

AMENDED IN SENATE SEPTEMBER 1, 2023

AMENDED IN SENATE JUNE 22, 2023

AMENDED IN ASSEMBLY APRIL 12, 2023

CALIFORNIA LEGISLATURE—2023–24 REGULAR SESSION

ASSEMBLY BILL

No. 1417

Introduced by Assembly Member Wood

February 17, 2023

An act to amend Section 15630 of the Welfare and Institutions Code, relating to elder and dependent adult abuse.

LEGISLATIVE COUNSEL’S DIGEST

AB 1417, as amended, Wood. Elder and dependent adult abuse: mandated reporting.

Existing law, the Elder Abuse and Dependent Adult Civil Protection Act, sets forth various provisions for the reporting, investigation, and prosecution of elder and dependent adult abuse. Existing law requires specified people, known as mandated reporters, to report cases of elder or dependent adult abuse. Under existing law, failure to report the abuse is a misdemeanor.

Existing law establishes certain procedures for mandated reporters to report known or suspected instances of abuse by telephone followed by a written report, or through a confidential internet reporting tool, as specified. If the abuse is physical abuse, and the abuse occurred in a long-term care facility, with exceptions, existing law sets forth the reporting conditions, including those relating to the format, timelines, and recipients of the reporting. Under existing law, the reporting conditions are based on whether or not the suspected abuse results in serious bodily injury, or whether the suspected abuse is allegedly caused

by a resident with a physician's diagnosis of dementia and there is no serious bodily injury, as specified. If the abuse is not physical abuse, and the abuse occurred in a long-term care facility, with exceptions, existing law requires a telephone report and a written report to be made to the local ombudsman or the local law enforcement agency.

This bill would delete and reorganize some of those reporting provisions. Under the bill, if the abuse that occurred in a long-term facility was allegedly caused by another resident of the facility with dementia diagnosed by a licensed physician and there was no serious bodily injury, the reporter would be required to submit a written report within 24 hours to the long-term care ~~ombudsman~~, *ombudsman* and the local law enforcement agency, ~~and the corresponding state agency~~. Under the bill, in all other instances, immediately or as soon as practically possible, but no longer than 2 hours, the reporter would be required to submit a verbal report to the local law enforcement agency, and to submit a written report within 24 hours to the aforementioned recipients. Under the bill, the time limit for reporting would begin when the mandated reporter observes, obtains knowledge of, or suspects the abuse or neglect. The bill would make conforming changes to related provisions.

By expanding the scope of the mandated reporting crime, and to the extent the bill would change the duties of local entities receiving the reports, the bill would impose a state-mandated local program.

The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that with regard to certain mandates no reimbursement is required by this act for a specified reason.

With regard to any other mandates, this bill would provide that, if the Commission on State Mandates determines that the bill contains costs so mandated by the state, reimbursement for those costs shall be made pursuant to the statutory provisions noted above.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: yes.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 15630 of the Welfare and Institutions
- 2 Code is amended to read:

1 15630. (a) A person who has assumed full or intermittent
2 responsibility for the care or custody of an elder or dependent
3 adult, whether or not they receive compensation, including
4 administrators, supervisors, and any licensed staff of a public or
5 private facility that provides care or services for elder or dependent
6 adults, or any elder or dependent adult care custodian, health
7 practitioner, clergy member, or employee of a county adult
8 protective services agency or a local law enforcement agency, is
9 a mandated reporter.

10 (b) (1) A mandated reporter who, in their professional capacity,
11 or within the scope of their employment, has observed or has
12 knowledge of an incident that reasonably appears to be physical
13 abuse, as defined in Section 15610.63, abandonment, abduction,
14 isolation, financial abuse, or neglect, or is told by an elder or
15 dependent adult that they have experienced behavior, including
16 an act or omission, constituting physical abuse, as defined in
17 Section 15610.63, abandonment, abduction, isolation, financial
18 abuse, or neglect, or reasonably suspects that abuse, shall report
19 the known, suspected, or alleged instance of abuse by telephone
20 or through a confidential internet reporting tool, as authorized by
21 Section 15658, immediately or as soon as practicably possible. If
22 reported by telephone, a written report shall be sent, or an internet
23 report shall be made through the confidential internet reporting
24 tool established in Section 15658, within two working days.

25 (A) If the known, suspected, or alleged abuse occurred in a
26 long-term care facility, except a state mental health hospital or a
27 state developmental center, the following shall occur:

28 (i) If the abuse was allegedly caused by another resident of the
29 facility with dementia diagnosed by a licensed physician and there
30 was no serious bodily injury, the reporter shall submit a written
31 report of the known, suspected, or alleged instance of abuse to ~~all~~
32 *both* of the following agencies within 24 hours:

33 (I) The long-term care ombudsman.

34 (II) The local law enforcement agency.

