

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

RELM WIRELESS CORP

Form: 4

Date Filed: 2016-12-30

Corporate Issuer CIK: 2186

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Fundamental Global Investors, LLC			2. Issuer Name and Ticker or Trading Symbol RELM WIRELESS CORP [RWC]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)				
(Last) (First) (Middle) 4201 CONGRESS STREET, SUITE 140,			3. Date of Earliest Transaction (Month/Day/Year) 12/29/2016							
(Street) CHARLOTTE, NC 28209			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person				
(City) (State) (Zip)			Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/29/2016		P		106,383	A	\$ 4.71 (3)	106,383 (1) (2)	I	FGI Global Asset Allocation Master Fund, LP
Common Stock								1,147,087 (1) (2)	I	Ballantyne Strong, Inc.
Common Stock								680,140 (1) (2)	I	Fundamental Global Partners, LP
Common Stock								1,541,621 (1) (2)	I	Fundamental Global Partners Master Fund, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fundamental Global Investors, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X		
BALLANTYNE STRONG, INC. 11422 MIRACLE HILLS DRIVE, SUITE 300 OMAHA, NE 68154	X	X		
Cerminara Kyle C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X		
Johnson Lewis M C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X		
MOGLIA JOSEPH H C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209		X		

Signatures

FUNDAMENTAL GLOBAL INVESTORS LLC /s/ D. Kyle Cerminara, Chief Executive Officer, Partner and Manager		12/30/2016
--Signature of Reporting Person		Date
BALLANTYNE STRONG, INC. /s/ D. Kyle Cerminara, Chief Executive Officer		12/30/2016
--Signature of Reporting Person		Date
/s/ D. Kyle Cerminara		12/30/2016
--Signature of Reporting Person		Date
/s/ Lewis M. Johnson		12/30/2016
--Signature of Reporting Person		Date
/s/ Joseph H. Moglia		12/30/2016
--Signature of Reporting Person		Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The Reporting Persons beneficially own in the aggregate 3,832,107 shares of Common Stock, which represent approximately 27.9% of the Company's outstanding shares of Common Stock.
- (1) Fundamental Global Investors, LLC may be deemed to be a beneficial owner of the shares of Common Stock that are directly owned by Fundamental Global Partners, LP ("FGPP"), Fundamental Global Partners Master Fund, LP ("FGPM"), FGI Global Asset Allocation Master Fund, LP (FGGM"), and Ballantyne Strong, Inc. ("BTN"). In addition, CWA Asset Management Group, LLC holds 690,483 shares of Common Stock for accounts of individual investors, which represent approximately 5.0% of the Company's outstanding shares of Common Stock.
- (2) Due to their positions with Fundamental Global Investors, LLC and affiliated entities, Messrs. D. Kyle Cerminara, Lewis M. Johnson and Joseph H. Moglia may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by FGPP, FGPM and FGGM. Due to their positions with BTN, Fundamental Global Investors, LLC and affiliated entities, Messrs. Cerminara and Johnson may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by BTN. Each Reporting Person disclaims beneficial ownership of the shares referred to herein except to the extent of his or its pecuniary interest therein.
- (3) Includes brokerage commission of \$0.01 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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<ACCEPTANCE-DATETIME>20161230164448
ACCESSION NUMBER: 0001354488-16-008743
CONFORMED SUBMISSION TYPE: 4
PUBLIC DOCUMENT COUNT: 1
CONFORMED PERIOD OF REPORT: 20161229
FILED AS OF DATE: 20161230
DATE AS OF CHANGE: 20161230

ISSUER:

COMPANY DATA:

COMPANY CONFORMED NAME: RELM WIRELESS CORP
CENTRAL INDEX KEY: 0000002186
STANDARD INDUSTRIAL CLASSIFICATION: RADIO & TV BROADCASTING & COMMUNICATIONS EQUIPMENT [3663]
IRS NUMBER: 593486297
STATE OF INCORPORATION: NV
FISCAL YEAR END: 1209

BUSINESS ADDRESS:

STREET 1: 7100 TECHNOLOGY DRIVE
CITY: WEST MELBOURNE
STATE: FL
ZIP: 32904
BUSINESS PHONE: 321-984-1414

MAIL ADDRESS:

STREET 1: 7100 TECHNOLOGY DRIVE
CITY: WEST MELBOURNE
STATE: FL
ZIP: 32904

FORMER COMPANY:

FORMER CONFORMED NAME: ADAGE INC
DATE OF NAME CHANGE: 19920703

REPORTING-OWNER:

OWNER DATA:

