

# SECURITIES & EXCHANGE COMMISSION EDGAR FILING

## Dolphin Entertainment, Inc.

**Form: 8-K**

**Date Filed: 2018-09-11**

Corporate Issuer CIK: 1282224

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): September 5, 2018

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**Dolphin Entertainment, Inc.**

*(Exact Name of Registrant as Specified in its Charter)*

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**Florida**  
*(State or Other Jurisdiction  
of Incorporation)*

**001-38331**  
*(Commission  
File Number)*

**86-0787790**  
*(IRS Employer  
Identification No.)*

**2151 Le Jeune Road, Suite 150-Mezzanine  
Coral Gables, FL 33134**

*(Address of Principal Executive Offices) (Zip Code)*

**(305) 774-0407**

*(Registrant's Telephone Number, Including Area Code)*

**Not Applicable**

*(Former Name or Former Address, if Changed Since Last Report)*

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by checkmark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**ITEM 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On September 5, 2018, the Board of Directors (the "Board") of Dolphin Entertainment, Inc., a Florida corporation, appointed Mr. Anthony Leo to serve as a Director for a term expiring at the Company's 2019 Annual Meeting of Shareholders. Mr. Leo's appointment to the Board fills the vacancy created by the previously reported departure of Mr. Justo Pozo.

Mr. Leo will participate in the standard non-employee director compensation arrangements described in the section entitled "Director Compensation" that is included in the Company's 2018 Proxy Statement on Schedule 14A filed with the Securities and Exchange Commission on April 27, 2018.

There are no arrangements or understandings between Mr. Leo, on the one hand, and any other person, on the other hand, pursuant to which he was appointed to the Board. Since the beginning of the Company's last fiscal year, the Company has not engaged in any transactions, and there are no proposed transactions, or series of similar transactions, in which Mr. Leo was or is to be a participant and in which any related person had a direct or indirect material interest in which the amount involved exceeds or exceeded \$120,000.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 11, 2018

**DOLPHIN ENTERTAINMENT, INC.**

By: /s/ Mirta A. Negrini  
Name: Mirta A. Negrini  
Title: Chief Financial and Operating Officer