

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# Flux Power Holdings, Inc.

Form: 4

Date Filed: 2018-11-02

Corporate Issuer CIK: 1083743

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVAL									
ľ	OMB Number:	3235-0287								
	Estimated average burde	en hours								

0.5

per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Re	esponses)														
Name and Address of Reporting Person     Johnson Michael						d Ticker or Tr dings, Inc. [				Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director					
(Last) (First) (Middle) C/O FLUX POWER HOLDINGS INC, 985 POINSETTIA AVE STE A				3. Date of Earliest Transaction (Month/Day/Year) 10/31/2018							Officer (give titl	e below)		(specify below)	
(Street) VISTA, CA 92081				4. If Amendment, Date Original FiledMonth/Day/Year)							Individual or Joint/Group Filing(Check Applicable Line)     X_Form filed by One Reporting Person     Form filed by More than One Reporting Person				
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea  Common Stock (1)  10/31/2018					Date, if	(Instr. 8)		4. Securities A or Disposed o (Instr. 3, 4 and	f (D)	d (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Form:	Beneficial
				(Month/Day	//Year)	Code	V	Amount	(A) or (D)	Price				. ,	Ownership (Instr. 4)
			10/31/2018			А		15,295,152	Α	\$ 0.6	31,987,098	8		I	see footnote (2)
Reminder: Repo	ort on a separa	te line for each clas	s of securities ben	eficially owne	ed dire	ctly or indirec	tly.	[							
					_		form OMB	are not requir control numb	ed to re er.	espon	llection of inform d unless the for				1474 (9-02)
			Table II			•		isposed of, or s, convertible		•	Owned				
Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ercise (Month/Day/Year) of ative	3A. Deemed Execution Date, any (Month/Day/Yea	(Instr. 8) Sear) A		Number of erivative ecurities cquired (A) or isposed of (D) nstr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Jnderly	and Amount of ying Securities 3 and 4)		9. Number of Derivative Securities Beneficially Owned Following		11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	٧	(A) (D)		ate Expi xercisable Date	ration T	ITIE	mount or umber of Shares		Reported Transaction(s) (Instr. 4)		
Reportin	g Owne	rs													

Barrantian Orman Nama / Addusa	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Johnson Michael								
C/O FLUX POWER HOLDINGS INC	х	Х						
985 POINSETTIA AVE STE A	^	^						
VISTA, CA 92081								

### **Signatures**

/s/ Michael Johnson	11/02/2018
-Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.
- (1) Represents exercise of a convertible promissory note for the above-referenced shares of restricted common stock.
- (2) Securities are held by Esenjay. Mr. Johnson is the sole director and beneficial owner of this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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(Print or Type Res	sponses)																
Name and Address of Reporting Person  Johnson Michael					Susuer Name and Ticker or Trading Symbol Flux Power Holdings, Inc. [FLUX]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director X 10% Owner				
O/O FLLIV DOWED HOLDINGO INO COF					B. Date of E 10/31/20		Fransaction	(Mon	nth/Da	ay/Year)	Officer (give title below) Other (specify below)  6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person Form filed by More than One Reporting Person						
				1. If Amend	dment, D	ate Original	Filed	<b>d</b> Month	n/Day/Year)								
VISTA, CA 92081 (City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1.Title of Security (Instr. 3)		Date	2. Transaction Date (Month/Day/Year)		med in Date, i	3. Transaction Code (Instr. 8)		· · ·				· · · · · · · · · · · · · · · · · · ·			6. Ownership Form:	Beneficial	
					(MOHUI) L	Jay/ Teal	Code	,	V	Amount	(A) or (D)	Price	à				Ownership (Instr. 4)
Common Stoo	ck (1)		10/3	31/2018			А		1	5,295,152	Α	\$ 0.6	31,987,098			I	see footnote (2)
	L		1		( <i>e.g.</i> , pu	ts, calls	, warrants,	for ON iired, optic	rm ar MB co , Disp ons, o	re not require ontrol numb oosed of, or convertible	ed to r er. Benefi securit	espor cially ies)		m displays	a currently va	alid	1474 (9-02)
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	se (Month/Day/Yea	Exec ar) any	Deemed ution Date, i th/Day/Year	f Code D S S A D		i. Number of Derivative Securities Acquired (A) or Disposed of (D) Instr. 3, 4, and 5)		Date Exercisable     and Expiration Date     (Month/Day/Year)		ate l	Jnderl			of 9. Number of Derivative Securities Beneficially Owned Following	Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	V	(A) (	D)	Date Exer	e Expi rcisable Date	ration	LITIE	mount or lumber of Shares		Reported Transaction(s (Instr. 4)	or Indirect (I) (Instr. 4)	
Reporting	g Owner	'S															
Reporting Owner Name / Address					ships												
Johnson Michael C/O FLUX POWER HOLDINGS INC 985 POINSETTIA AVE STE A VISTA, CA 92081			10% Owne	er Officer	Other												
Signature	es																

## **Explanation of Responses:**

/s/ Michael Johnson

-Signature of Reporting Person

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11/02/2018

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