

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Dolphin Entertainment, Inc.

Form: 4

Date Filed: 2018-12-13

Corporate Issuer CIK: 1282224

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	۱L
OMB Number:	3235-0287
Estimated average burden	hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	e Responses)																		
Mayer Alla	an	Reporting Person-		Do	olphi	in E	Enterta	ınd Tick ainmer	nt, Inc.	[DLP	N]				_ Director	(Ched		e) Owner	
	HIN ENTE	(First) ERTAINMENT, E 150-MEZZAI			Date 8/10/			Transac	tion (Mo	nth/Da	y/Year)				Officer (give titl	e below)	Other	(specify below)	
CODAL C	ADLES EL	(Street)		4.	lf Am	nend	lment, I	Date Ori	ginal File	dMonth	/Day/Year)			_X_	ndividual or J Form filed by One Form filed by Mor	Reporting Per		cable Line)	
(City	ABLES, FL	_ 33134 (State)	(Zip)	_															
		(515)	T		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2A. Deemed 3. Transaction 4. Securities Acquired 5. Amount of Securities Beneficially Owned												•		I
1.Title of Security (Instr. 3)		2. Transac Date (Month/Da		Execution Date, if		e, if Cod (Inst	Code (Instr. 8)		(A) or Disposed of (Instr. 3, 4 and 5)		of (D)	Follo	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership		
									Code	V	Amount	(A) or (D)	Pric	e				or Indirect (I) (Instr. 4)	(Instr. 4)
Common	Stock		08/10/20	18					Х		10,846	D	\$ 9.22	363	3,523			I	By Trust
Common	Stock		09/21/20	18	8				Х		6,779	D	\$ 9.22	356	6,744			I	By Trust
Common	Stock		12/11/20	18					Х		16,269	D	\$ 9.22	340),475			I	By Trust
Reminder: R	eport on a se	parate line for each	class of securities	s benef	icially	y ow	ned di	rectly or	indirectly	y .									
		<u> </u>				-						•					tained in this		1474 (9-02)
											e not rec ontrol nu	•	o resp	ona ui	niess the for	m displays	a currently va	aliq	
			Tai	ble II -	Deriv	vativ	ve Sec	urities A	cquired	l, Disp	osed of	, or Ben	eficial	lly Owr	ned				
	1	1			(e.g.,		_	s, warra						•					1
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	conversion or Exercise records any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Disposed of (D) (Month/Day/Year) (Mont		Expira (Monti	ation D					Amount of Securities 4)		9. Number of Derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)					
				Cod	de	V	5) (A)	(D)	Date Exerci	isable	Expira Date	ation	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Put Option (right to sell)	\$ 9.22	08/10/2018		X		v		10,846	08/10	0/201	8 08/2	0/2018		nmon tock		\$0	219,633	D	
Put Option (right to sell)	\$ 9.22	09/21/2018		х				6,779	09/20	0/201	8 09/3	0/2018		nmon	6,779	\$0	212,854	D	
Put Option (right to sell)	\$ 9.22	12/11/2018		Х	,			16,269	12/10	0/201	8 12/2	0/2018		nmon tock	16,269	\$0	196,585	D	
Report	ing Ow	ners																	
						Rel	ations	hips											
	Reporting C	wner Name / Add	ress	Direct			Owner		Other										
2151 LE J	PHIN ENTE	ERTAINMENT, AD, SUITE 150 _ 33134		Х															

Signatures

/s/ Allan Mayer	12/13/2018
Signature of Reporting Person	Date

Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) These shares are held by the Vogel-Mayer Trust, of which the Reporting Person is the trustee.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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(Print or Type	e Responses)																		
1. Name and Mayer Alla		Reporting Person-						and Tick ainmer		_				5. F	Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
	PHIN ENTE	(First) ERTAINMENT, E 150-MEZZAI		- 1		of E		Transac	tion (Mo	nth/D	ay/Year)				Director Officer (give title	e below)		(specify below)	
		(Street)		4. I	lf Am	nend	ment,	Date Ori	ginal File	d Mont	h/Day/Year)			_X_	Form filed by One	Reporting Per		able Line)	
	ABLES, FL														Form filed by More than One Reporting Person				
(City	')	(State)	(Zip)					Та	ble I - N	on-D	erivative	Securit	ies Acq	uired	l, Disposed o	f, or Bene	ficially Owned		
1.Title of Security (Instr. 3)		2. Transa Date (Month/D		2A. Deemed Execution Date, if r) any (Month/Day/Year)		e, if Cod (Inst	(Instr. 8)		4. Securities Acqu (A) or Disposed of (Instr. 3, 4 and 5)		of (D)	Foll	amount of Sec owing Report tr. 3 and 4)	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership				
								(Code	V	Amount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Common	Stock		08/10/2	018					Χ		10,846	D	\$ 9.22	363	3,523			I	By Trust
Common	Stock		09/21/2	018	3				Χ		6,779	D	\$ 9.22	356	6,744			I	By Trust (1)
Common	Stock		12/11/2	018					Х		16,269	D	\$ 9.22	340	0,475			I	By Trust (1)
Reminder: R	leport on a se	parate line for each	class of securit	es benef	iciall	ly ow	ned d	irectly or	indirectly	<i>/</i> .									
									fo	rm a		quired t					tained in this a currently va		1474 (9-02)
			Т						cquired	l, Dis	posed of	, or Ben		y Owi	ned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date any (Month/Day/Yea	4. Trans Code	actio	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and		Derivative Securities Beneficially Owned Following Reported	Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)									
				Coc	de	٧	(A)	(D)	Date Exerci	sable	Expira Date	ation	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Put Option (right to sell)	\$ 9.22	08/10/2018		x				10,846	08/10)/20 ⁻	18 08/2	0/2018	Com		10,846	\$0	219,633	D	
Put Option (right to sell)	\$ 9.22	09/21/2018		х				6,779	09/20)/20 ⁻	18 09/3	0/2018	Com		6,779	\$0	212,854	D	
Put Option (right to sell)	\$ 9.22	12/11/2018		х				16,269	12/10)/20 ⁻	18 12/2	0/2018	Com		16,269	\$0	196,585	D	
Report	ing Ow	ners																	
				T		Rel	ations	ships											
	Reporting O	Owner Name / Add	ress	Direct	tor 1		Owne	1	Other										
2151 LE J	PHIN ENTE	ERTAINMENT, AD, SUITE 150		×															

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