35 ~~(III) The corresponding state licensing agency.~~

36 (ii) In all other instances, immediately or as soon as practically
37 possible, but no longer than two hours, the reporter shall submit
38 a verbal report of the known, suspected, or alleged instance of
39 abuse to the local law enforcement agency, and shall submit a
40 written report to all of the following agencies within 24 hours:

1 (I) The long-term care ombudsman.

2 (II) The local law enforcement agency.

3 (III) The corresponding state licensing agency.

4 (iii) For purposes of this subparagraph, the time limit for
5 reporting begins when the mandated reporter observes, obtains
6 knowledge of, or suspects the abuse or neglect.

7 (B) When applicable, reports made pursuant to clauses (i) and
8 (ii) of subparagraph (A) shall be deemed to satisfy the reporting
9 requirements of the federal Elder Justice Act of 2009, as set out
10 in Subtitle H of the federal Patient Protection and Affordable Care
11 Act (Public Law 111-148), Section 1418.91 of the Health and
12 Safety Code, and Section 72541 of Title 22 of the California Code
13 of Regulations. When a local law enforcement agency receives an
14 initial report of suspected abuse in a long-term care facility
15 pursuant to subparagraph (A), the local law enforcement agency
16 may coordinate efforts with the local ombudsman to provide the
17 most immediate and appropriate response warranted to investigate
18 the mandated report. The local ombudsman and local law
19 enforcement agencies may collaborate to develop protocols to
20 implement subparagraph (A).

21 (C) Notwithstanding the rulemaking provisions of Chapter 3.5
22 (commencing with Section 11340) of Part 1 of Division 3 of Title
23 2 of the Government Code, or any other law, the department may
24 implement subparagraphs (A) and (B), in whole or in part, by
25 means of all-county letters, provider bulletins, or other similar
26 instructions without taking regulatory action.

27 (D) With regard to abuse reported pursuant to subparagraphs
28 (A) and (B), the local ombudsman and the local law enforcement
29 agency shall, as soon as practicable, except in the case of an
30 emergency or pursuant to a report required to be made pursuant
31 to clause (v), in which case these actions shall be taken
32 immediately, do all of the following:

33 (i) Report to the State Department of Public Health any case of
34 known or suspected abuse occurring in a long-term health care
35 facility, as defined in subdivision (a) of Section 1418 of the Health
36 and Safety Code.

37 (ii) Report to the State Department of Social Services any case
38 of known or suspected abuse occurring in a residential care facility
39 for the elderly, as defined in Section 1569.2 of the Health and
40 Safety Code, or in an adult day program, as defined in paragraph

(2) of subdivision (a) of Section 1502 of the Health and Safety Code.

(iii) Report to the State Department of Public Health and the California Department of Aging any case of known or suspected abuse occurring in an adult day health care center, as defined in subdivision (b) of Section 1570.7 of the Health and Safety Code.

(iv) Report to the Division of Medi-Cal Fraud and Elder Abuse any case of known or suspected criminal activity.

(v) Report all cases of known or suspected physical abuse and financial abuse to the local district attorney's office in the county where the abuse occurred.

(E) (i) If the suspected or alleged abuse or neglect occurred in a state mental hospital or a state developmental center, and the suspected or alleged abuse or neglect resulted in any of the following incidents, a report shall be made immediately, but no later than within two hours of the mandated reporter observing, obtaining knowledge of, or suspecting abuse, to designated investigators of the State Department of State Hospitals or the State Department of Developmental Services, and to the local law enforcement agency:

(I) A death.

(II) A sexual assault, as defined in Section 15610.63.

(III) An assault with a deadly weapon, as described in Section 245 of the Penal Code, by a nonresident of the state mental hospital or state developmental center.

(IV) An assault with force likely to produce great bodily injury, as described in Section 245 of the Penal Code.

(V) An injury to the genitals when the cause of the injury is undetermined.

(VI) A broken bone when the cause of the break is undetermined.

(ii) All other reports of suspected or alleged abuse or neglect that occurred in a state mental hospital or a state developmental center shall be made immediately, but no later than within two hours of the mandated reporter observing, obtaining knowledge of, or suspecting abuse, to designated investigators of the State Department of State Hospitals or the State Department of Developmental Services, or to the local law enforcement agency.

(iii) When a local law enforcement agency receives an initial report of suspected or alleged abuse or neglect in a state mental

1 hospital or a state developmental center pursuant to clause (i), the
2 local law enforcement agency shall coordinate efforts with the
3 designated investigators of the State Department of State Hospitals
4 or the State Department of Developmental Services to provide the
5 most immediate and appropriate response warranted to investigate
6 the mandated report. The designated investigators of the State
7 Department of State Hospitals or the State Department of
8 Developmental Services and local law enforcement agencies may
9 collaborate to develop protocols to implement this clause.

