

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Surna Inc.

Form: 4

Date Filed: 2018-11-13

Corporate Issuer CIK: 1482541

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVAL							
Ì	OMB Number:	3235-0287						
	Estimated average burden	hours						
	per response	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type R	esponses)																
1. Name and Ad KEATING TI		rting Person [*]	S	Issuer Name and Ticker or Trading Symbol Surna Inc. [SRNA]							Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
5251 DTC P		(First) SUITE 200		3. Date of Ea I 1/08/2018		Transac	tion (Mor	nth/E	Day/Year)				X Director Officer (give titl	e below)		(specify below)	
GREENWO	4	4. If Amendment, Date Original FiledMonth/Day/Year)								Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	-	(State)	(Zip)			Та	ble I - No	on-E	Derivative	Securiti	ies A	cqı	uired, Disposed o	of, or Benef	icially Owned		
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Yea	Execution ar) any	•	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D (Instr. 3, 4 and 5)			of (D)		(Instr. 3 and 4)			Ownership Form:	Beneficial	
				(Month/Day/`			Code		Amount	(A) or Amount (D) Price		ice	ce			Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock, par value \$0.00001 per share (1)		11/08/2018	11/08/2	018		Α		60,000	А	<u>(1)</u>	<u>\</u>	1,699,250			D		
Reminder: Rep	ort on a separat	te line for each class	s of securities ben	eficially own	ed dii	rectly or i	indirectly	·.									
				, .			Pe fo	erso rm a		quired to			ollection of inform nd unless the for				1474 (9-02
			Table II	- Derivative			•	•	•	•		•	Owned				
Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative Derivative Conversion or Exercise (Month/Day/Year) Execution Date, if Code any (Month/Day/Year) (Month/Day/Year) Code any (Month/Day/Year) Security (Month/Day/Year) Code Code Code Code Code Code Code Code		Date (Month/Day/Year)	Execution Date, it	4. Transaction 5. Code (Instr. 8) Ar		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisabl and Expiration Da (Month/Day/Year)		cisable on Date	sable 7. To Date Und		e and Amount of lying Securities 3 and 4)	Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)
		(A)	(D)	-	Date Expiration Title Date		Α.	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)						
Reportin	g Ownei	rs															

Barastina Coman Nama / Addusa	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
KEATING TIMOTHY J								
5251 DTC PARKWAY, SUITE 200	Х							
GREENWOOD VILLAGE, CO 80111								

Signatures

/s/ Timothy J. Keating	11/13/2018
Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 8, 2018, the Issuer's board of directors approved the issuance of 60,000 shares of common stock in lieu of the cash payment of \$7,500 of retainer fees for services rendered as a director, based on a closing price of Issuer's common stock of \$0.125 on November 7, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Type Res	sponses)															
1. Name and Add KEATING TIM		Issuer Name and Ticker or Trading Symbol Surna Inc. [SRNA]								Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director						
5251 DTC PA		(First) SUITE 200		3. Date of Earliest Transaction (Month/Day/Year) 11/08/2018							Officer (give titl	e below)		(specify below)		
(Street) GREENWOOD VILLAGE, CO 80111				4. If Amendme	ent, Dat	te Origi	nal Filed	Monti	h/Day/Year)		Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	((State)	(Zip)			Tab	le I - No	n-De	erivative s	Securities	s Acq	uired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Ye			(Instr. 8)		4. Securities Acquir (A) or Disposed of ((Instr. 3, 4 and 5)				Securities Beneficially Owner orted Transaction(s)		Ownership Form:	Beneficial		
				(Month/Da	ay/Year)		ode	٧	Amount	(A) or (D)	Price					Ownership (Instr. 4)
Common Stoc share ⁽¹⁾	ck, par valu	e \$0.00001 per	11/08/2018	11/08/20	018	,	A		60,000	А	<u>(1)</u>	1,699,250			D	
Reminder: Report	t on a separat	e line for each class	s of securities ber	neficially owne	ed direct	tly or in	ndirectly									
·	·			,			fo	rm a		uired to		ollection of inform nd unless the for				1474 (9-02)
			Table I	I - Derivative (e.g., puts,			• ′				•	Owned				
Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	xercise (Month/Day/Year) e of vative	4. Transaction 5. Number of Derivative (Instr. 8) Securities Acquired (A) Disposed of (Instr. 3, 4, a)			(A) or of (D)	and	Date Exercisable d Expiration Date lonth/Day/Year)		Under	e and Amount of rlying Securities 3 and 4) 8. Price of Derivative Security (Instr. 5)		Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V ((A)	(D)	Date Exe	e Ercisable D	xpiration ate	Litle	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	

Reporting Owners

Barrantina Comun Nama / Addusa	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
KEATING TIMOTHY J								
5251 DTC PARKWAY, SUITE 200	Х							
GREENWOOD VILLAGE, CO 80111								

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