COMPANY CONFORMED NAME: MOGLIA JOSEPH H
CENTRAL INDEX KEY: 0001187586

FILING VALUES:

FORM TYPE: 4
SEC ACT: 1934 Act
SEC FILE NUMBER: 001-32644
FILM NUMBER: 162077908

MAIL ADDRESS:

STREET 1: AMERITRADE HOLDING CORP
STREET 2: 4211 S 102ND ST
CITY: OMAHA
STATE: NE
ZIP: 68127

REPORTING-OWNER:

OWNER DATA:

COMPANY CONFORMED NAME: Johnson Lewis M
CENTRAL INDEX KEY: 0001619951

FILING VALUES:

FORM TYPE: 4
SEC ACT: 1934 Act
SEC FILE NUMBER: 001-32644
FILM NUMBER: 162077909

MAIL ADDRESS:

STREET 1: C/O FUNDAMENTAL GLOBAL INVESTORS, LLC
STREET 2: 4201 CONGRESS STREET, SUITE 140
CITY: CHARLOTTE
STATE: NC
ZIP: 28209

REPORTING-OWNER:

OWNER DATA:

COMPANY CONFORMED NAME: Cerminara Kyle
CENTRAL INDEX KEY: 0001619991

FILING VALUES:

FORM TYPE: 4
SEC ACT: 1934 Act
SEC FILE NUMBER: 001-32644
FILM NUMBER: 162077910

MAIL ADDRESS:

STREET 1: C/O FUNDAMENTAL GLOBAL INVESTORS, LLC
STREET 2: 4201 CONGRESS STREET, SUITE 140
CITY: CHARLOTTE
STATE: NC

REPORTING-OWNER:

OWNER DATA:

COMPANY CONFORMED NAME: BALLANTYNE STRONG, INC.
CENTRAL INDEX KEY: 0000946454
STANDARD INDUSTRIAL CLASSIFICATION: PHOTOGRAPHIC EQUIPMENT & SUPPLIES [3861]
STATE OF INCORPORATION: DE
FISCAL YEAR END: 1231

FILING VALUES:

FORM TYPE: 4
SEC ACT: 1934 Act
SEC FILE NUMBER: 001-32644
FILM NUMBER: 162077911

BUSINESS ADDRESS:

STREET 1: 4350 MCKINLEY ST
CITY: OMAHA
STATE: NE
ZIP: 68112
BUSINESS PHONE: 4024534444

MAIL ADDRESS:

STREET 1: 4350 MCKINLEY ST
CITY: OMAHA
STATE: NE
ZIP: 68112

FORMER NAME:

FORMER CONFORMED NAME: BALLANTYNE OF OMAHA INC
DATE OF NAME CHANGE: 19950608

REPORTING-OWNER:

OWNER DATA:

COMPANY CONFORMED NAME: Fundamental Global Investors, LLC
CENTRAL INDEX KEY: 0001591508
STATE OF INCORPORATION: NC
FISCAL YEAR END: 1231

FILING VALUES:

FORM TYPE: 4
SEC ACT: 1934 Act
SEC FILE NUMBER: 001-32644
FILM NUMBER: 162077912

BUSINESS ADDRESS:

STREET 1: 4201 CONGRESS STREET, SUITE 140
CITY: CHARLOTTE
STATE: NC
ZIP: 28209
BUSINESS PHONE: 704-323-6851

MAIL ADDRESS:

STREET 1: 4201 CONGRESS STREET, SUITE 140
CITY: CHARLOTTE
STATE: NC
ZIP: 28209

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5

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longer subject to Section
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See Instruction 1(b).

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(Print or Type Responses)

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(Last) (First) (Middle) 4201 CONGRESS STREET, SUITE 140,			3. Date of Earliest Transaction (Month/Day/Year) 12/29/2016							
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Cerminara Kyle C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X		
Johnson Lewis M C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	X	X		
MOGLIA JOSEPH H C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209		X		

Signatures

FUNDAMENTAL GLOBAL INVESTORS LLC /s/ D. Kyle Cerminara, Chief Executive Officer, Partner and Manager		12/30/2016
--Signature of Reporting Person		Date
BALLANTYNE STRONG, INC. /s/ D. Kyle Cerminara, Chief Executive Officer		12/30/2016
--Signature of Reporting Person		Date
/s/ D. Kyle Cerminara		12/30/2016
--Signature of Reporting Person		Date
/s/ Lewis M. Johnson		12/30/2016
--Signature of Reporting Person		Date
/s/ Joseph H. Moglia		12/30/2016
--Signature of Reporting Person		Date

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- (3) Includes brokerage commission of \$0.01 per share.

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