10 (iv) Except in an emergency, the local law enforcement agency
11 shall, as soon as practicable, report any case of known or suspected
12 criminal activity to the Division of Medi-Cal Fraud and Elder
13 Abuse.

14 (v) Notwithstanding any other law, a mandated reporter who is
15 required to report pursuant to Section 4427.5 shall not be required
16 to report under clause (i).

17 (F) If the abuse has occurred in any place other than a long-term
18 care facility, a state mental hospital, or a state developmental
19 center, the report shall be made to the adult protective services
20 agency or the local law enforcement agency.

21 (2) (A) A mandated reporter who is a clergy member who
22 acquires knowledge or reasonable suspicion of elder or dependent
23 adult abuse during a penitential communication is not subject to
24 paragraph (1). For purposes of this subdivision, “penitential
25 communication” means a communication that is intended to be in
26 confidence, including, but not limited to, a sacramental confession
27 made to a clergy member who, in the course of the discipline or
28 practice of their church, denomination, or organization is authorized
29 or accustomed to hear those communications and under the
30 discipline tenets, customs, or practices of their church,
31 denomination, or organization, has a duty to keep those
32 communications secret.

33 (B) This subdivision shall not modify or limit a clergy member’s
34 duty to report known or suspected elder and dependent adult abuse
35 if they are acting in the capacity of a care custodian, health
36 practitioner, or employee of an adult protective services agency.

37 (C) Notwithstanding this section, a clergy member who is not
38 regularly employed on either a full-time or part-time basis in a
39 long-term care facility or does not have care or custody of an elder
40 or dependent adult shall not be responsible for reporting abuse or

1 neglect that is not reasonably observable or discernible to a
2 reasonably prudent person having no specialized training or
3 experience in elder or dependent care.

4 (3) (A) A mandated reporter who is a physician and surgeon,
5 a registered nurse, or a psychotherapist, as defined in Section 1010
6 of the Evidence Code, shall not be required to report, pursuant to
7 paragraph (1), an incident if all of the following conditions exist:

8 (i) The mandated reporter has been told by an elder or dependent
9 adult that they have experienced behavior constituting physical
10 abuse, as defined in Section 15610.63, abandonment, abduction,
11 isolation, financial abuse, or neglect.

12 (ii) The mandated reporter is unaware of any independent
13 evidence that corroborates the statement that the abuse has
14 occurred.

15 (iii) The elder or dependent adult has been diagnosed with a
16 mental illness or dementia, or is the subject of a court-ordered
17 conservatorship because of a mental illness or dementia.

18 (iv) In the exercise of clinical judgment, the physician and
19 surgeon, the registered nurse, or the psychotherapist, as defined
20 in Section 1010 of the Evidence Code, reasonably believes that
21 the abuse did not occur.

22 (B) This paragraph shall not impose upon mandated reporters
23 a duty to investigate a known or suspected incident of abuse and
24 shall not lessen or restrict any existing duty of mandated reporters.

25 (4) (A) In a long-term care facility, a mandated reporter shall
26 not be required to report as a suspected incident of abuse, as defined
27 in Section 15610.07, an incident if all of the following conditions
28 exist:

29 (i) The mandated reporter is aware that there is a proper plan
30 of care.

31 (ii) The mandated reporter is aware that the plan of care was
32 properly provided or executed.

33 (iii) A physical, mental, or medical injury occurred as a result
34 of care provided pursuant to clause (i) or (ii).

35 (iv) The mandated reporter reasonably believes that the injury
36 was not the result of abuse.

37 (B) This paragraph shall neither require a mandated reporter to
38 seek, nor preclude a mandated reporter from seeking, information
39 regarding a known or suspected incident of abuse before reporting.

40 This paragraph shall apply only to those categories of mandated

1 reporters that the State Department of Public Health determines,
2 upon approval by the Division of Medi-Cal Fraud and Elder Abuse
3 and the state long-term care ombudsman, have access to plans of
4 care and have the training and experience necessary to determine
5 whether the conditions specified in this section have been met.

6 (c) (1) Any mandated reporter who has knowledge, or
7 reasonably suspects, that types of elder or dependent adult abuse
8 for which reports are not mandated have been inflicted upon an
9 elder or dependent adult, or that their emotional well-being is
10 endangered in any other way, may report the known or suspected
11 instance of abuse.

12 (2) If the suspected or alleged abuse occurred in a long-term
13 care facility other than a state mental health hospital or a state
14 developmental center, the report may be made to the long-term
15 care ombudsman program. Except in an emergency, the local
16 ombudsman shall report any case of known or suspected abuse to
17 the State Department of Public Health and any case of known or
18 suspected criminal activity to the Division of Medi-Cal Fraud and
19 Elder Abuse, as soon as is practicable.

