

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

ENCISION INC

Form: D

Date Filed: 2018-12-21

Corporate Issuer CIK: 930775

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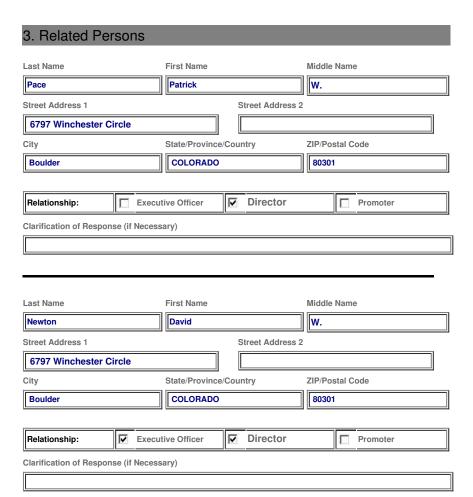


UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C.

OMB APPROVAL
OMB Number: 3235-0076
Estimated Average burden hours per response: 4.0

1. Issuer's Identity		
CIK (Filer ID Number)	Previous Name(s) None	Entity Type
0000930775	ELECTROSCOPE INC	© Corporation
Name of Issuer	1	C Limited Partnership
ENCISION INC		C Limited Liability Company
Jurisdiction of Incorporation/Organization		C General Partnership
COLORADO		C Business Trust
Year of Incorporation/Organiza	tion	C Other
Over Five Years Ago		
Within Last Five Years (Specify Year)		
C Yet to Be Formed		

2. Principal Place o	f Business and	Contact Inform	nation
Name of Issuer			
ENCISION INC			
Street Address 1	S	Street Address 2	
6797 WINCHESTER CIRCLE			
City	State/Province/Country	ZIP/Postal Code	Phone No. of Issuer
BOULDER	COLORADO	80301	303-444-2600



Last Name	First Name		Middle Name	
Kornelsen	Vern		D.	
Street Address 1		Street Address	2	
6797 Winchester Circle				
City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
<u> </u>				
Relationship: Exec	cutive Officer	Director	Promoter	
Clarification of Response (if Nece	eseary)		I see see	
The second of th				
Last Name	First Name		Middle Name	
Fries	Robert		П.	
Street Address 1		Street Address	1 [
6797 Winchester Circle				
City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
			II (L	
Relationship:	cutive Officer	□ Director	Promoter	
		21100101		
Clarification of Response (if Nece	ssary)			
Last Name	First Name		Middle Name	
Ray	Mala			
Street Address 1		Street Address	2	_
6797 Winchester Circle				
City	State/Province	Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
		Pinastan	- ·	
Relationship: Exec	cutive Officer	Director	Promoter	
Clarification of Response (if Nece	essary)			
Last Name	First Name		Middle Name	
Trudel	Gregory		J.	
Street Address 1		Street Address	2	<u> </u>
6797 Winchester Circle				
City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
l sous		1	100	
Relationship: Exec	cutive Officer	Director	Promoter	
Clarification of Response (if Nece	ssary)			
Lest Name				
Last Name	First Name		Middle Name	

Street Address 1 Street Address 2

6797 Winchester Circle				
City S	State/Pro	vince/Country	7ID/D	ostal Code
	COLOR			
Boulder	COLOR	AUU	803	U I
Relationship: Executiv	ve Office	r Director		Promoter
· Projection	P1./\			K
Clarification of Response (if Necessar	ry)			
Last Name F	First Nan	ne	Midd	le Name
Greathouse	Brad			
Street Address 1		Street Address	2	
6797 Winchester Circle				
City	State/Pro	vince/Country	ZIP/P	ostal Code
Boulder	COLOR	ADO	803	01
Relationship: Executiv	ve Office	r Director		Promoter
Clarification of Response (if Necessal	ry)			
,				
<u> </u>				
4. Industry Group C Agriculture	Hea	alth Care	C	Retailing
Banking & Financial Services	C	Biotechnology		Retailing
C Commercial Banking		Health Insurance	C	Restaurants
C Insurance	7020	Hospitals & Physicians Pharmaceuticals		Technology
C Investing C Investment Banking	0420	Other Health Care		C Computers
Pooled Investment Fund				C Telecommunications
Other Banking & Financial				C Other Technology
Services	· · ·			Travel
C Business Services		nufacturing al Estate		C Airlines & Airports C Lodging & Conventions
Energy		Commercial		Tourism & Travel
C Coal Mining C Electric Utilities	O	Construction		Services
© Electric Utilities © Energy Conservation		REITS & Finance		C Other Travel
C Environmental Services	0	Residential	C	Other
C Oil & Gas	C)	Other Real Estate		
C Other Energy				
5. Issuer Size				
Revenue Range		Aggregate Net	Asset Va	alue Range
C No Revenues		C No Agg	regate N	let Asset Value
C \$1 - \$1,000,000		C \$1 - \$5,0	000,000	
\$1,000,001 - \$5,000,000		C \$5,000,0	001 - \$25	5,000,000
\$5,000,001 - \$25,000,000		C \$25,000	,001 - \$5	0,000,000
\$25,000,001 - \$100,000,000		C \$50,000	,001 - \$1	00,000,000
Over \$100,000,000			00,000,0	000
C Decline to Disclose		C Decline	to Discl	ose
		C Not Apr	olicable	

