

# Quantum Fluctuations in de Sitter Space (do not happen)

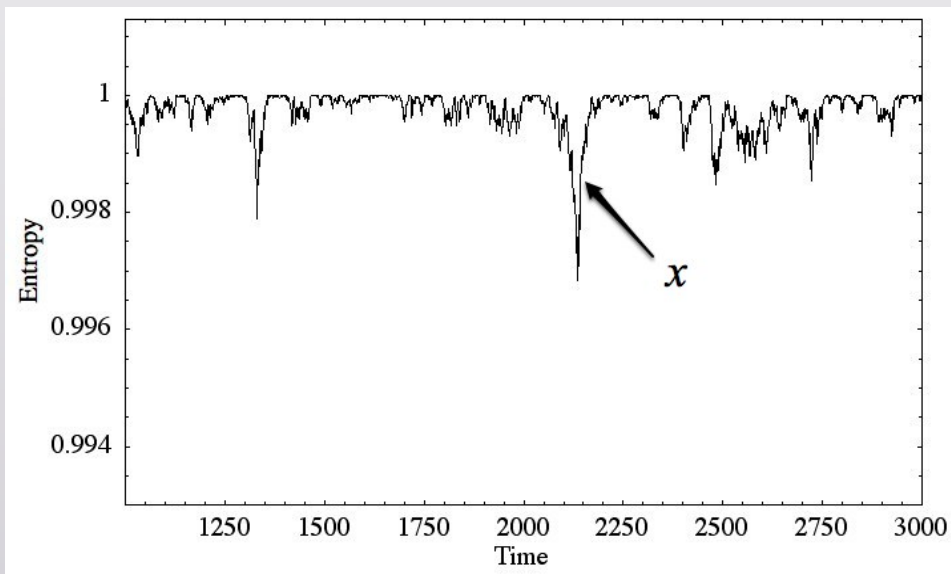
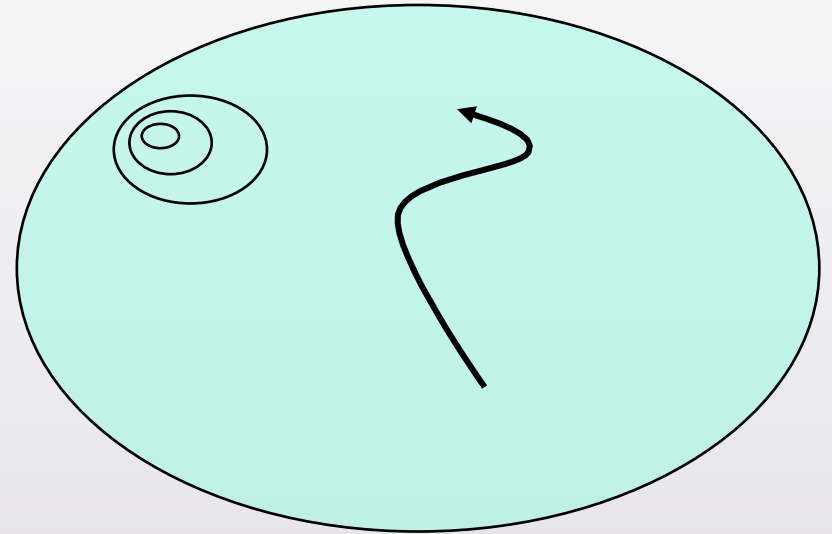
**Sean Carroll**

with Kim Boddy & Jason Pollack

# Boltzmann (thermal) fluctuations in classical statistical mechanics

High-entropy equilibrium  
**macrostate is static**  
(independent of time).

But **microstate is evolving**.

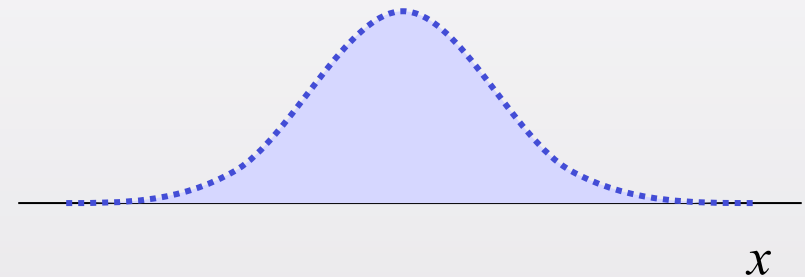


There will be rare fluctuations  
downward in entropy, with  
rate  $\Gamma(\Delta S) \sim e^{-\Delta S}$ .

