

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Novume Solutions, Inc.

Form: 4

Date Filed: 2019-03-14

Corporate Issuer CIK: 1697851

© Copyright 2019, Issuer Direct Corporation. All Right Reserved. Distribution of this document is strictly prohibited, subject to the terms of use.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | hours | | | | | | | | | |
| per response | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type | Responses) | | | | | | | | | | | | | | | | | |
|--|---|-------------------|-------------------------------|------------------------------------|--|---|--|----------------------------------|---|--|----------------------|---|---------------------|------------|--|---|---|---|
| Name and Address of Reporting Person Hill Matthew Anthony | | | | | | ier Name a ne Solutio | | | _ | Symbol | | Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| (Last) (First) (Middle) C/O NOVUME SOLUTIONS, INC., 14420 ALBEMARLE POINT PLACE, SUITE 200 | | | | | | of Earliest | , | | | ay/Year) | | Director 10% Owner X_ Officer (give title below) Other (specify below) Chief Science Officer | | | | | | |
| | | 4. | lf Am | endment, [| Date Orig | inal File | edMonth | n/Day/Year) | | | | | Filing(Check Applic | able Line) | | | | |
| CHANTILLY, VA 20151 | | | | | | | | | | | | _X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | | (State) | (Zip) | | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | Exe any | Deemed cution Date | , if Code (Insti | (Instr. 8) | | 4. Securition (A) or Disp (Instr. 3, 4 | osed c | of (D) | 5. Amount of Securities Beneficially Following Reported Transaction(s) (Instr. 3 and 4) | | , | 6. Ownership Form: Direct (D) | 7. Nature of Indirect Beneficia Ownershi | | |
| | | | | | (mona, zaj, roa, | | | ode | ٧ | Amount | (A) or (D) Price | | | | | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common S | Stock | | 03/12/2 | 019 | | | | А | | 600,000 | А | <u>(1)</u> | 600,00 | 00 | | | I | See footnote (2) |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | T 3A. Deemed Execution Date | able II - (4. , if Trans | Deriv | strative Secuputs, calls 5. Num Derivat Securit Acquire Dispose | ber of ive ies ed (A) or ed of (D) 8, 4, and | cquired onts, opt 6. Date Expira | Person orm and DMB c d, Disp cions, de Exe ation D h/Day | re not requested on the convertibution of th | y) Underly (Instr. 3 | | | s the for | m displays 8. Price of | | 10. Ownership Form of Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownershi (Instr. 4) |
| (right to buy) | \$ 0.74 | 03/12/2019 | | A | ١ | 625,0 | 000 | 03/12 | 2/201 | 9 03/12 | /2024 | Comn | 1.63 | 25,000 | <u>(1)</u> | 625,000 | I | Footnot (2) |
| Reporti | ng Owi | ners | | | | | | | | | | | | | | | | |
| | Reporting Ov | vner Name / Addre | ess | | | R | elations | hips | | 1 | | | | | | | | |
| Hill Matthew Anthony C/O NOVUME SOLUTIONS, INC. 14420 ALBEMARLE POINT PLACE, SUITE 200 CHANTILLY, VA 20151 | | | | | tor 10% Owner Officer Other Chief Science Officer | | | | | | | | | | | | | |
| Signatu | ires | | | | | | | | | | | | | | | | | |

Explanation of Responses:

Riaz Latifullah, Attorney-in-Fact for Matthew Hill

Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In exchange for the acquisition of substantially all of the assets of OpenALPR Technology, Inc. ("OpenALPR"), the Issuer issued to OpenALPR 600,000 shares of the Issuer's common stock, warrants to purchase an additional 625,000 shares of the Issuer's common stock, as well as certain other consideration.
- (2) Represents shares held by OpenALPR, of which the reporting person is the controlling stockholder.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

