
26  
27  
28



1 FOR THE PEOPLE OF THE STATE OF CALIFORNIA BY AND THROUGH THE  
2 CALIFORNIA AIR RESOURCES BOARD AND XAVIER BECERRA, ATTORNEY  
3 GENERAL OF THE STATE OF CALIFORNIA:

4 Jan. 9, 2019  
5 Date

  
JUDITH A. FIORENTINI  
Supervising Deputy Attorney General  
JON F. WORM  
LAUREL M. CARNES  
Deputy Attorneys General  
California Department of Justice  
Office of the Attorney General  
600 West Broadway, Suite 1800  
San Diego, CA 92101

10 *Attorneys for Plaintiff the People of the State of California*

1  
2 1/9/2019  
3 Date

Mary D. Nichols  
MARY D. NICHOLS  
Chair  
California Air Resources Board  
1001 I Street  
Sacramento CA 95814

4  
5  
6 1/9/2019  
7 Date

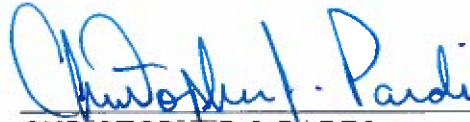
Richard W. Corey  
RICHARD W. COREY  
Executive Officer  
California Air Resources Board  
1001 I Street  
Sacramento CA 95814

8  
9  
10 1/9/2019  
11 Date

Ellen M. Peter  
ELLEN M. PETER  
Chief Counsel  
D. ARON LIVINGSTON  
Assistant Chief Counsel  
DIANE KIYOTA  
Senior Attorney  
California Air Resources Board  
1001 I Street  
Sacramento CA 95814

1 FOR DEFENDANTS:

2 FOR FCA US LLC:

3  
4 

5 CHRISTOPHER J. PARDI  
6 NAFTA General Counsel and Secretary  
7

8 FOR FIAT CHRYSLER AUTOMOBILES N.V.:  
9

10  
11  
12 \_\_\_\_\_  
13 GIORGIO FOSSATI  
14 Corporate General Counsel and Secretary  
15

16 FOR V.M. MOTORI S.P.A.:  
17

18 \_\_\_\_\_  
19 GIORGIO FOSSATI  
20 Attorney-in-Fact  
21

22 FOR V.M. NORTH AMERICA, INC.:  
23

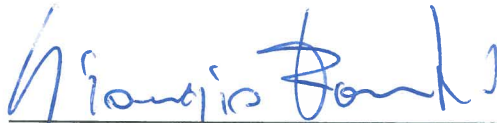
24 \_\_\_\_\_  
25 GIORGIO FOSSATI  
26 Attorney-in-Fact  
27  
28

1 FOR DEFENDANTS:

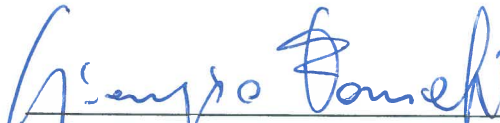
2 FOR FCA US LLC:

3  
4  
5 CHRISTOPHER J. PARDI  
6 NAFTA General Counsel and Secretary  
7


8 FOR FIAT CHRYSLER AUTOMOBILES N.V.:

9  
10   
11 GIORGIO FOSSATI  
12 Corporate General Counsel and Secretary  
13

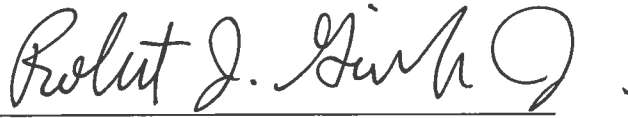
14  
15 FOR V.M. MOTORI S.P.A.:

16  
17   
18 GIORGIO FOSSATI  
19 Attorney-in-Fact  
20

21  
22 FOR V.M. NORTH AMERICA, INC.:

23   
24 GIORGIO FOSSATI  
25 Attorney-in-Fact  
26  
27  
28

1 COUNSEL FOR FCA US LLC, FIAT CHRYSLER AUTOMOBILES N.V., V.M. MOTORI  
2 S.P.A., and V.M. NORTH AMERICA, INC.:

3   
4

5 ROBERT J. GIUFFRA, JR.  
6 SCOTT D. MILLER  
7 DAVID M.J. REIN  
8 C. MEGAN BRADLEY  
9 Sullivan & Cromwell LLP  
10 125 Broad Street  
11 New York, New York 10004  
12 giuffrar@sullcrom.com  
13 millersc@sullcrom.com  
14 reind@sullcrom.com  
15 bradleyc@sullcrom.com

16 GRANTA Y. NAKAYAMA  
17 JOSEPH A. EISERT  
18 ILANA S. SALTZBART  
19 King & Spalding LLP  
20 1700 Pennsylvania Ave., N.W., Suite 200  
21 Washington, DC 20006  
22 gnakayama@kslaw.com  
23 jeisert@kslaw.com  
24 isaltzbart@kslaw.com  
25  
26  
27  
28

1 COUNSEL FOR FCA US LLC, FIAT CHRYSLER AUTOMOBILES N.V., V.M. MOTORI  
2 S.P.A., and V.M. NORTH AMERICA, INC.:

3  
4  
5 ROBERT J. GIUFFRA, JR.  
6 SCOTT D. MILLER  
7 DAVID M.J. REIN  
8 C. MEGAN BRADLEY  
9 Sullivan & Cromwell LLP  
10 125 Broad Street  
11 New York, New York 10004  
12 giuffrar@sullcrom.com  
13 millersc@sullcrom.com  
14 reind@sullcrom.com  
15 bradleyc@sullcrom.com

16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
  
GRANTA Y NAKAYAMA  
JOSEPH A. EISERT  
ILANA S. SALTZBART  
King & Spalding LLP  
1700 Pennsylvania Ave., N.W., Suite 200  
Washington, DC 20006  
gnakayama@kslaw.com  
jeisert@kslaw.com  
isaltzbart@kslaw.com