

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Rocky Mountain Chocolate Factory, Inc.

Form: SC 13G/A

Date Filed: 2019-01-07

Corporate Issuer CIK: 1616262

© Copyright 2019, Issuer Direct Corporation. All Right Reserved. Distribution of this document is strictly prohibited, subject to the terms of use.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No. 1)*

| | Rocky Mountain Chocolate Factory, Inc. |
|-----------|---|
| | (Name of Issuer) |
| | • |
| | Common |
| | (Title of Class of Securities) |
| | 77467X101 |
| | (CUSIP Number) |
| | 12/31/2018 |
| | (Date of Event Which Requires Filing of this Statement) |
| Check the | appropriate box to designate the rule pursuant to which this Schedule is filed: |
| / | Rule 13d-1(b) |
| | Rule 13d-1(c) |
| | Rule 13d-1(d) |

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No . 77467X101 Page 2 of 5

| 1 | I.R.S. IE | | NG PERSONS DN NO. OF ABOVE PERSONS | The Capital Management Corporation Tax id 54-1048352 CRD 112242 |
|----------------------|--|---------------------------|---------------------------------------|---|
| 2 | CHECK | THE APPRO | PRIATE BOX IF A MEMBER OF A GROUP | (a) ☑ (b) □ |
| 3 | SEC USE ONLY | | | |
| 4 | 4 CITIZENSHIP OR | | ACE OF ORGANIZATION | Virginia, USA |
| NUMBER (| | 5 | SOLE VOTING POWER | 255,880 |
| BENEFICIA OWNED E | | 6 | SHARED VOTING POWER | |
| EACH REPORTIN | | 7 | SOLE DISPOSITIVE POWER | 273,995 |
| PERSON WITH: | ١ | 8 | SHARED DISPOSITIVE POWER | |
| 9 | 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON | | | 273,995 |
| 10 | | BOX IF THE DES CERTAII | AGGREGATE AMOUNT IN ROW 9 N SHARES | |
| 11 | PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 | | | 4.58 |
| 12 | TYPE OF REPORTING PERSON | | | IA |

| CUSIP No . | . 77467X101 | | 1 | Page 3 of 5 | | |
|------------|-----------------------------|--|---|--------------|--|--|
| Item 1(a). | | | of Issuer: Mountain Chocolate Factory, Inc. | | | |
| Item 1(b). | | 265 Tı | ess of Issuer's Principal Executive Offices: urner Drive go, CO 81303 | | | |
| Item 2(a). | | Pamel | Name of Person Filing: Pamela C. Simms, Compliance Officer The Capital Management Corporation | | | |
| Item 2(b). | | Address of Principal Business Office or, if None, Residence: 4101 Cox Road, Suite 110 Glen Allen, VA 23060 | | | | |
| Item 2(c). | | Citizenship: USA | | | | |
| Item 2(d). | | Title of Class of Securities: common | | | | |
| Item 2(e). | e). CUSIP Number: 77467X101 | | | | | |
| Item 3. | If This | Stater | ment is Filed Pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), Check Whether the Person | Filing is a: | | |
| | (a) | | Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o). | | | |
| | (b) | | Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c). | | | |
| | (c) | | Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c). | | | |
| | (d) | | Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.) | 3.C. 80a-8). | | |
| | (e) | 7 | An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E); | | | |

| CUSIP No . | 77467X101 | | 11 | Page 4 of 5 |
|--------------------------------|---|--------|---|-------------------------|
| | (f) | | An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F); | |
| | (g) | | A parent holding company or control person in accordance with §240.13d-1(b)(ii)(G); | |
| | (h) | | A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. | 1813); |
| | (i) | | A church plan that is excluded from the definition of an investment company under Section 3(c)(1 Company Act (15 U.S.C. 80a-3); | 4) of the Investment |
| | (j) | | Group, in accordance with §240.13d-1(b)(1)(ii)(J). | |
| Item 4. | n 4. Ownership. | | | |
| | Provide the following information r Item 1. | | ollowing information regarding the aggregate number and percentage of the class of securities of the | ne issuer identified in |
| (a) Amount beneficially owned: | | | · | |
| | | 273,99 | 95 | |
| | (b) | Percei | nt of class: | |
| | | 4.58 | | |
| | (c) | Numb | er of shares as to which such person has: | |
| | | (i) | Sole power to vote or to direct the vote 255,880 | |
| | | (ii) | Shared power to vote or to direct the vote | |
| | | (iii) | Sole power to dispose or to direct the disposition of 273,995 | |
| | | (iv) | Shared power to dispose or to direct the disposition of | |

| CUSIP No . | 77467X101 | | Page 5 of 5 |
|----------------|--|--|-------------------------|
| Item 5. | _ | ess of a Class. ed to report the fact that as of the date hereof the reporting person has cease of the class of securities, check the following \Box | ed to be the beneficial |
| Item 6. | Ownership of More than Five Po | ercent on Behalf of Another Person. | |
| | | | |
| Item 7. | Identification and Classification Company or Control Person. | n of the Subsidiary Which Acquired the Security Being Reported on by | the Parent Holding |
| | | | |
| Item 8. | Identification and Classification | n of Members of the Group. | |
| Item 9. | Notice of Dissolution of Group. | | |
| | | | |
| Item 10. | Certification. | | |
| purpose of or | with the effect of changing or influe | knowledge and belief, the securities referred to above were not acquired and encing the control of the issuer of the securities and were not acquired and a ction having that purpose or effect. | |
| After reasonal | ole inquiry and to the best of my kr | nowledge and belief, I certify that the information set forth in this statement is | true, complete and |

1/7/2019

/s/ Pamela Simms

Compliance Officer

Pamela Simms

Date:

Name:

Title:

Signature:

correct.