03/14/2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burder | n hours | | | | | | | | | |
| per response | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type | Responses) | | | | | | | | | | | | | | | | | |
|---|---|---|---|-------------------------------------|--|------------------------------------|---|---------------------------------|---|---|--|------------------------------|--|--|------------------------|--|---|--|
| Name and Address of Reporting Person— Hill Matthew Anthony | | | | | | Name ar Solutio | | | | mbol | | 5. R | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | |
| O/O NOVUME COLUETONIC INC. 44400 | | | | | | Earliest T | ransact | ion (Mo | onth/Day | //Year) | | X_Officer (give title below) | | | | | | |
| | | 4. l | f Amen | dment, Da | ate Orig | inal File | edMonth/l | Day/Year) | | _X_1 | Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| CHANTILL | | | (7in) | | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) | | | | _ | | | | | | <u>. </u> | ' | | ficially Owned | 1 | |
| 1.Title of Security (Instr. 3) | | | 2. Transa Date (Month/D | ay/Year) | 2A. Deemed Execution Date, if r) any (Month/Day/Year) | | if Code (Instr | (Instr. 8) | | . Securitie A) or Disp nstr. 3, 4 | osed o | | Follo | 5. Amount of Securities Beneficially Owne Following Reported Transaction(s) (Instr. 3 and 4) | | | Ownership Form: | 7. Nature of Indirect Beneficial Ownershi |
| | | | | | | | С | ode V | | Amount | (A) or (D) | r Pric | e | | | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common S | Stock | | 03/12/2 | 019 | 9 | | | A | | 00,000 | A | <u>(1)</u> | 600,000 | | | I | See footnote (2) | |
| Reminder: Re | nort on a ser | parate line for each | class of securit | as hanafi | cially o | wned dire | octly or i | ndirectl | lv | | | | | | | | | |
| Tierminder. Tie | port on a sep | Darate line for each | Class of securit | C3 DCHCH | cially 0 | wrica aire | octiy or ii | | • | who res | pond t | o the c | collect | tion of inform | mation con | ntained in this | SEC | 1474 (9-02 |
| | | | | | | | | fe | orm are | | uired to | | | | | s a currently va | lid | , |
| | | | T | | | ive Secu uts, calls, | | - | - | | | | y Owr | ned | | | | |
| Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | Date (Month/Day/Year) | 3A. Deemed Execution Date any (Month/Day/Ye | 4. Trans Code | 5. Number Securities | | er of 6. Da Expires (More d (A) or d of (D) | | nte Exercisable a ation Date th/Day/Year) | | ıd | | | Amount of Securities 4) | Derivative Security | 9. Number of Derivative Securities Beneficially Owned Following Reported | 10. Ownership Form of Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Cod | Code V | | (D) | Date Exerc | Date Exercisable | | ion | Title | | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Warrants (right to buy) | \$ 0.74 | 03/12/2019 | | A | A 625,000 | | 00 | 03/12/201 | | 03/12/ | 03/12/2024 | | mon ock | 625,000 | <u>(1)</u> | 625,000 | I | See Footnote |
| Reporti | ng Owr | ners | | | | | | | | | | | | | | | | |
| _ | | | | | | Re | lations | hips | | | | | | | | | | |
| F | Reporting Ov | vner Name / Addr | ess | Director | 10% | Owner 0 | Officer | | | Oth | ner | | | | | | | |
| Hill Matthew Anthony C/O NOVUME SOLUTIONS, INC. 14420 ALBEMARLE POINT PLACE, SUITE 200 CHANTILLY, VA 20151 | | | | | | | Chief | Science Officer | | | | | | | | | | |
| Signatu | ires | | | | | | | | | | | | | | | | | |
| Riaz Latifu | ıllah, Attorr | ney-in-Fact for I | Matthew Hill | | 03/1 | 4/2019 | | | | | | | | | | | | |
| -Signature of Reporting Person | | | | | | Date | | | | | | | | | | | | |
| Explana | ation of | Response | es: | | | | | | | | | | | | | | | |
| ** Intentional (1) In exchang warrants to | misstatemer ge for the acq purchase ar | ore than one report ats or omissions of uisition of substant an additional 625,00 by OpenALPR, of | facts constitute tially all of the as 0 shares of the | Federal C sets of O ssuer's c | rimina penAL ommor | Violation PR Techn stock, as | ology, li well as | nc. ("O _l certair | penALP n other o | R"), the I | ssuer is | | o Ope | nALPR 600,0 | 000 shares | of the Issuer's c | ommon sto | ck, |
| Note: File thro | e conjes of th | nis Form one of wh | nich must he ma | nually sig | ned If | enace is i | neufficio | nt coo | Inetruo | tion 6 for | nroced | ıro | | | | | | |

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.