6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

Rule 504(b)(1) (not (i), (ii) or (iii))	□ Rule 505
Rule 504 (b)(1)(i)	☑ Rule 506(b)
Rule 504 (b)(1)(ii)	□ Rule 506(c)
Rule 504 (b)(1)(iii)	Securities Act Section 4(a)(5)
	☐ Investment Company Act Section 3(c)
7. Type of Filing	
New Notice Date of First Sa	ale 2018-12-19 First Sale Yet to Occur
Amendment	
8. Duration of Offering	I
Does the Issuer intend this offering t	to last more than one year? C Yes © No
9. Type(s) of Securitie	es Offered (select all that apply)
- Pooled Investment Fund	Equity
Interests — Tenant-in-Common	
Securities	☐ Debt ☐ Option, Warrant or Other Right to
	Acquire Another Security
Security to be Acquired Upon Exercise of Option, Warrant or Other Right to	▼ Other (describe)
Acquire Security	
	Common stock of the Issuer
10. Business Combina	ation Transaction
Is this offering being made in connection transaction, such as a	
exchange offer? Clarification of Response (if Necessa	an)
Charmeation of Hesponse (if Necessa	
11. Minimum Investme	ent
Minimum investment accepted from	
outside investor	Ψ U
12. Sales Compensation	on
Recipient	Recipient CRD Number None
(Associated) Broker or Dealer	None (Associated) Broker or Dealer None
, section of poulor	CRD Number
Chronic Addison of	
Street Address 1	Street Address 2
City	State/Province/Country ZIP/Postal Code
State(s) of Solicitation	☐ All States

13. Offering and Sales Amounts
Total Offering Amount \$ 875000 USD Indefinite
Total Amount Sold \$ 875000 USD
Total Remaining to be \$ 0 USD Indefinite
Clarification of Response (if Necessary)
14. Investors
Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, Number of such non-accredited investors who already have invested in the offering Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the
total number of investors who already have invested in the offering:
15. Sales Commissions & Finders' Fees Expenses
Provide separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount.
Sales Commissions \$ 0 USD Estimate
Finders' Fees \$ 0 USD Estimate
Clarification of Response (if Necessary)
16. Use of Proceeds
Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.
\$ USD Estimate
Clarification of Response (if Necessary)
Signature and Submission
Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice. Terms of Submission
In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities
 described and undertaking to furnish them, upon written request, the information furnished
 to offeree.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Regulation D for one of the reasons stated in Rule 505(b) (2)(iii) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
ENCISION INC	/s/ Mala M. Ray	Mala M. Ray	Controller	2018-12-21

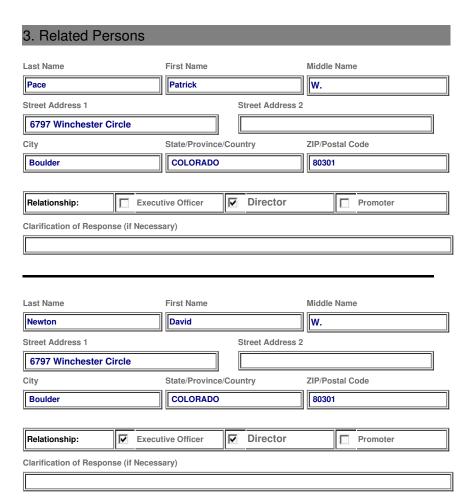


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COLORADO		C Business Trust
Year of Incorporation/Organiza	tion	C Other
Over Five Years Ago		
Within Last Five Years (Specify Year)		
C Yet to Be Formed		

2. Principal Place o	f Business and	Contact Inform	nation
Name of Issuer			
ENCISION INC			
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City	State/Province/Country	ZIP/Postal Code	Phone No. of Issuer
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City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
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Relationship: Exec	cutive Officer	Director	Promoter	
Clarification of Response (if Nece	eseary)		I see see	
The second of th				
Last Name	First Name		Middle Name	
Fries	Robert		П.	
Street Address 1		Street Address	1 [
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City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
			II (L	
Relationship:	cutive Officer	□ Director	Promoter	
		21100101		
Clarification of Response (if Nece	ssary)			
Last Name	First Name		Middle Name	
Ray	Mala			
Street Address 1		Street Address	2	_
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		Pinastan	- ·	
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Clarification of Response (if Nece	essary)			
Last Name	First Name		Middle Name	
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City	State/Province	/Country	ZIP/Postal Code	
Boulder	COLORADO		80301	
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Relationship: Exec	cutive Officer	Director	Promoter	
Clarification of Response (if Nece	ssary)			
Lest Name				
Last Name	First Name		Middle Name	

