

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Ecoark Holdings, Inc.

Form: 4

Date Filed: 2017-07-19

Corporate Issuer CIK: 1437491

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL							
OMB Number:	3235-0287						
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0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Issuer Name and Ticker or Trading Symbol Ecoark Holdings, Inc. [EARK]							Relationship of Reporting Person(s) to Issuer (Check all applicable) No. Director. 100 Output						
4) / OLUTE 000	Date of Earliest Transaction (Month/Day/Year) 07/17/2017								e below)					
4.								_X_ Form filed by One	Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Zip)	Table I - Non-Derivative Securities Acqui							quired, Disposed o	Luired, Disposed of, or Beneficially Owned					
2. Transaction Date (Month/Day/Year	Execution r) any	Date, i	f Code (Instr. 8)		(A) or D (Instr. 3	isposed o , 4 and 5) (A) or	f (D)	Following Reported Transaction(s) (Instr. 3 and 4)		llowing Reported Transaction(s)		owing Reported Transaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
07/17/2017			S ⁽¹⁾		7,576	D	\$ 3.80	1,242,424			D			
class of securities bene	eficially own	ed dired	j f	Pers form	are not re	quired to						1474 (9-02)		
Table II -			ities Acquire	d, Di	isposed o	f, or Bene		•						
Execution Date, if Year)	Code (Instr. 8)	De Se Ac Di: (In	erivative ecurities equired (A) or esposed of (D)	5) D	5. Date Exercisable and Expiration Date (Instr. Month/Day/Year) Date Expiration Title		erlying Securities r. 3 and 4)	Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
	(Middle) AY, SUITE 220 (Zip) 2. Transaction Date (Month/Day/Yea) 07/17/2017 a class of securities bend Table II Table II A. Deemed Execution Date, if	(Middle) AY, SUITE 220 3. Date of Ea 07/17/2013 4. If Amendm (Zip) 2. Transaction Date (Month/Day/Year) 07/17/2017 2A. Deen Execution any (Month/D 107/17/2017 11 - Derivative (e.g., puts any (Month/Day/Year) 3A. Deemed Execution Date, if Execution Date, if (Month/Day/Year) (Month/Day/Year)	Ecoark Holdings AY, SUITE 220 3. Date of Earliest Tr 07/17/2017 4. If Amendment, Da (Zip) 2. Transaction Date (Month/Day/Year) 07/17/2017 2A. Deemed Execution Date, in any (Month/Day/Year) (Month/Day/Year) Table II - Derivative Secur (e.g., puts, calls, any (Month/Day/Year) A. Transaction 5. Securities any (Month/Day/Year) (Instr. 8) Accordings 3. Date of Earliest Tr 07/17/2017 4. If Amendment, Date, if Code (Instr. 8) Accordings 3. Date of Earliest Tr 07/17/2017 4. If Amendment, Date, if Code (Instr. 8) Accordings 3. Date of Earliest Tr 07/17/2017	Ecoark Holdings, Inc. [EAR (Middle) AY, SUITE 220 3. Date of Earliest Transaction (Middle) (7/17/2017 4. If Amendment, Date Original File 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date, if (Code (Instr. 8) Code 4. Transaction Date, if (e.g., puts, calls, warrants, open Code (Instr. 8) Table II - Derivative Securities Acquire (e.g., puts, calls, warrants, open Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and	Ecoark Holdings, Inc. [EARK] (Middle) AY, SUITE 220 3. Date of Earliest Transaction (Month. 07/17/2017 4. If Amendment, Date Original Filedment (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Instr. 8) Code (Instr. 8) Code (Instr. 8) Table II - Derivative Securities Acquired, Date (Code (Instr. 8)) Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Ecoark Holdings, Inc. [EARK] 3. Date of Earliest Transaction (Month/Day/Year) 07/17/2017 4. If Amendment, Date Original FiledMonth/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2. Transaction Execution Date, if (Month/Day/Year) (Month/Day/Year) Code V Amount Code V Amount S(1) 7,576 1 class of securities beneficially owned directly or indirectly. Persons who reform are not reform are n	Ecoark Holdings, Inc. [EARK] 3. Date of Earliest Transaction (Month/Day/Year) 07/17/2017 4. If Amendment, Date Original FiledMonth/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 3) (Month/Day/Year) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 8) (Month/Day/Year) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 8) (Month/Day/Year) 3. Transaction (A) or Disposed of (Instr. 8) (Month/Day/Year) 4. Securities Acquired, Disposed of, or Bene (e.g., puts, calls, warrants, options, convertible secution Date, if (Code (Instr. 8) (Instr. 8) (Instr. 8) 2. Transaction Execution Date, if (Code (Instr. 8) (Instr. 8) 2. Transaction Date (Instr. 8) 3. Transaction (Instr. 8) 4. Securities Acquired (Instr. 8) 4. Transaction Execution Date (Instr. 8) 4. Transaction Date (Instr. 8) 5. Number of Derivative (Month/Day/Year) 6. Date Expiration Date (Month/Day/Year) Code (Instr. 3, 4, and 5) Date Expiration Date (Instr. 3, 4, and 5)	Ecoark Holdings, Inc. [EARK] 3. Date of Earliest Transaction (Month/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction (A) or Disposed of (D) (Instr. 3) 4 and 5) 4. Securities Acquired (A) or Disposed of, or Beneficial (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction (A) or Disposed of, or Beneficial (Instr. 8) 2. Transaction Date (Instr. 8) 3. Transaction (A) or Disposed of (D) (Instr. 8) 4. Securities Acquired (A) or Dorivative Securities (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction (A) or Disposed of (D) (Instr. 8) 4. Securities Acquired (A) or Disposed of, or Beneficial (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction (Date (Instr. 8) (Instr. 8) 4. Securities Acquired (A) or Disposed of, or Beneficial (e.g., puts, calls, warrants, options, convertible securities) 4. Transaction (Date (Instr. 8) (Instr. 8) 4. Transaction (Date (Instr. 8) (Instr. 8) 5. Number of Date (Month/Day/Year) 6. Date Exercisable (Instr. 8) 7. Title (Instr. 3) 9. Date (Instr. 3) 1. Title (Instr. 3) 1. Transaction (Instr. 8) 1. Transaction (Instr. 8) 2. Transaction (Instr. 8) 3. Transaction (Instr. 8) 4. Securities Acquired (A) or Disposed of (D) (Instr. 8) 4. Transaction (Instr. 8) 5. Number of Date (Month/Day/Year) 6. Date Exercisable (Instr. 8) 9. Date (Instr. 8) 1. Transaction (Instr. 8)	Ecoark Holdings, Inc. [EARK] 3. Date of Earliest Transaction (Month/Day/Year) 07/17/2017 4. If Amendment, Date Original FiledMonth/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 6. Individual or J. X. Form filed by Mor. F	Ecoark Holdings, Inc. [EARK] 3. Date of Earliest Transaction (Month/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 4. If Amendment, Date Original FiledMonth/Day/Year) 5. Individual or Joint/Group Investment of Month/Day/Year) 6. Individual or Joint/Group Investment of Monte than One Reporting Period 7. Transaction Date (Month/Day/Year) 8. Transaction Date (Month/Day/Year) 9. Table I - Non-Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 1. Code (Month/Day/Year) 9. Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3 and 4) 1. Code (Month/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 1. Table II - Derivative Securities Acquired (Disposed of Original FiledMonth/Day/Year) 2. Transaction (A) or Disposed of (D) (Instr. 3 and 4) 2. Transaction (Disposed of Original FiledMonth/Day/Year) 2. Transaction (A) or Disposed of (D) (Instr. 3 and 4) 2. Transaction (Disposed of Original FiledMonth/Day/Year) 2. Transaction (A) or Disposed of (D) (Instr. 3 and 4) 3. Transaction (Disposed of Original FiledMonth/Day/Year) 3. Transaction (A) or Disposed of (D) (Instr. 3 and 4) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3 and 4) 3. Transaction (Disposed of Original FiledMonth/Day/Year) 3. T	Check all applicable Coark Holdings, Inc. [EARK] Coark Hol	Ecoark Holdings, Inc. [EARK] Check all applicable Check all ap		

