

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# Dolphin Entertainment, Inc.

Form: 4

Date Filed: 2018-07-03

Corporate Issuer CIK: 1282224

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

L	OMB APPROVA	L
C	OMB Number:	3235-0287
E	stimated average burden	hours
р	er response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Typ	e Responses)																		
Name and Address of Reporting Person     Mayer Allan							ame <b>and</b> ntertain			-			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner						
(Last) (First) (Middle) C/O DOLPHIN ENTERTAINMENT, INC., 2151 LE JEUNE ROAD, SUITE 150-MEZZANINE					Date 5/12/		rliest Tra	ansacti	on (Mor	nth/Da	ay/Year)				le below)		(specify below)		
(Street) CORAL GABLES, FL 33134					If Am	endm	ent, Dat	e Origi	nal File	<b>d</b> Month	n/Day/Year)		Individual or Joint/Group Filing(Check Applicable Line)     X_Form filed by One Reporting Person     Form filed by More than One Reporting Person						
(City) (State) (Zip)								Tab	le I - No	on-De	rivative	Securit	ired, Disposed of, or Beneficially Owned						
1. Title of Security 2. Transact (Instr. 3) Date (Month/Day				Exed any			Code (Instr.	` '			of (D)	5. Amount of Securities Beneficially Following Reported Transaction(s) (Instr. 3 and 4)			•	Ownership Form:	7. Nature of Indirec Beneficia		
				(Moi	(Month/Day/Year)		Code		V	Amount	(A) or (D)	Price	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownershi (Instr. 4)	
Common Stock 05/12/2018				18	3			X	10,846 I		D	\$ 9.22	374,369				I	By Trus	
1. Title of Derivative Security (Instr. 3)	2. 3. Transaction Date Execution Date, or Exercise Price of Derivative 3. Transaction Date (Month/Day/Year) (Month/Day/Year)				(e.g., puts, calls, voided in Securities tr. 8)  (e.g., puts, calls, voided in Securities Acquired Disposed		r of e (A) or of (D)	cquired ts, opti 6. Date Expira	piration Date Unde				•			9. Number of Derivative Securities Beneficially Owned	10. Ownership Form of Derivative Security:	11. Natur of Indirec Beneficia Ownershi (Instr. 4)	
	Security			Coo	Code V		nstr. 3, 4	(D)	Date Exercis	sable	Expira Date	ation			nt or er of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Put Option (right to sell)	\$ 9.22	05/12/2018		X	(			,846	05/12	2/201	8 05/2	2/2018	Comm Stoc	1 10.8	346	\$0	0	D	
Report	ing Ow	ners																	
				Direc		Relat	owner C	o <b>s</b> Officer	Other										
Mayer Allan																			

2151 LE JEUNE ROAD, SUITE 150-MEZZANINE CORAL GABLES, FL 33134	,	
Signatures		

07/03/2018

## **Explanation of Responses:**

/s/ Allan Mayer

Signature of Reporting Person

C/O DOLPHIN ENTERTAINMENT, INC.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by the Vogel-Mayer Trust, of which the Reporting Person is the trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## FORM 4

(Print or Type Responses)

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burde	n hours									

per response..

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(i iiii oi iype i	(coholises)																			
Name and Address of Reporting Person  Mayer Allan				Dolp	ohin	Ente	rtainme	ker or Tra ent, Inc.	[DLP	N]		Relationship of Reporting Person(s) to Issuer								
		(First) RTAINMENT, E 150-MEZZAN			ate of 2/20		st Transa	ction (Mo	onth/Da	y/Year)				Officer (give title	e below)	Other	(specify below)			
CORAL GA	BLES, FL	(Street)		4. If <i>i</i>	Amen	dment	t, Date O	riginal File	edMonth/	Day/Year)			_X_ F	dividual or Jo orm filed by One orm filed by More	Reporting Per	Filing(Check Applic son porting Person	able Line)			
(City)		(State)	(Zip)				Т	able I - N	lon-De	rivative	Securiti	es Acqu	uired.	ired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y			y/Year) a	xecut ny	ecution Date, if		Transaction	on 4	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6. Ownership Form: Direct (D)	Beneficial			
			(1	(Month Bay Tear)		rear)	Code	V	Amount	(A) or (D)	Price					. ,	Ownership (Instr. 4)			
Common St	tock		05/12/20	)18				X		10,846	D	\$ 9.22	374,369				l	By Trust (1)		
Reminder: Rep	oort on a ser	parate line for each	class of securitie	s benefici	ally o	wned	directly o	r indirectl	у.											
								fe	orm ar		quired to					tained in this a currently va		1474 (9-02)		
			Та	ble II - De				Acquired				-	Own	ed						
Security (Instr. 3) F	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, i any (Month/Day/Year	Code			5. Number of Derivative Securities Acquired (A) or Disposed of (D (Instr. 3, 4, and		te Exer ation Da th/Day/		and		and Amount of ving Securities and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	(A)	(D)	Date Exerc	isable	Expira Date	ation	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)			
Put Option (right to sell)	\$ 9.22	05/12/2018		х			10,84	6 05/1	2/201	8 05/22	2/2018	Comn		10,846	\$0	0	D			
Reportir	ng Owr	ners																		
Reporting Owner Name / Address			Director	Relationships ector 10% Owner Officer Other																
	IIN ENTE	RTAINMENT, AD, SUITE 150 . 33134		х																
Signatuı	res																			

## **Explanation of Responses:**

/s/ Allan Mayer

Signature of Reporting Person

 $^{\star}$   $\,\,$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).

07/03/2018

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