

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

LIGHTPATH TECHNOLOGIES INC

Form: 4

Date Filed: 2018-11-19

Corporate Issuer CIK: 889971

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FORM 4

Check this box if no longer subject to Section

16. Form 4 or Form 5

ED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

JNITED STATES SECURITIES AND EXCHANGE COMMISSION	
Washington, D.C. 20549	

OMB APPROVAL						
OMB Number:	3235-0287					
Estimated average burde	n hours					
per response	0.5					

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

(Print or Type Re	sponses)																	
Name and Address of Reporting Person				Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer							
Menaker Joseph				LIGHTPATH TECHNOLOGIES INC [LPTH]							(Check all applicable) X Director 10% Owner							
2603 CHALLI	(Middle) 2603 CHALLENGER TECH CT, SUITE 100				Date of Earliest Transaction (Month/Day/Year) 11/15/2018							r (give titl	e below)		(specify below)			
(Street)											_X_ Form file	d by One	oint/Group	Filing(Check Applic	cable Line)			
ORLANDO, F													Form file	a by ivior	e tnan One Rep	ooning Person		
(City)		(State)		(Zip)			Table	e I - N	Non-Der	ivativ	e Securit	ies Acqu	uired, Disp	osed o	of, or Bene	ficially Owned		
1.Title of Security	у			2. Transaction	2A. Dee		3. Trans	sactio			rities Acq					eficially Owned		7. Nature
(Instr. 3)				Date (Month/Day/Yea	ır) any	on Date, 'Day/Yea	(Instr. 8	i)	,		Disposed of the state of the st	. ,	Following Reported Transaction(s) (Instr. 3 and 4)		Form Direc or Inc (I) (Instr		of Indirect Beneficia Ownersh (Instr. 4)	
							Cod	le	V	moun	(A) or	Price						
Reminder: Repo	rt on a separa	te line for	each class	of securities be	neficially or	wned dire	ectly or ind	lirectl	ly.									
	·							fe	orm are	not r						tained in this a currently va		1474 (9-02
				Table I			ırities Acq						Owned					
1. Title of	2.	3. Trans	action I	BA. Deemed	(<i>e.g.</i> , pt		, warrants Number of		tions, c 6. Date				and Amoun	t of	8 Price of	9. Number of	10.	11. Natur
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date	Day/Year)	Execution Date, it	Transaction D Code S (Instr. 8) A		erivative ecurities cquired (A) or isposed of (D) nstr. 3, 4, and		and Ex	nd Expiration Date Month/Day/Year)			ying Securities			Derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	of Indirect Beneficia
					Code	V	(A)	(D)	Date Exercis		Expiration Date	Title		unt or ber of es		Transaction(s)	(I) (Instr. 4)	
Restricted stock unit	\$ 0 (1)	11/15	/2018		А	3	32,787		<u>(2</u>	1	<u>(2)</u>	Class comm stoc	non 32	,787	\$0	32,787	D	
Reporting	g Owne	rs																
Reporting Ow	ner Name / A	ddress	Director	Relationships 10% Owner Off	icer Othe	r												
Menaker José 2603 CHALLI SUITE 100 ORLANDO, F	ENGER TE	CH CT	Х															
Signature	es					_												
/s/ Dorothy M	1 Cinolla at	ttornev-	n-fact	11/19/2	2018													
	ture of Reporting F		II-lact	Date	2010													
Explanati	ion of R	espo	nses:															
•		•																
				erson,see Instruc														
** Intentional mi										and 1	15 U.S.C.	78ff(a).						
(1) Each restricted (2) The restricted restricted stoo	stock units ve	est equall	y over a thi	ent right to receive ree-year period b g on the board. A	eginning o	n Novem	nber 15, 20)19. E	Each dir	ector I	has electe ediately u	ed to defe	er receipt of lirector no l	the sh	ares of Classerving on t	ss A common s he board.	tock underly	ying the
Note: File three c	opies of this F	orm, one	of which m	nust be manually	signed. If s	space is	insufficient	t, see	e Instruc	ion 6	for proce	dure.						
Potential persons	who are to re	espond to	the collecti	on of information	contained	I in this fo	orm are no	t requ	uired to	respo	nd unless	the form	displays a	curren	tly valid OM	IB number.		