20 (3) If the suspected or alleged abuse occurred in a state mental
21 health hospital or a state developmental center, the report may be
22 made to the designated investigator of the State Department of
23 State Hospitals or the State Department of Developmental Services
24 or to a local law enforcement agency. Except in an emergency,
25 the local law enforcement agency shall report any case of known
26 or suspected criminal activity to the Division of Medi-Cal Fraud
27 and Elder Abuse, as soon as is practicable.

28 (4) If the suspected or alleged abuse occurred in a place other
29 than a place described in paragraph (2) or (3), the report may be
30 made to the county adult protective services agency.

31 (5) If the conduct involves criminal activity not covered in
32 subdivision (b), it may be immediately reported to the appropriate
33 law enforcement agency.

34 (d) If two or more mandated reporters are present and jointly
35 have knowledge or reasonably suspect that types of abuse of an
36 elder or a dependent adult for which a report is or is not mandated
37 have occurred, and there is agreement among them, the telephone
38 report or internet report, as authorized by Section 15658, may be
39 made by a member of the team selected by mutual agreement, and
40 a single report may be made and signed by the selected member

1 of the reporting team. Any member who has knowledge that the
2 member designated to report has failed to do so shall thereafter
3 make the report.

4 (e) A telephone report or internet report, as authorized by
5 Section 15658, of a known or suspected instance of elder or
6 dependent adult abuse shall include, if known, the name of the
7 person making the report, the name and age of the elder or
8 dependent adult, the present location of the elder or dependent
9 adult, the names and addresses of family members or any other
10 adult responsible for the elder's or dependent adult's care, the
11 nature and extent of the elder's or dependent adult's condition, the
12 date of the incident, and any other information, including
13 information that led that person to suspect elder or dependent adult
14 abuse, as requested by the agency receiving the report.

15 (f) The reporting duties under this section are individual, and
16 no supervisor or administrator shall impede or inhibit the reporting
17 duties, and no person making the report shall be subject to any
18 sanction for making the report. However, internal procedures to
19 facilitate reporting, ensure confidentiality, and apprise supervisors
20 and administrators of reports may be established, provided they
21 are not inconsistent with this chapter.

22 (g) (1) Whenever this section requires a county adult protective
23 services agency to report to a law enforcement agency, the law
24 enforcement agency shall, immediately upon request, provide a
25 copy of its investigative report concerning the reported matter to
26 that county adult protective services agency.

27 (2) Whenever this section requires a law enforcement agency
28 to report to a county adult protective services agency, the county
29 adult protective services agency shall, immediately upon request,
30 provide to that law enforcement agency a copy of its investigative
31 report concerning the reported matter.

32 (3) The requirement to disclose investigative reports pursuant
33 to this subdivision shall not include the disclosure of social services
34 records or case files that are confidential, nor shall this subdivision
35 allow disclosure of any reports or records if the disclosure would
36 be prohibited by any other state or federal law.

37 (h) Failure to report, or impeding or inhibiting a report of,
38 physical abuse, as defined in Section 15610.63, abandonment,
39 abduction, isolation, financial abuse, or neglect of an elder or
40 dependent adult, in violation of this section, is a misdemeanor,

1 punishable by not more than six months in the county jail, by a
2 fine of not more than one thousand dollars (\$1,000), or by both
3 that fine and imprisonment. A mandated reporter who willfully
4 fails to report, or impedes or inhibits a report of, physical abuse,
5 as defined in Section 15610.63, abandonment, abduction, isolation,
6 financial abuse, or neglect of an elder or dependent adult, in
7 violation of this section, if that abuse results in death or great bodily
8 injury, shall be punished by not more than one year in a county
9 jail, by a fine of not more than five thousand dollars (\$5,000), or
10 by both that fine and imprisonment. If a mandated reporter
11 intentionally conceals their failure to report an incident known by
12 the mandated reporter to be abuse or severe neglect under this
13 section, the failure to report is a continuing offense until a law
14 enforcement agency specified in paragraph (1) of subdivision (b)
15 discovers the offense.

16 (i) For purposes of this section, “dependent adult” has the same
17 meaning as that term is defined in Section 15610.23.

18 SEC. 2. No reimbursement is required by this act pursuant to
19 Section 6 of Article XIII B of the California Constitution for certain
20 costs that may be incurred by a local agency or school district
21 because, in that regard, this act creates a new crime or infraction,
22 eliminates a crime or infraction, or changes the penalty for a crime
23 or infraction, within the meaning of Section 17556 of the
24 Government Code, or changes the definition of a crime within the
25 meaning of Section 6 of Article XIII B of the California
26 Constitution.

27 However, if the Commission on State Mandates determines that
28 this act contains other costs mandated by the state, reimbursement
29 to local agencies and school districts for those costs shall be made
30 pursuant to Part 7 (commencing with Section 17500) of Division
31 4 of Title 2 of the Government Code.