

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

BK Technologies, Inc.

Form: 4

Date Filed: 2018-09-11

Corporate Issuer CIK: 2186

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	L				
OMB Number: 3235-028					
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per response	0.5				

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Re	esponses)					,								
Name and Address of Reporting Person Fundamental Global Investors, LLC				Issuer Name and Ticker or Trading Symbol BK Technologies, Inc. [BKTI]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
4201 CONGI		(First) EET, SUITE 14	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) Officer (give title below) Other (specify below) Other (specify below)								v)		
CHARLOTTE	(Street) CHARLOTTE, NC 28209				4. If Amendment, Date Original FiledMonth/Day/Year)							Filing(Check Appl son porting Person	icable Line)	
(City)		State)	(Zip)		Table	I - N	on-Derivative	Secur	ities A	quired, Disposed o	of, or Benef	ficially Owned	i	
(Instr. 3) Date		2. Transaction Date (Month/Day/Year)		cution Date, if Code (Instr. 8)		or Disposed of (D) (Instr. 3, 4 and 5) Owned Foll Transaction			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) ((nstr. 3 and 4)		Ow For	nership Ind m: Bei	7. Nature of Indirect Beneficial Ownership	
					Code	٧	Amount	(A) or (D)	Price			(1)	ndirect (Instr. 4)	str. 4)
Common Sto share	ock, par valu	e \$0.60 per	09/09/2018		S		1,147,087	D	\$ 3.95	0		I		llantyne ong, Inc.
Common Sto share	ock, par valu	e \$0.60 per	09/09/2018		Р		692,534	A	\$ 3.95	697,230 (1) (2)		I		ndamental tivist Fund ₋ P
Common Sto share	ock, par valu	e \$0.60 per								1,137,886 (1) (2)		l	Gl Pa Ma	ndamental obal irtners aster nd, LP
Common Sto	ock, par valu	e \$0.60 per								1,083,875 (1) (2)		ı	Gl	ndamental obal oldings, LP
Common Sto share	ock, par valu	e \$0.60 per								106,383 (1) (2)		I	As All Ma	Gil Global set ocation aster nd, LP
Reminder: Repo	ort on a separat	e line for each cla	ass of securities be	eneficially owned d	lirectly or indi	ectly	<i>1</i> .							
						fo		quired	to res	collection of information of unless the formation				C 1474 (9-02)
			Table	II - Derivative Sec										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yea	3A. Deemed Execution Date any (Month/Day/Yea	4. Transaction , if Code (Instr. 8)		or (D)	6. Date Exe and Expirati (Month/Day)	rcisable on Date	7. Te Und	ritle and Amount of derlying Securities str. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following		(Instr. 4)

Date

Exercisable

Expiration

Title

Amount or

Number of Shares

Transaction(s) (I)

(Instr. 4)

Instr. 4)

Reporting Owners

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Fundamental Global Investors, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	Х	Х				
BALLANTYNE STRONG, INC. 11422 MIRACLE HILLS DRIVE SUITE 300 OMAHA, NE 68154	Х	Х				
Cerminara Kyle C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE, NC 28209	Х	х				
Johnson Lewis M C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140	х	Х				

Code

MOGLIA JOSEPH H		
C/O FUNDAMENTAL GLOBAL INVESTORS, LLC		Group Member
4201 CONGRESS STREET, SUITE 140		Group Member
CHARLOTTE, NC 28209		

Signatures

FUNDAMENTAL OLORAL BUJECTORO LLO / / P. K. L. O		00/11/0010
FUNDAMENTAL GLOBAL INVESTORS, LLC, /s/ D. Kyle Cerminara, Chief Executive Officer, Partner and Manager		09/11/2018
-Signature of Reporting Person		Date
BALLANTYNE STRONG, INC., /s/ D. Kyle Cerminara, Chief Executive Officer		09/11/2018
-Signature of Reporting Person		Date
/s/ D. Kyle Cerminara		09/11/2018
-Signature of Reporting Person		Date
/s/ Lewis M. Johnson		09/11/2018
-Signature of Reporting Person		Date
/s/ Joseph H. Moglia	_	09/11/2018
-Signature of Reporting Person		Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The funds managed by Fundamental Global Investors, LLC beneficially own in the aggregate 3,025,374 shares of Common Stock, which represent approximately 22.4% of the Company's outstanding shares of Common Stock. Fundamental Global Investors, LLC may be deemed to be a beneficial owner of the shares of Common Stock that are directly owned by Fundamental Global