# Quantum mechanics vs. classical fluctuations (in the Everett approach)

Energy eigenstates are static  
(up to a phase); they don't  
"fluctuate" at all.

$$\Psi(x, t) \propto e^{-iE_0 t} e^{-E_0 x^2}$$



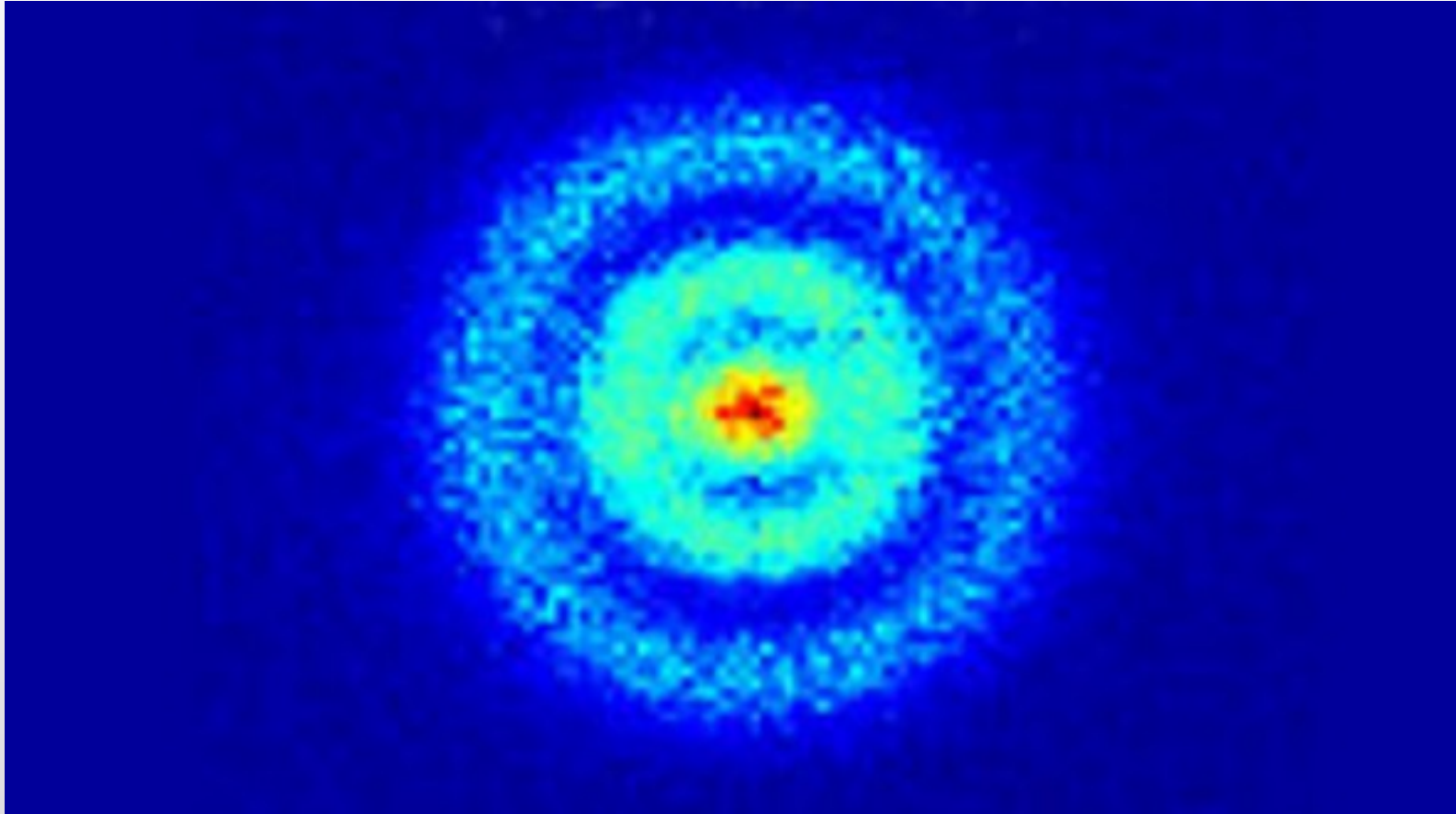
$$\begin{aligned}\rho &= |\Psi(t)\rangle\langle\Psi(t)| \\ &= |\Psi_0\rangle\langle\Psi_0|\end{aligned}$$

Density operator in an energy eigenstate is manifestly time-independent.