Street Address 1 Street Address 2

6797 Winchester Circle				
City	State/Province/Country	ZIP/Postal Code		
Boulder	COLORADO 80301			
Relationship:	ve Officer Director	Promoter		
Clarification of Response (if Necessal	n/)			
Ciamication of nesponse (if Necessal	(y)			
Last Name F	First Name	Middle Name		
Greathouse	Brad			
Street Address 1	Street Addres	ss 2		
6797 Winchester Circle				
City	State/Province/Country	ZIP/Postal Code		
Boulder	COLORADO	80301		
<u> </u>				
Relationship: Executiv	re Officer Director	Promoter		
Clarification of Response (if Necessal	nv)			
Siamication of Response (if Necessal	y)			
4. Industry Group	Health Care	6		
C Agriculture Banking & Financial Services	C Biotechnology	C Retailing		
C Commercial Banking	C Health Insurance	C Restaurants		
C Insurance	C Hospitals & Physician C Pharmaceuticals	Technology		
C Investing	Other Health Care	C Computers		
C Investment Banking C Pooled Investment Fund		C Telecommunications		
~		C Other Technology		
Other Banking & Financial Services		Travel		
C Business Services	Manufacturing	C Airlines & Airports		
Energy	Real Estate C Commercial	C Lodging & Conventions Tourism & Travel		
C Coal Mining	Construction	Services		
C Electric Utilities	C REITS & Finance	C Other Travel		
C Energy Conservation C Environmental Services	C Residential	C Other		
C Oil & Gas	Other Real Estate			
C Other Energy				
5. Issuer Size				
Revenue Range	Aggregate Ne	et Asset Value Range		
C No Revenues	N=0	Aggregate Net Asset Value Range No Aggregate Net Asset Value		
C \$1 - \$1,000,000	200			
C \$1,000,001 - \$5,000,000	1.00	200		
© \$5,000,001 - \$25,000,000	C \$25,00	© \$25,000,001 - \$50,000,000		
C \$25,000,001 - \$100,000,000	C \$50,00	C \$50,000,001 - \$100,000,000		
Over \$100,000,000	C Over S	Over \$100,000,000		
C Decline to Disclose	C Declir	C Decline to Disclose		
C Not Applicable	C Not A	pplicable		

6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

Rule 504(b)(1) (not (i), (ii) or (iii))	□ Rule 505					
Rule 504 (b)(1)(i)	☑ Rule 506(b)					
Rule 504 (b)(1)(ii)	□ Rule 506(c)					
Rule 504 (b)(1)(iii)	Securities Act Section 4(a)(5)					
	☐ Investment Company Act Section 3(c)					
7. Type of Filing						
New Notice Date of First Sa	2018-12-19 First Sale Yet to Occur					
Amendment						
8. Duration of Offering	1					
Does the Issuer intend this offering t	to last more than one year? C Yes 6 No					
9. Type(s) of Securitie	es Offered (select all that apply)					
Pooled Investment Fund	▼ Equity					
Interests — Tenant-in-Common	Debt					
Securities	— Option Warrant or Other Right to					
Mineral Property Securities Security to be Acquired	Acquire Another Security					
- Upon Exercise of Option.	▼ Other (describe)					
Acquire Security						
	Common stock of the Issuer					
10. Business Combina	ation Transaction					
Is this offering being made in connection transaction, such as a result of the second						
exchange offer? Clarification of Response (if Necessa	an)					
Claimcation of response (if Necessa	any)					
11. Minimum Investme	ent					
Minimum investment accepted from						
outside investor	φ [0					
12. Sales Compensati	on					
Recipient	Recipient CRD Number None					
(Associated) Broker or Dealer	(Associated) Broker or Dealer None					
(ASSOCIATED) Broker of Dealer None CRD Number None						
Street Address 4						
Street Address 1 Street Address 2						
City State/Province/Country ZIP/Postal Code						
State(s) of Solicitation						

13. Offering and Sales Amounts
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Total Amount Sold \$ 875000 USD
Total Remaining to be \$ 0 USD Indefinite
Clarification of Response (if Necessary)
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total number of investors who already have invested in the offering:
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Finders' Fees \$ 0 USD Estimate
Clarification of Response (if Necessary)
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\$ USD Estimate
Clarification of Response (if Necessary)
Signature and Submission
Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice. Terms of Submission
In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer
 is not disqualified from relying on Regulation D for one of the reasons stated in Rule 505(b)
 (2)(iii) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
ENCISION INC	/s/ Mala M. Ray	Mala M. Ray	Controller	2018-12-21