

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Ecoark Holdings, Inc.

Form: 4

Date Filed: 2018-04-03

Corporate Issuer CIK: 1437491

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden hours
per response... 0.5

☐ Check this box if no
longer subject to Section
16. Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the
Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Green Michael John		2. Issuer Name and Ticker or Trading Symbol Ecoark Holdings, Inc. [EARK]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☐ Officer (give title below) ☐ 10% Owner ☐ Other (specify below)			
(Last) (First) (Middle) 3333 S. PINNACLE HILLS PARKWAY, SUITE 220		3. Date of Earliest Transaction (Month/Day/Year) 03/31/2018					
(Street) ROGERS, AR 72758		4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person			
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount (A) or (D) Price			
Common Stock	03/31/2018		A ⁽¹⁾	12,691 A \$ 0	22,651	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Green Michael John 3333 S. PINNACLE HILLS PARKWAY SUITE 220 ROGERS, AR 72758	X			

Signatures

/s/ JAY PUCHIR, ATTORNEY-IN-FACT		04/03/2018
Signature of Reporting Person		Date
/s/ Michael John Green		04/03/2018
Signature of Reporting Person		Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the reporting person's quarterly stock grant of unrestricted common stock for service on the issuer's board of directors during the quarter ended March 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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FORM 4

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(Print or Type Responses)

1. Name and Address of Reporting Person
Green Michael John

(Last)(First)(Middle)

3333 S. PINNACLE HILLS PARKWAY, SUITE 220

(Street)

ROGERS, AR 72758

(City)(State)(Zip)

2. Issuer Name and Ticker or Trading Symbol
Ecoark Holdings, Inc. [EARK]

3. Date of Earliest Transaction (Month/Day/Year)
03/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

X

Director

Officer (give title below)

10% Owner

Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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Reporting Owners

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Green Michael John 3333 S. PINNACLE HILLS PARKWAY SUITE 220 ROGERS, AR 72758	X			

Signatures

/s/ JAY PUCHIR, ATTORNEY-IN-FACT

Signature of Reporting Person

04/03/2018

Date

/s/ Michael John Green

Signature of Reporting Person

04/03/2018

Date

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