In a static state, there is no statement  $X$  about the state such that " $X$  is true at time  $t_0$ , but not at time  $t_1$ ."

# Image of an electron orbital in Hydrogen

[Stodolna et al. 2013]



There is not secretly an electron “orbiting” the nucleus a la Kepler; there is just a static quantum state.

Unlike classical mech, in QM a thermal state is truly static:

$$\rho = e^{-\beta H} = \sum_n e^{-\beta E_n} |E_n\rangle \langle E_n|$$

No hidden, evolving microstate. **In that sense, QM exhibits less fluctuation than classical mechanics.**

So why are we always talking about “quantum fluctuations”?

Observables generically have strictly-positive variance:

$$(\Delta \hat{O})^2 = \langle \hat{O}^2 \rangle - \langle \hat{O} \rangle^2 > 0.$$

But “observables” matter when things are actually observed.

# “Quantum fluctuations” require observations (decoherence)

Observation requires

- (1) an apparatus in a “ready” state;
- (2) a large, low-entropy environment;
- (3) absence of initial entanglement between S/A/E.

ready state  $|\Psi\rangle = (|+\rangle_S + |-\rangle_S)|0\rangle_A|e_*\rangle_E$

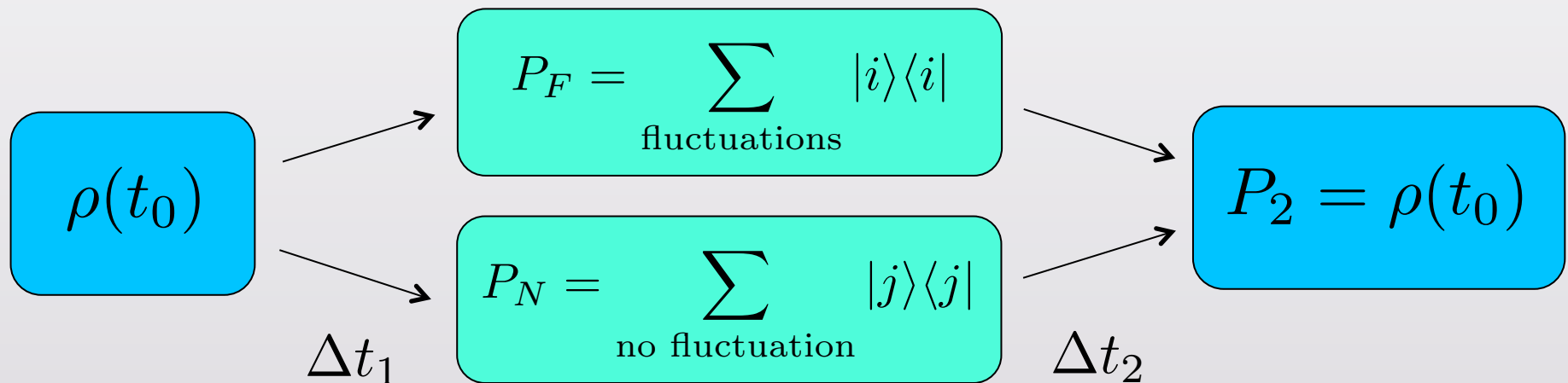
pre-measurement  $\rightarrow (|+\rangle_S|+\rangle_A + |-\rangle_S|-\rangle_A)|e_*\rangle_E$

decoherence  $\rightarrow |+\rangle_S|+\rangle_A|e_+\rangle_E + |-\rangle_S|-\rangle_A|e_-\rangle_E$

Quantum fluctuations are time-dependent histories of observations in specific branches.

## Decoherent histories formalism

Start with density matrix  $\rho(t_0)$ . Consider projections onto either “fluctuation”  $P_F$  or “no fluctuation”  $P_N$  at  $t_1$ , and back to initial state at  $t_2$ .



The fluctuation “happens” if these two histories decohere, i.e. if their decoherence functional vanishes.