- (1) Partners Master Fund, LP ("FGPM"), FGI Global Asset Allocation Master Fund, LP ("FGGM"), Fundamental Global Holdings, LP ("FGHP"), and Fundamental Activist Fund I, LP ("FAFI"). In addition, CWA Asset Management Group, LLC, of which 50% is owned by Fundamental Global Investors, LLC, holds 975,943 shares of Common Stock (excluding Mr. Moglia's shares held in CWA accounts) for accounts of individual investors, which represent approximately 7.2% of the Company's outstanding shares of Common Stock.
- Due to their positions with Fundamental Global Investors, LLC and affiliated entities, Messrs. D. Kyle Cerminara, Lewis M. Johnson and Joseph H. Moglia may be deemed to be beneficial owners of the shares of Common Stock disclosed as directly owned by FGPM, FGGM, FGHP and FAFI. Each Reporting Person disclaims beneficial ownership of the shares referred to herein except to the
- (2) extent of his or its pecuniary interest therein. In addition, Mr. Moglia holds 817,131 shares of Common Stock directly and through trusts and the Moglia Family Foundation. Each of Messrs. Cerminara and Johnson directly holds 5,479 shares of Common Stock and 10,542 restricted stock units, in each case received as director compensation. In addition, Messrs. Cerminara and Johnson hold stock options to purchase 10,000 and 5,000 shares of Common Stock, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROV	'AL
OMB Number:	3235-0287
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per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type R	(esponses)													
Name and Address of Reporting Person			2. Issuer Name	and Ticker	or Tra	ding Symbol			5. Relationship of Reporting Person(s) to Issuer					
Fundamenta	al Global Inve	estors, LLC		BK Technologies, Inc. [BKTI]						X Director	(Ched	ck all applicable X 10%	,	
4201 CONG		(First) EET, SUITE 14	(Middle)	3. Date of Earlies 09/09/2018	on (Mo	nth/Day/Year))	Officer (give titl	e below)	Othe	r (specify belo	w)		
	(Street)		4. If Amendment, Date Original FiledMonth/Day/Year)						6. Individual or J	oint/Group	Filing(Check Appli	icable Line)	
				2, 2 2						Form filed by One _X_ Form filed by Mor	Reporting Per	son		
	E, NC 28209													
(City)	(State)	(Zip)		Tab	le I - N	on-Derivative	e Secur	ities A	cquired, Disposed o	of, or Bene	ficially Owned	1	
1.Title of Securi	ity		2. Transaction	2A. Deemed	3. Transac	ction	4. Securities		ed (A)	Amount of Securi				Nature of
(Instr. 3)			Date	Execution Date,			or Disposed			Owned Following R	eported		nership Inc	
			(Month/Day/Year)	any (Month/Day/Yea	(Instr. 8)		(Instr. 3, 4 a	na 5)	ı	Transaction(s) (Instr. 3 and 4)		For		neficial vnership
				(Month Bay) Tour	′					(moti. o and 4)				str. 4)
								(A) or				(I)		
					Code	٧	Amount	(D)	Price			(Ins	tr. 4)	
Common Sto share	ock, par valu	e \$0.60 per	09/09/2018		S		1,147,087	D	\$ 3.95	0		ı		allantyne rong, Inc.
Silaic									0.00					ındamental
Common Sto	ock, par valu	e \$0.60 per	09/09/2018		P		692,534	Α	\$	697,230 <u>(1)</u> <u>(2)</u>			_	tivist Fund
share			03/03/2010		'		032,334		3.95	097,230				LP
														ındamental
														obal
Common Sto	ock, par valu	e \$0.60 per								1,137,886 (1) (2)				artners
share										1,137,000		'	-	aster
														ind, LP
														ındamental
	ock, par valu	e \$0.60 per								1,083,875 (1) (2)			_	obal
share										1,000,070				oldings, LP
													FC	GI Global
_														set
	ock, par valu	e \$0.60 per								106,383 (1) (2)	106 383 (1) (2)			location
share										100,363 — —				aster
													Fι	ınd, LP
Reminder: Rep	ort on a separat	e line for each cla	ass of securities be	eneficially owned	directly or in									
										collection of inform				C 1474 (9-02)
							MB control r			pond unless the for	in displays	a currently v	aliu	
									•					
			Table	II - Derivative Se (e.g., puts, ca		•				•				
1. Title of	2.	3. Transaction	3A. Deemed	4. Transaction	-		6. Date Exe			Title and Amount of	8. Price of	9. Number of	10.	11. Nature
Derivative	Conversion	Date	Execution Date,	if Code	Derivative		and Expirat		e Und	derlying Securities				nip of Indirect
Security	or Exercise	(Month/Day/Yea		(Instr. 8)	Securities		(Month/Day	/Year)	(Ins	str. 3 and 4)	Security	Securities	Form of	Beneficial
(Instr. 3)	Price of Derivative		(Month/Day/Yea	ar)	Acquired (Disposed						(Instr. 5)	Beneficially Owned	Derivativ Security:	
	Security				(Instr. 3, 4		5)					Following	Direct (D	
	,				ĖΪ		Date	Frank - 1	tion	Amountor		Reported	or Indired	<i>'</i>
				Code V	(Δ)	(D)	Exercisable	Expirat Date	Title	e Amount or Number of Shares		Transaction(s	(Inetr 4)	

Reporting Owners

Barratina Orman Nama (Addusa	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
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Johnson Lewis M C/O FUNDAMENTAL GLOBAL INVESTORS, LLC 4201 CONGRESS STREET, SUITE 140 CHARLOTTE NC 28209	х	Х				

MOGLIA JOSEPH H		
C/O FUNDAMENTAL GLOBAL INVESTORS, LLC		Group Member
4201 CONGRESS STREET, SUITE 140		Group Member
CHARLOTTE, NC 28209		

Signatures

FUNDAMENTAL GLOBAL INVESTORS, LLC, /s/ D. Kyle Cerminara, Chief Executive Officer, Partner and Manager	09/11/2018
—Signature of Reporting Person	Date
BALLANTYNE STRONG, INC., /s/ D. Kyle Cerminara, Chief Executive Officer	09/11/2018
—Signature of Reporting Person	Date
/s/ D. Kyle Cerminara	09/11/2018
-Signature of Reporting Person	Date
/s/ Lewis M. Johnson	09/11/2018
-Signature of Reporting Person	Date
/s/ Joseph H. Moglia	09/11/2018
—Signature of Reporting Person	Date

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