

# SECURITIES & EXCHANGE COMMISSION EDGAR FILING

**Surna Inc.**

**Form: 3**

**Date Filed: 2018-09-14**

Corporate Issuer CIK: 1482541

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the  
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person McDonald Anthony K	2. Date of Event Requiring Statement (Month/Day/Year) 09/12/2018	3. Issuer Name and Ticker or Trading Symbol Surna Inc. [SRNA]	
(Last) (First) (Middle) 11934 W. 75TH STREET		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street) ARVADA, CO 80005			6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
(City) (State) (Zip)	Table I - Non-Derivative Securities Beneficially Owned		
1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$0.00001 per share (1)	197,368	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays  
a currently valid OMB control number.

## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Restricted Stock Units (1)	(1)	(1)	Common Stock	197,368	\$ 0 (1)	D

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McDonald Anthony K 11934 W. 75TH STREET ARVADA, CO 80005	X			

## Signatures

/s/ Anthony K. McDonald	09/14/2018
Signature of Reporting Person	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This filing is being made as a result of the reporting person's appointment as a director of the Issuer on September 12, 2018. On September 12, 2018, in connection with the reporting person's appointment to the the Issuer's Board of Directors (the "Board"), the Board granted the reporting person a total of 394,736 restricted stock units ("RSUs"), which vest as follows: (i) 197,368 on the (1) date of grant and are reflected in Table I above, and (ii) 197,368 on September 12, 2019, subject to his continued service through the vesting date, which are reflected in Table II above. The closing price of the Issuer's common stock as the grant date was \$0.152. The grant of the RSUs and the issuance of shares of common stock upon vesting and settlement of the RSUs are transactions exempt from Section 16(b) of the Exchange Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person McDonald Anthony K (Last) (First) (Middle) 11934 W. 75TH STREET (Street) ARVADA, CO 80005 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 09/12/2018	3. Issuer Name and Ticker or Trading Symbol Surna Inc. [SRNA]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (1)	(1)	(1)	Common Stock	197,368	\$ 0 (1)
					D

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McDonald Anthony K 11934 W. 75TH STREET ARVADA, CO 80005	X			

## Signatures

/s/ Anthony K. McDonald	09/14/2018
Signature of Reporting Person	Date

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