

# SECURITIES & EXCHANGE COMMISSION EDGAR FILING

## 3PEA INTERNATIONAL, INC.

**Form: 8-K**

**Date Filed: 2018-12-11**

Corporate Issuer CIK: 1496443

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 7, 2018

**3PEA INTERNATIONAL, INC.**

(Exact name of registrant as specified in its charter)

**Nevada**

(State or other jurisdiction of incorporation)

**000-54123**

(Commission file number)

**95-4550154**

(I.R.S. Employer Identification Number)

**1700 W Horizon Ridge Parkway, Suite 200,  
Henderson, Nevada 89012**

(Address of principal executive offices) (Zip Code)

**(702) 453-2221**

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☒ x

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. "

---

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

**(b) and (c)**

On December 7, 2018, 3PEA International, Inc. (the "Company") named Mark Attinger as Principal and Chief Financial Officer, succeeding Brian Polan, who will transition to the role of Vice President, Corporate Finance. Mr. Polan will support the finance department and handle other corporate responsibilities and projects for the company. Mr. Polan's transition is not due to a dispute or disagreement with the Company.

Mr. Attinger, age 53, began his career at American Express where he spent 12 years (1987-2000) in various positions in Finance, Performance and Quality Management, and Operations. Subsequently, he held positions as Vice President of Operations at NextCard (2000-2002), Chief Operating Officer at Prudential (2002-2003) and Chief Executive Officer of Affina (a business process outsourcer) (2003-2007). At Affina, he led the company through a management buy-out and a successful sale to a foreign conglomerate. Mr. Attinger also served as Principal and Founding Partner for Customer Servicing Solutions (2007-2016). More recently Mr. Attinger served as President & Chief Operating Officer of Genesis Financial (2018) and Chief Executive Officer and Chief Financial Officer of start-up Zxerex (2016-2018). Mr. Attinger has substantial experience leading companies with both a domestic and international presence. Mr. Attinger earned a Bachelor of Science degree in Finance, with a minor in Accounting, and a Master of Business Administration degree, both from Brigham Young University.

There are no family relationships between Mr. Attinger and any previous or current officers or directors of the Company, and there are no related party transactions reportable under Item 404(a) of Regulation S-K. Mr. Attinger is not a party to, nor does he participate in, any material plan, contract or arrangement as of the date of this report.

On December 7, 2018, the Company issued a press release announcing Mr. Attinger's appointment to Principal and Chief Financial Officer and Mr. Polan's transition to Vice President, Corporate Finance, a copy of which is filed as Exhibit 99.1 to this Current Report on Form 8-K.

**Item 9.01 Financial Statements and Exhibits.**

**(d) Exhibits:**

<u>Exhibit No.</u>	<u>Description</u>
99.1	<a href="#"><u>Press release dated December 7, 2018</u></a>

**SIGNATURES**

In accordance with the requirements of the Exchange Act, the registrant caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**3PEA INTERNATIONAL, INC.**

Date: December 11, 2018

By: /s/ Mark Newcomer

By: Mark Newcomer, Chief Executive Officer

### **3PEA International, Inc. Names Mark Attinger as Chief Financial Officer**

HENDERSON, Nev.--(BUSINESS WIRE)-- 3PEA International, Inc. (NASDAQ:TPNL), a vertically integrated provider of innovative prepaid card programs and processing services for corporate, consumer and government applications, has named Mark Attinger as Chief Financial Officer.

Mr. Attinger brings 30 years of significant leadership, finance and operations experience to the role, and will lead the finance team in accounting, reporting, risk management, cash management and strategic investments for the company. His experience will also be leveraged to aide 3PEA in furthering the company's operational capabilities and disciplines.

"We are pleased to welcome Mark to the growing management team at 3PEA," said Mark Newcomer, Chief Executive Officer, 3PEA International. "Mark's skill set and extensive experience in financial services, finance, operations and acquisitions makes him the perfect choice as 3PEA enters a new phase of corporate growth."

"I am grateful for the opportunity to join 3PEA's talented leadership team and to contribute to the company's continued growth and profitability," said Mr. Attinger, the appointed Chief Financial Officer.

Mr. Attinger began his career at American Express and spent 12 years in various positions in Finance, Performance and Quality Management, and Operations. Subsequently, he held positions as Vice President of Operations at NextCard, Chief Operating Officer at Prudential and Chief Executive Officer of Affina (a business process outsourcer). At Affina, he led the company through a management buy-out and a successful sale to a foreign conglomerate. More recently, Mr. Attinger served as Chief Executive Officer and Chief Financial Officer of start-up Zxerex, and has experience leading companies with both a domestic and international presence.

Mr. Attinger earned a Bachelor of Science degree in Finance, with a minor in Accounting, and a Master of Business Administration degree, both from Brigham Young University.

Former Chief Financial Officer Brian Polan will resume his prior role at 3PEA as Vice President, Corporate Finance, where he will support the finance department and handle other corporate responsibilities and projects for the company.

#### **About 3PEA International**

3PEA International (NASDAQ:TPNL) is an experienced and trusted prepaid debit card payment solutions provider as well as an integrated payment processor that has managed millions of prepaid debit cards in its portfolio. Through its PaySign brand, 3PEA conceptualizes, develops and manages payment solutions, prepaid card programs, and customized payment services. 3PEA's corporate incentive prepaid cards are changing the way corporations reward, motivate, and engage their current and potential customers, employees, and agents. 3PEA's customizable prepaid solutions offer significant cost savings while improving brand recognition and customer loyalty. 3PEA's customers include healthcare companies, major pharmaceutical companies, large multinationals, prestigious universities, and social media companies. PaySign is a registered trademark of 3PEA Technologies, Inc. in the United States and other countries. For more information visit us at [www.3pea.com](http://www.3pea.com) or follow us on LinkedIn, Twitter and Facebook.

## Forward-Looking Statements

Certain statements in this news release may contain forward-looking information within the meaning of Rule 175 under the Securities Act of 1933 and Rule 3b-6 under the Securities Exchange Act of 1934, and are subject to the safe harbor created by those rules. All statements, other than statements of fact, included in this release, including, without limitation, statements regarding potential future plans and objectives of the companies, are forward-looking statements that involve risks and uncertainties. There is no assurance that such statements will prove to be accurate, and actual results and future events could differ materially. 3PEA undertakes no obligation to publicly update or revise any statements in this release, whether as a result of new information, future events, or otherwise.

## Contacts

3PEA International, Inc.  
Jim McCroy, 1-702-749-7269  
Investor Relations  
[ir@3pea.com](mailto:ir@3pea.com)  
[www.3pea.com](http://www.3pea.com)  
or  
S&C Public Relations, Inc.  
Suzanne Dawson  
1-646-941-9140  
[sdawson@scprgroup.com](mailto:sdawson@scprgroup.com)

Source: 3PEA International, Inc.