Reporting Owners

Barratina Coman Nama / Addusa	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
MEHRING PETER A 3333 S. PINNACLE HILLS PARKWAY SUITE 220 ROGERS, AR 72758	Х						

Signatures

/s/ PETER A MEHRING	07/19/2017
-Signature of Reporting Person	Date
BY: TROY RICHARDS, ATTORNEY-IN-FACT	07/19/2017
-Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction reported on this Form 4 was mandated by the issuers election under its 2013 Incentive Stock Plan (the Plan) to require the satisfaction of tax withholding obligations to be funded by a Rule 10b5-1 sell to cover transaction. The reporting person was awarded restricted stock under the Plan and subsequently adopted a Rule 10b5-1 trading plan that allowed dispositions solely for the (1) limited purpose of satisfying tax withholding obligations upon the vesting of such award. The transaction reported on this Form 4 does not represent a discretionary trade by the reporting person, but rather was effected pursuant to the reporting persons 10b5-1 trading plan for the limited purpose of satisfying tax withholding obligations upon the partial vesting of the restricted stock awarded under

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB Number:	3235-0287
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per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type F	Responses)															
1. Name and Address of Reporting Person- MEHRING PETER A				Issuer Name and Ticker or Trading Symbol Ecoark Holdings, Inc. [EARK]						Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last) (First) (Middle) 3333 S. PINNACLE HILLS PARKWAY, SUITE 220				Date of Earliest Transaction (Month/Day/Year) 07/17/2017							Officer (give title	e below)		(specify below)		
(Street)										Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
ROGERS, A		(State)	(Zip)			Table	I - No	n-D	erivative	Securiti	ies Acar	uired, Disposed o	·			
				101.0											1.	
(Instr. 3) Date		2. Transaction Date (Month/Day/Yea		n Date, if	(Instr. 8)			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	Beneficial	
				(Month/D	Day/Year)	Code	e	V	Amount	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Ecoark Hold	dings, Inc.		07/17/2017			S ⁽¹⁾	1		7,576	D	\$ 3.80	1,242,424			D	
Reminder: Re	port on a separa	te line for each class	of securities ben	eficially owr	ned direc	tly or indir	rectly.							_		
							for	rm ar		quired to		ollection of inform nd unless the form				1474 (9-02)
			Table II	- Derivative (e.g., puts								Owned				
Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if	(Instr. 8)	Der Sec Acc Dis	Number of erivative ecurities equired (A) sposed of eastr. 3, 4, as) or (D) and 5)	and (Mo	Date Exerc d Expiration onth/Day/	on Date	Underl (Instr.	lying Securities	Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V ((A) (ercisable [LITIE	Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	

Reporting Owners

Barratina Coman Nama / Addusa	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
MEHRING PETER A 3333 S. PINNACLE HILLS PARKWAY SUITE 220 ROGERS, AR 72758	Х						

Signatures

/s/ PETER A MEHRING	07/19/2017
-Signature of Reporting Person	Date
BY: TROY RICHARDS, ATTORNEY-IN-FACT	07/19/2017
Signature of Reporting Person	Date

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