

### **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# **New Age Beverages Corp**

Form: 3

Date Filed: 2018-07-19

Corporate Issuer CIK: 1579823

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### FORM 3

(Print or Type Responses)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL                   |           |  |  |  |
|--------------------------------|-----------|--|--|--|
| OMB Number:                    | 3235-0104 |  |  |  |
| Estimated average burden hours |           |  |  |  |
| nor rosponso                   | 0.5       |  |  |  |

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person  EVANS ROBERT J.  | Date of Event Requiring Statement (Month/Day/Year)     (Month/Day/Year) |  |                        | •   |  |  |
|--|--|--|------------------------|---|--|--|
| (Last) (First) (Middle) C/O NEW AGE BEVERAGES CORPORATION, 1700 E. 68TH AVENUE   | 07/09/2018  4. Relationship of Reporting Person(s) to Issue (Check all applicable)   |  |                        | Filed(Mont  | ndment, Date Original<br>h/Day/Year)                                 |  |
| (Street) DENVER, CO 80229  |  |  | omeor (give the o      | <u> </u>  | 6. Individ Line) _X_ Form fil  | ual or Joint/Group Filing(Check Applicable<br>ed by One Reporting Person<br>ed by More than One Reporting Person |
| (City) (State) (Zip)   |  | Tab  | le I - Non-Deriva      | ative Securities  | Beneficially Ov  | vned   |
| 1.Title of Security<br>(Instr. 4)  |  | 2. Amount of Securion Owned (Instr. 4)               | rities Beneficially    | 3. Ownership<br>Form: Direct (D) or<br>Indirect (I)<br>(Instr. 5) |  | t Beneficial Ownership   |
| Common Stock   | 30,000   |  |                        | I   | Through Pennir   | ngton Capital (1)  |
| Common Stock   |  | 32,190 <sup>(2)</sup>                                |                        | D   |  |  |
| Reminder: Report on a separate line for each class of securing Persons who respond to the a currently valid OMB control. | e collection of infor  |  | •                      | required to respon  | d unless the form o  | SEC 1473 (7-02)  |
| Table II - Deriva  | tive Securities Bene   | eficially Owned (e.g                                 | g., puts, calls, warra | ants, options, conve  | ertible securities)  |  |
| (Instr. 4)   | 2. Date Exercisable and Expiration Date Month/Day/Year)  Date Expiration   | 3. Title and Amou<br>Underlying Deriva<br>(Instr. 4) | ative Security         | 4. Conversion or<br>Exercise Price of<br>Derivative Security      | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | Nature of Indirect Beneficial     Ownership     (Instr. 5)   |

#### **Reporting Owners**

|  |          | Relationsh | nine     |       |
|--|----------|------------|----------|-------|
| Reporting Owner Name / Address                       | Director | 10% Owner  | <u> </u> | Other |
| EVANS ROBERT J.<br>C/O NEW AGE BEVERAGES CORPORATION | X        |            |          |       |
| 1700 E. 68TH AVENUE<br>DENVER, CO 80229              | , ,      |            |          |       |

#### **Signatures**

| /s/ Robert J. Evans            | 07/19/2018 |
|--------------------------------|------------|
| -Signature of Reporting Person | Date       |

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $\textbf{(1)} \ \mathsf{Mr.} \ \mathsf{Evans} \ \mathsf{is} \ \mathsf{the} \ \mathsf{Managing} \ \mathsf{Director} \ \mathsf{and} \ \mathsf{beneficial} \ \mathsf{owner} \ \mathsf{of} \ \mathsf{the} \ \mathsf{shares} \ \mathsf{held} \ \mathsf{by} \ \mathsf{Pennington} \ \mathsf{Capital}.$
- (2) The shares are subject to time vesting and are fully vested on the one year anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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| (i fint of Type Responses)   |   |  |  |   |  |  |
|--|---|--|--|---|--|--|
| I. Name and Address of Reporting Person-  EVANS ROBERT J.  2. Date of Event Rec (Month/Day/Year)   |   | quiring Statement 3. Issuer Name <b>and</b> Ticker or Trading Symbol New Age Beverages Corp [NBEV] |  |   |  |  |
| (Last) (First) (Middle) C/O NEW AGE BEVERAGES CORPORATION, 1700 E. 68TH AVENUE   | •   |  | Reporting Person(s) to<br>ck all applicable) | Filed(Month   | r 5. If Amendment, Date Original Filed(Month/Day/Year)     |  |
| (Street) DENVER, CO 80229  |   |  | Smoot (give this so                          | 304) Gillo (opcon   | 6. Individu<br>Line)<br>_X_ Form file                      | ual or Joint/Group Filing(Check Applicable ad by One Reporting Person ad by More than One Reporting Person |
| (City) (State) (Zip)   | (City) (State) (Zip) Table I - Non-Derivative Securities Ben  |  |  |   | Beneficially Ow  | /ned   |
| 1. Title of Security<br>(Instr. 4)   |   | 2. Amount of Secur<br>Owned<br>(Instr. 4)  | •  | 3. Ownership<br>Form: Direct (D) or<br>Indirect (I)<br>(Instr. 5) |  | Beneficial Ownership   |
| Common Stock   |   | 30,000   |  | I   | Through Pennin   | gton Capital (1)   |
| Common Stock   |   | 32,190 <sup>(2)</sup>  |  | D   |  |  |
| Reminder: Report on a separate line for each class of secur  Persons who respond to the a currently valid OMB control.  Table II - Derivai | e collection of infor   | mation contained i   | n this form are not                          |   |  | SEC 1473 (7-02)  |
| (Instr. 4)   | 2. Date Exercisable<br>and Expiration Date<br>Month/Day/Year) | 3. Title and Amount<br>Underlying Deriva<br>(Instr. 4)   |  | Exercise Price of   | 5. Ownership Form<br>of Derivative<br>Security: Direct (D) | Nature of Indirect Beneficial     Ownership     (Instr. 5)   |
|  | Date Expiration Exercisable Date                              | Title Amount or N  | lumber of Shares                             |   | or Indirect (I)<br>(Instr. 5)                              |  |
| Reporting Owners   |   |  |  |   |  |  |

| Reporting Owner Name / Address  |   | Relationships |         |       |  |  |  |
|---|---|---------------|---------|-------|--|--|--|
|   |   | 10% Owner     | Officer | Other |  |  |  |
| EVANS ROBERT J.<br>C/O NEW AGE BEVERAGES CORPORATION<br>1700 E. 68TH AVENUE | х |               |         |       |  |  |  |
| DENVER, CO 80229  |   |               |         |       |  |  |  |

#### **Signatures**

| /s/ Robert J. Evans           | 07/19/2018 |
|-------------------------------|------------|
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