

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Lifeloc Technologies, Inc

Form: 4

Date Filed: 2017-10-10

Corporate Issuer CIK: 1493137

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Willkomm Wayne			2. Issuer Name and Ticker or Trading Symbol Lifeloc Technologies, Inc [LCTC]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ Officer (give title below) ☐ 10% Owner ☐ Other (specify below) CEO and President		
(Last) (First) (Middle) 12441 W. 49TH AVENUE, UNIT 4			3. Date of Earliest Transaction (Month/Day/Year) 10/01/2017					
(Street) WHEAT RIDGE, CO 80033			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person		
(City) (State) (Zip)			Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.
SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to Buy)	\$ 8.83	10/01/2017		D			50,000	(1)01/18/2026		Common Stock	50,000	\$ 0	50,000	D	
Stock Options (Right to Buy)	\$ 6	10/01/2017		A		50,000		(2)10/01/2027		Common Stock	50,000	\$ 0	50,000	D	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Willkomm Wayne 12441 W. 49TH AVENUE, UNIT 4 WHEAT RIDGE, CO 80033	X		CEO and President	

Signatures

/s/ Wayne Willkomm	10/10/2017
Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person,see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The stock options were granted under the issuer's 2013 Stock Option Plan, and were subject to performance-based vesting based on the achievement of certain performance conditions set forth in (1) the employment agreement between the issuer and the reporting person. The stock options were forfeited in connection with execution of an amended and restated employment agreement, which provided for revised performance metrics and a revised exercise price.

(2) The stock options were granted under the issuer's 2013 Stock Option Plan, and are subject to performance-based vesting based on the achievement of certain performance conditions set forth in the amended and restated employment agreement between the issuer and the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Willkomm Wayne 12441 W. 49TH AVENUE, UNIT 4 WHEAT RIDGE, CO 80033	X		CEO and President	

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