

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

BK Technologies, Inc.

Form: 4

Date Filed: 2018-09-10

Corporate Issuer CIK: 2186

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Lanktree Charles T		2. Issuer Name and Ticker or Trading Symbol BK Technologies, Inc. [BKT.I]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ 10% Owner ☐ Officer (give title below) ☐ Other (specify below)					
(Last) (First) (Middle) C/O BK TECHNOLOGIES, INC., 7100 TECHNOLOGY DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 09/06/2018							
(Street) WEST MELBOURNE, FL 32904		4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person					
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock, par value \$0.60 per share	09/06/2018		A	5,063 (1)	A	\$ 0	16,235 (2)	D	
Common Stock, par value \$0.60 per share							7,702 (3)	I	By Family

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lanktree Charles T C/O BK TECHNOLOGIES, INC. 7100 TECHNOLOGY DRIVE WEST MELBOURNE, FL 32904	X			

Signatures

/s/ Charles T. Lanktree	09/07/2018
Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Represents a grant of restricted stock units (RSUs) under the 2017 Incentive Compensation Plan. Each RSU represents a contingent right to receive one share of the Issuer's Common Stock. The
(1) RSUs vest in 20% equal annual installments on the first, second, third, fourth and fifth anniversaries of the grant date, subject to the Reporting Person's continued service as a director of the Issuer through such date.
(2) Includes 5,479 RSUs that vest in full 12 months after the grant date of June 4, 2018, subject to the Reporting Person's continued service as a director of the Issuer through such date.
(3) The Reporting Person may be deemed to be the beneficial owner of 7,702 shares of Common Stock that are held by the Donna B. Lanktree Family Trust, the trustee of which is Donna B. Lanktree, the spouse of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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FORM 4

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(Print or Type Responses)

1. Name and Address of Reporting Person

Lanktree Charles T

(Last)(First)(Middle)

C/O BK TECHNOLOGIES, INC., 7100 TECHNOLOGY DRIVE

(Street)

WEST MELBOURNE, FL 32904

(City)(State)(Zip)

2. Issuer Name and Ticker or Trading Symbol

BK Technologies, Inc. [BKTI]

3. Date of Earliest Transaction (Month/Day/Year)

09/06/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

X

Director

Officer (give title below)

10% Owner

Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security
(Instr. 3)

2. Transaction Date
(Month/Day/Year)

2A. Deemed Execution Date, if any
(Month/Day/Year)

3. Transaction Code
(Instr. 8)

Code

V

4. Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4 and 5)

Amount

(A) or (D)

Price

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)
(Instr. 3 and 4)

6. Ownership Form: Direct (D) or Indirect (I)
(Instr. 4)

7. Nature of Indirect Beneficial Ownership
(Instr. 4)

Common Stock, par value \$0.60 per share

09/06/2018

A

5,063
(1)

A

\$ 0

16,235 (2)

D

Common Stock, par value \$0.60 per share

7,702 (3)

I

By Family

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 3)

2. Conversion or Exercise Price of Derivative Security

3. Transaction Date
(Month/Day/Year)

3A. Deemed Execution Date, if any
(Month/Day/Year)

4. Transaction Code
(Instr. 8)

Code

V

5. Number of Derivative Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4, and 5)

(A)

(D)

6. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

7. Title and Amount of Underlying Securities
(Instr. 3 and 4)

Title

Amount or Number of Shares

8. Price of Derivative Security
(Instr. 5)

9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)
(Instr. 4)

10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)
(Instr. 4)

11. Nature of Indirect Beneficial Ownership
(Instr. 4)

Reporting Owners

Reporting Owner Name / Address

Lanktree Charles T
C/O BK TECHNOLOGIES, INC.
7100 TECHNOLOGY DRIVE
WEST MELBOURNE, FL 32904

Relationships

Director

10% Owner

Officer

Other

X

Signatures

/s/ Charles T. Lanktree

09/07/2018

Date

Signature of Reporting Person

Explanation of Responses:

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