Decoherence functional:

$$D(F, N) =$$

$$\text{Tr}(P_2 e^{-iH\Delta t_2} P_F e^{-iH\Delta t_1} \rho(t_0) e^{iH\Delta t_1} P_N e^{iH\Delta t_2} P_2)$$

For evolving initial/final states:

$$D(F, N) = \sum_{ijkl} c_{ijkl} e^{-i(E_i - E_j)\Delta t_2 + i(E_k - E_\ell)\Delta t_1}$$

If  $\dim(\mathcal{H})$  is finite, eventuallys add to zero. **For static states:**

$$D(F, N) = \sum_{ijkl} d_{ijkl} = \text{const.}$$

**Fluctuating histories never decohere from non-fluctuations.**

## de Sitter space

Energy density in vacuum  
(cosmological constant):

$$\rho = \rho_{\text{vac}} = E_{\text{vac}}^4$$

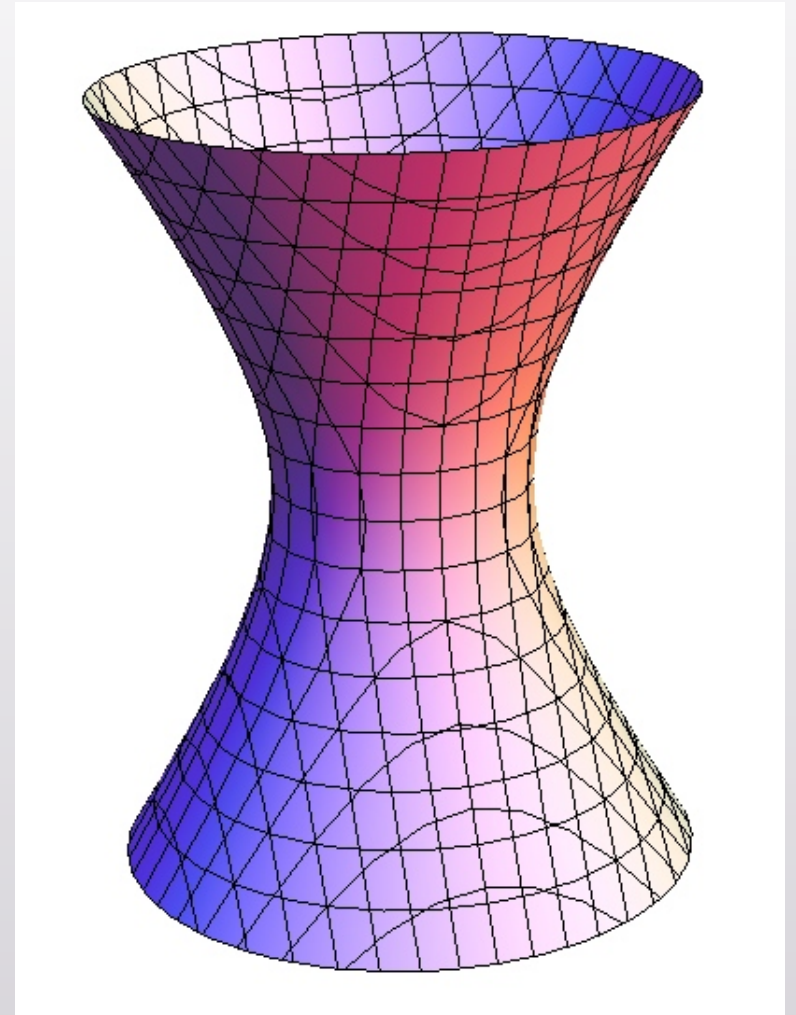
$$\Lambda = \rho_{\text{vac}}/8\pi G$$

Accelerated expansion:

$$a(t) = a_0 \cosh(Ht)$$

$$H = \sqrt{\Lambda/3}$$

time ↑



## QFT in de Sitter

- There is a global vacuum state (Bunch-Davies).
- Particle detectors observe thermal radiation at the Gibbons-Hawking temperature:

$$T = H/2\pi \sim \sqrt{\Lambda}$$

- Each horizon-sized patch has an entropy:

$$S = A_{\text{horizon}}/4G \sim 1/G\Lambda$$

- Described by a finite-dimensional Hilbert space:

