

## **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# **ENCISION INC**

Form: 4

Date Filed: 2019-03-04

Corporate Issuer CIK: 930775

© Copyright 2019, Issuer Direct Corporation. All Right Reserved. Distribution of this document is strictly prohibited, subject to the terms of use.

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burde	n hours							
per response	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type F	Responses)																	
Name and Address of Reporting Person     FRIES ROBERT H				Issuer Name and Ticker or Trading Symbol     ENCISION INC [ecia]							Relationship of Reporting Person(s) to Issuer     (Check all applicable)							
(Last) (First) (Middle)				Date of Earliest Transaction (Month/Day/Year)									x_	_ Director _ Officer (give title	e below)	10% 0	Owner (specify below)	
800 FILLMO	ORE ST			02/06/2019														
DENVER, (	CO 80206	(Street)		4. If Amendment, Date Original FiledMonth/Day/Year)								Individual or Joint/Group Filing(Check Applicable Line)     X_ Form filed by One Reporting Person     Form filed by More than One Reporting Person						
(City)		(State)	(Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Ye	Execution Date ear) any		n Date, if	(Instr. 8)		(	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of (D)				•	Ownership Form:	Beneficia	
				(Mor	(Month/Day/Year)		Code		e V	Amour	(A) or	r Price	e				Direct (D) or Indirect (I) (Instr. 4)	Ownersh (Instr. 4)
Daminday Day		rata lina far agab al	logo of appreciation by	an afiai allu		on all divan	th. o	ind:	ivo othy									
Reminder: Rep	port on a sepa	rate line for each cl	lass of securities be	enericially	ow	nea airea	tly or	inai		e who	respond	to the co	llecti	ion of inform	nation con	tained in this	SEC	1474 (9-02
									form ar	e not i	•					a currently va		1474 (5 02
			Table						uired, Disp , options,				Own	ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Deriva Code Securi (Instr. 8) Acquir Dispos				Expiration Date U (Month/Day/Year) (I		Underly	and Amount of ying Securities 3 and 4)			9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	Beneficia	
				Code	,	V (A)		(D)	Date Exercisab		iration e	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Common Stock- option to buy	\$ 0.3426	02/06/2019		Α		5,00	00		<u>(1)</u>	05/	06/2024	Comn		5,000	\$ 0.3426	5,000	D	
Reportir	ng Own	ers																
			Relationships		1													
Reporting Ow	ner Name / A	Director	10% Owner Office	er Other														
FRIES ROE 800 FILLMO DENVER, O	ORE ST	х																
0:																		

### Signatures

Mala M Ray	03/04/2019
-Signature of Reporting Person	Date

### **Explanation of Responses:**

- $^\star$   $\,\,$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to the option grant vest 33% after the first year service date and in 24 equal monthly installments there after.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB A	PPROVAL
OMB Number:	3235-0287
Estimated averag	e burden hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type F	Responses)																
Name and Address of Reporting Person <sup>*</sup> FRIES ROBERT H				Issuer Name and Ticker or Trading Symbol     ENCISION INC [ecia]							Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director 10% Owner						
800 FILLMO	ORE ST	(First)	(Middle)		. Date of Earliest Transaction (Month/Day/Year) 2/06/2019						Officer (give title	e below)		(specify below)			
DENIVED C	20 80206	(Street)		4. If Amen	V-1001-001							6. Individual or Joint/Group Filing(Check Applicable Line)					
DENVER, C	0 80206	(State)	(Zip)				Table	I - Non-Der	ivative Securiti	es Acqui	uired, Disposed of, or Beneficially Owned						
1.Title of Secur (Instr. 3)	rity		2. Transaction Date (Month/Day/Ye	ar) any	ion I	Date, if Co	Transa ode str. 8)	(A)	Securities Acquilibrium (A) or Disposed of str. 3, 4 and 5)  (A) or mount (D)	f (D)	ollow	ount of Sec ving Reporte 3 and 4)		ficially Owned ion(s)	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Rep	oort on a sepa	rate line for each cl	ass of securities be	neficially o	wne	ed directly	or indi	rectly.									
			Table					form are OMB cou	who respond to not required to ntrol number. esed of, or Ben provertible secu	o respone	d unk	ess the for				1474 (9-02)	
Security or Exercise (Month/Day/Year) any		Execution Date, if	Code	'n	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and		Expiration Date (Month/Day/Year) Underly (Instr. 3) or (D)			and Amount of ying Securities 3 and 4)			9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	٧	(A)	(D)	Date Exercisable	Expiration Date	Title	١	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)		
Common Stock- option to buy	\$ 0.3426	02/06/2019		А		5,000		<u>(1)</u>	05/06/2024	Comm Stock		5,000	\$ 0.3426	5,000	D		
Reporting Ow		ddress	Relationships 10% Owner Office	or Other													

Danisation Occasion Names / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
FRIES ROBERT H 800 FILLMORE ST DENVER. CO 80206	Х							

### **Signatures**

Mala M Ray	03/04/2019
-Signature of Reporting Person	Date

### **Explanation of Responses:**

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.
- (1) The shares subject to the option grant vest 33% after the first year service date and in 24 equal monthly installments there after.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.