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APP	ROVAL
ON	MB Number:	3235-0287
Es	timated average bu	ırden hours
ре	r response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

esponses)															
1. Name and Address of Reporting Person [*] Menaker Joseph				Issuer Name and Ticker or Trading Symbol LIGHTPATH TECHNOLOGIES INC [LPTH]							Relationship of Reporting Person(s) to Issuer (Check all applicable) (Check all applicable)				
ENGER TE	(First) CH CT, SUITE	400	3. Date of Earliest Transaction (Month/Day/Year) 11/15/2018								le below)				
FL 32826	(Street)		4. If Amendi	ment,	Date Origin	nal Fil	ledMonth/Day	/Year)		Form filed by One	e Reporting Per	son	able Line)		
	(State)	(Zip)			Tabl	le I - I	Non-Deriva	ative Securi	ties Acquire	d, Disposed	of, or Bene	ficially Owned			
ty		2. Transaction Date (Month/Day/Yea	Execution ar) any	n Dat	e, if Code (Instr. 8	8)	(A) (Ins	or Disposed er. 3, 4 and 5	of (D) Fol	lowing Report			Ownership Form: Direct (D) or Indirect (I)	Beneficial Ownership	
		<u> </u>											, ,		
			I - Derivativ	re Se	curities Ac	quire	Persons w form are n OMB conti	ot required ol number. ed of, or Be	to respond on the state of the	unless the for				1474 (9-02)	
2. Conversion or Exercise Price of Derivative Security	Date	Execution Date, if any	Code	n [Derivative Securities Acquired (A) Disposed of	or (D)	and Expir	ation Date	Underlying	g Securities Deriv secu (Instr		Derivative Securities Form Beneficially Owned Securities Following Direct Reported or Inc.	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	٧	(A)	(D)	Date Exercisab		Title	Number of Shares		(Instr. 4)	(Instr. 4)		
\$ 0 <u>(1)</u>	11/15/2018		Α		32,787		(2)	<u>(2)</u>	Class A		\$0	32,787	D		
	eph ENGER TE FL 32826 by ort on a separa 2. Conversion or Exercise Price of Derivative Security	conversion or Exercise Price of Derivative Security Iddress of Reporting Person—eph (First) (First) (First) (First) (First) (First) (Street) (Street) (Street) (State) (State) (State) (State) (State) (State) (Date of Derivative Security	Idress of Reporting Person— eph (First) (Middle) ENGER TECH CT, SUITE 100 (Street) FL 32826 (State) (Zip) 2. Transaction Date (Month/Day/Year) ort on a separate line for each class of securities between the conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year)	Idress of Reporting Person— leph (First) (Middle) (Street) 3. Date of E 11/15/201 (Street) 4. If Amends FL 32826 (State) (Zip) 2. Transaction Date (Month/Day/Year) Ort on a separate line for each class of securities beneficially own or Exercise Price of Derivative Security 2. Issuer N LIGHTPA 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution any (Month/E) Table II - Derivative (e.g., put any (Month/Day/Year) (Month/Day/Year) 3. Date of Execution Date (e.g., put any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Code Code	Code V Code C	2. Issuer Name and Ticker	Code Code	2. Issuer Name and Ticker or Trading Symbol LIGHTPATH TECHNOLOGIES INC	2. Issuer Name and Ticker or Trading Symbol LIGHTPATH TECHNOLOGIES INC [LPTH]	Code V Amount Code Code V Amount Code Code Code Code Conversion Code Conversion Code Conversion Code Conversion Code Conversion Code Conversion Code C	Code V Amount Conversion Conversio	2. Issuer Name and Ticker or Trading Symbol LIGHTPATH TECHNOLOGIES INC [LPTH] 5. Relationship of Reporting (Cher. X—Director Officer (give title below) 2. Date of Earliest Transaction (Month/Day/Year) 3. Date of Earliest Transaction (Month/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 5. Relationship of Reporting (Cher. X—Director Officer (give title below) 7. Form filed by One Reporting Par. Form filed by More Reporting Par. Form filed Month/Day/Year) 5. Amount of (Instr. 3 and 4) 5. Amount of (Instr. 3) 5. Amount of (I	Code V Amount Code V Amount Code V Amount Code Cod	Conversion Con	

Reporting Owners

Departing Owner Name / Address		Relationsh	nips	
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Menaker Joseph 2603 CHALLENGER TECH CT SUITE 100 ORLANDO, FL 32826	Х			

Signatures

/s/ Dorothy M. Cipolla attorney-in-fact	11/19/2018
Signature of Reporting Person	Date

Explanation of Responses:

- * $\,$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Class A common stock.
- (2) The restricted stock units vest equally over a three-year period beginning on November 15, 2019. Each director has elected to defer receipt of the shares of Class A common stock underlying the restricted stock units until he is no longer serving on the board. Any unvested restricted stock units will vest immediately upon the director no longer serving on the board.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.