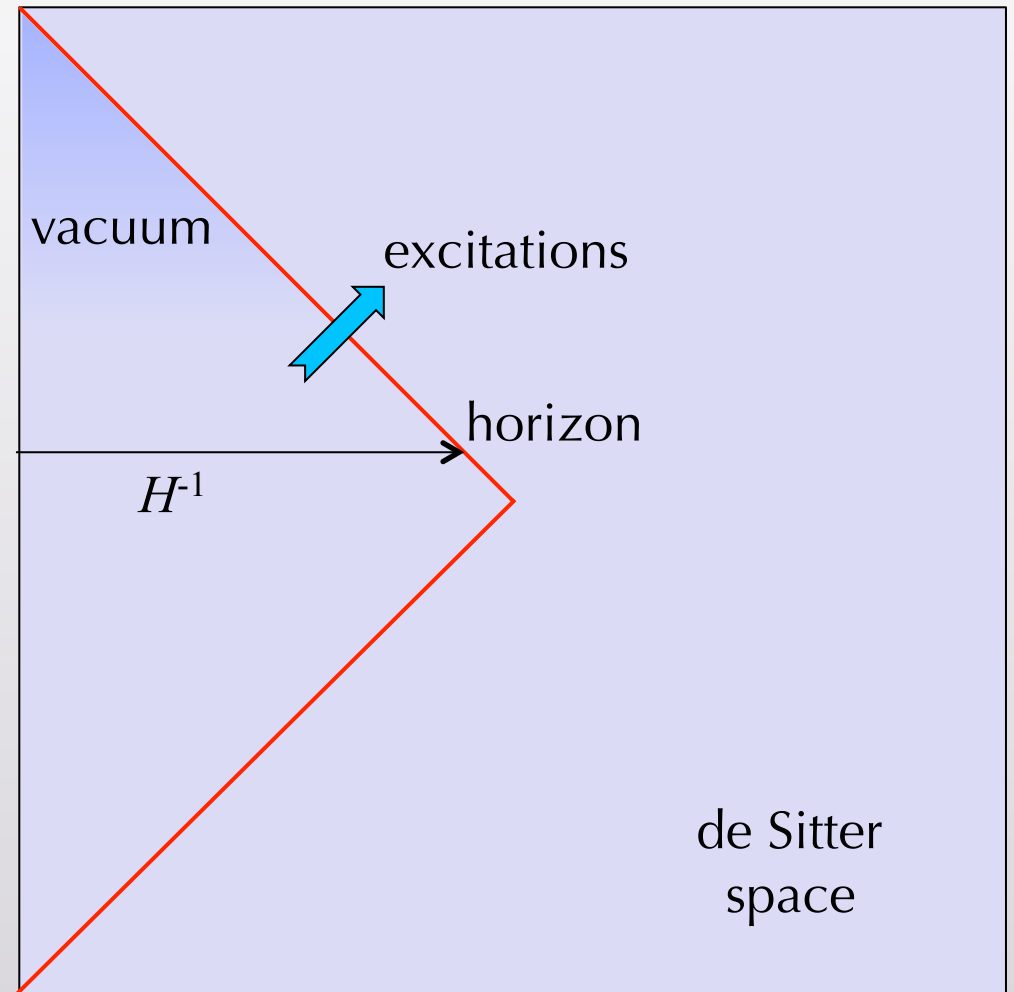
$$\dim \mathcal{H} = e^S = e^{10^{120}}$$

# Cosmic no-hair theorem

An expanding universe with  $\Lambda > 0$  will come to be totally  $\Lambda$ -dominated, asymptotically approaching de Sitter (if it doesn't collapse first).

Quantum version:  
state approaches the  
Bunch-Davies vacuum.

[Marolf, Morrison; Hollands]

