

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Novume Solutions, Inc.

Form: 4

Date Filed: 2018-12-11

Corporate Issuer CIK: 1697851

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person BERMAN ROBERT ALAN		2. Issuer Name and Ticker or Trading Symbol Novume Solutions, Inc. [NVMM]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Executive Officer				
(Last) (First) (Middle) C/O NOVUME SOLUTIONS, INC., 14420 ALBEMARLE POINT PLACE, SUITE 200		3. Date of Earliest Transaction (Month/Day/Year) 12/10/2018						
(Street) CHANTILLY, VA 20151		4. If Amendment, Date Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person				
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount (A) or (D) Price				
Common Stock	12/10/2018		A	2,725,836 (1)	A \$ 0.5155	2,869,083	I (2)	By Avon Road Partners, L.P.
Common Stock	12/10/2018		A	1,593,021 (1)	A \$ 0.5155	4,462,104	I (2)	By Avon Road Partners, L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this SEC 1474 (9-02)
form are not required to respond unless the form displays a currently valid
OMB control number.Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title Amount or Number of Shares				
Avon Option to Purchase (McCarthy)	\$ 0.5155	12/10/2018		X	2,725,836	03/16/2016 03/21/2019	Common Stock 2,725,836	(3)	0	I	By Avon Road Partners, L.P.
Avon Option to Purchase (Nathan)	\$ 0.5155	12/10/2018		X	1,593,021	03/16/2016 03/21/2019	Common Stock 1,593,021	(3)	0	I	By Avon Road Partners, L.P.

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BERMAN ROBERT ALAN C/O NOVUME SOLUTIONS, INC. 14420 ALBEMARLE POINT PLACE, SUITE 200 CHANTILLY, VA 20151	X	X	Chief Executive Officer	
Avon Road Partners, L.P. 2029 CONNECTICUT AVE NW WASHINGTON, DC 20008		X		

Signatures

/s/ Robert A. Berman		12/11/2018
Signature of Reporting Person		Date
/s/ Robert A. Berman, General Partner of Avon Road Partners, L.P.		12/11/2018
Signature of Reporting Person		Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares were acquired pursuant to Avon Road Partners, L.P.'s ("Avon Road") exercise of options (the "Options") to purchase shares of common stock of Novume Solutions, Inc. ("Novume") from (1) James McCarthy and Richard Nathan. The Options were granted to Avon Road pursuant to the Option Agreement, dated March 16, 2016, amended as of September 7, 2017, by and among James McCarthy and Richard Nathan as grantors and Avon Road as the option holder (the "Option Agreement").

(2) Represents shares acquired by Avon Road, of which Mr. Berman is the general partner. As a result, Mr. Berman may be deemed to beneficially own the Issuer's securities held by Avon Road.

(3) Pursuant to the terms of the Option Agreement, Avon Road paid the stockholders \$10,000 each (a total of \$20,000) for the right to purchase, on a simultaneous and pro-rata basis, up to 4,318,857 shares of Novume's common stock owned by the shareholders at a purchase price of \$0.5155 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person BERMAN ROBERT ALAN		2. Issuer Name and Ticker or Trading Symbol Novume Solutions, Inc. [NVMM]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Executive Officer				
(Last) (First) (Middle) C/O NOVUME SOLUTIONS, INC., 14420 ALBEMARLE POINT PLACE, SUITE 200		3. Date of Earliest Transaction (Month/Day/Year) 12/10/2018						
(Street) CHANTILLY, VA 20151		4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person				
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/10/2018		A	2,725,836 (1)	A \$ 0.5155	2,869,083	I (2)	By Avon Road Partners, L.P.
Common Stock	12/10/2018		A	1,593,021 (1)	A \$ 0.5155	4,462,104	I (2)	By Avon Road Partners, L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this SEC 1474 (9-02)
form are not required to respond unless the form displays a currently valid
OMB control number.Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Avon Option to Purchase (McCarthy)	\$ 0.5155	12/10/2018		X			2,725,836	03/16/2016	03/21/2019	Common Stock	2,725,836	(3)	0	I	By Avon Road Partners, L.P.
Avon Option to Purchase (Nathan)	\$ 0.5155	12/10/2018		X			1,593,021	03/16/2016	03/21/2019	Common Stock	1,593,021	(3)	0	I	By Avon Road Partners, L.P.

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BERMAN ROBERT ALAN C/O NOVUME SOLUTIONS, INC. 14420 ALBEMARLE POINT PLACE, SUITE 200 CHANTILLY, VA 20151	X X Chief Executive Officer
Avon Road Partners, L.P. 2029 CONNECTICUT AVE NW WASHINGTON, DC 20008	X

Signatures

/s/ Robert A. Berman	12/11/2018
Signature of Reporting Person	Date
/s/ Robert A. Berman, General Partner of Avon Road Partners, L.P.	12/11/2018
Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares were acquired pursuant to Avon Road Partners, L.P.'s ("Avon Road") exercise of options (the "Options") to purchase shares of common stock of Novume Solutions, Inc. ("Novume") from (1) James McCarthy and Richard Nathan. The Options were granted to Avon Road pursuant to the Option Agreement, dated March 16, 2016, amended as of September 7, 2017, by and among James McCarthy and Richard Nathan as grantors and Avon Road as the option holder (the "Option Agreement").

(2) Represents shares acquired by Avon Road, of which Mr. Berman is the general partner. As a result, Mr. Berman may be deemed to beneficially own the Issuer's securities held by Avon Road.

(3) Pursuant to the terms of the Option Agreement, Avon Road paid the stockholders \$10,000 each (a total of \$20,000) for the right to purchase, on a simultaneous and pro-rata basis, up to 4,318,857 shares of Novume's common stock owned by the shareholders at a purchase price of \$0.5155 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.