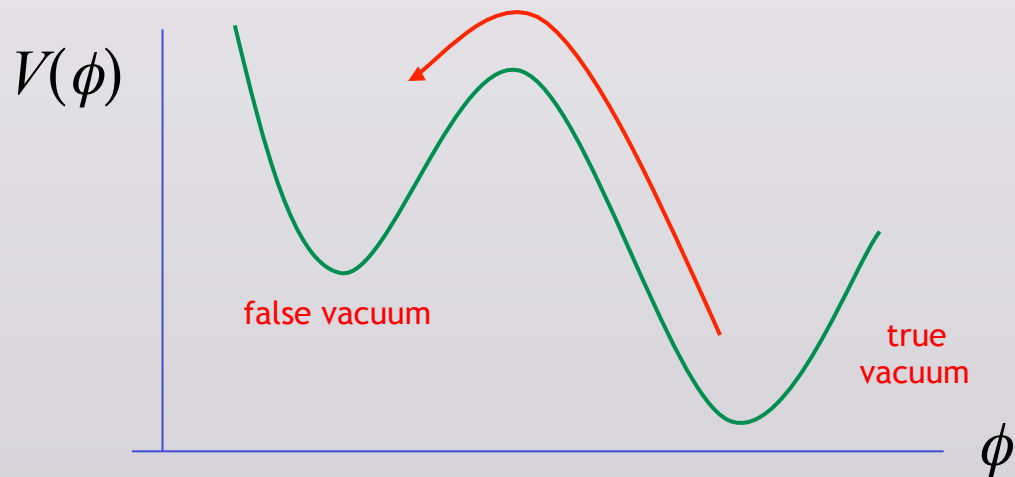


## de Sitter fluctuations: conventional wisdom

An horizon-sized patch of de Sitter has a finite entropy and a finite temperature. Therefore, there are fluctuations into lower-entropy states with rate  $P(\Delta S) \sim e^{-\Delta S}$ .

These fluctuations can produce **Boltzmann Brains**: freak observers with unreliable memories/beliefs, inducing cognitive instability.

[Dyson, Kleban & Susskind; Albrecht & Sorbo; Page; Bousso & Freivogel; Linde]



Also play a crucial role in “**up-tunneling**” from true to false vacua in landscape cosmology.

[Lee & Weinberg;  
Aguirre, Carroll & Johnson]

## Conventional wisdom on de Sitter fluctuations is wrong

Density matrix in a horizon patch is (asymptotically) thermal – diagonal in the energy eigenbasis, therefore **static**.

$$\rho = e^{-\beta H} = \sum_n e^{-\beta E_n} |E_n\rangle \langle E_n|$$

Hence, the de Sitter vacuum is free from fluctuations.

Boltzmann Brains are not produced.

Low-energy vacua do not up-tunnel to high-energy vacua.

## Loopholes

- **Horizon Complementarity** tells us to think locally, not globally. If the true vacuum is de Sitter, the *total* Hilbert space is finite-dimensional, and we expect Boltzmann fluctuations. But if there is a Minkowski vacuum, the Hilbert space is infinite-dimensional, and you should relax to a static state (before tunneling to the true vacuum).
- We've assumed the **Everett formulation of QM**. In a dynamical-collapse model, collapses render states non-static. In a hidden-variables model, the situation is more like classical stat mech, and we expect Boltzmann fluctuations.

# Inflation?

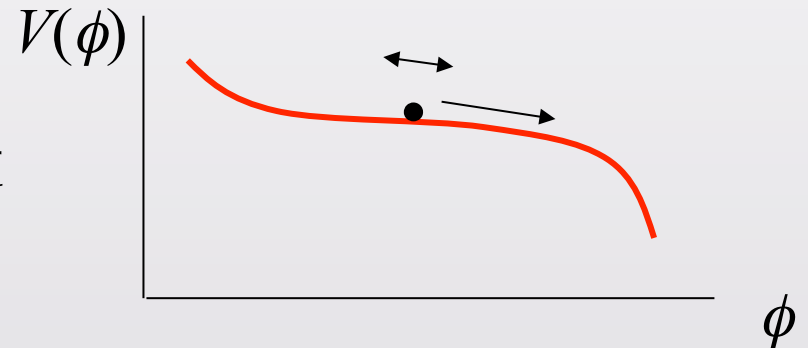
Inflation still produces density/tensor fluctuations.

Inflaton quantum state is “observed” at reheating, which generates entropy and induces decoherence.

Slow-roll eternal inflation is problematic. Our analysis isn't strictly applicable, since there is true time-dependence.

But there is very little entropy generation, therefore no decoherence, and the state evolves slowly.

We should be very skeptical of “stochastic fluctuations” upward in the potential.



## Conclusions

- **Quantum variables are not like classical stochastic variables.** A closed quantum system in a static state does not fluctuate.
- Boltzmann Brains can be avoided in de Sitter space if you asymptote to the vacuum (i.e. if Hilbert space is infinite-dimensional).
- Up-tunneling is also avoided, making it harder to populate a landscape.
- Inflationary perturbations are fine – but eternal inflation needs to be re-thought.